GS1 Technical XML User Guide to Release 2.x

version 6.0
August 2009
Document Summary

<table>
<thead>
<tr>
<th>Document Item</th>
<th>Current Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Document Title</td>
<td>GS1 Technical XML User Guide to Release 2.x</td>
</tr>
<tr>
<td>Date Last Modified</td>
<td>2009-07-15</td>
</tr>
<tr>
<td>Current Document Issue</td>
<td>6.0</td>
</tr>
<tr>
<td>Status</td>
<td>For Publishing</td>
</tr>
<tr>
<td>Document Description (one sentence summary)</td>
<td>This document provides users with the technical guidelines to the structure and design of the GS1 XML standard release 2.x</td>
</tr>
</tbody>
</table>

Contributors

<table>
<thead>
<tr>
<th>Name</th>
<th>Organization</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dipan Anarkat</td>
<td>GS1 HO</td>
</tr>
<tr>
<td>Marilyn Dodd</td>
<td>3M Company</td>
</tr>
<tr>
<td>John Duker</td>
<td>Procter &amp; Gamble Company</td>
</tr>
<tr>
<td>Anders Grangard</td>
<td>GS1 France</td>
</tr>
<tr>
<td>Anthony Hoang</td>
<td>WWRE</td>
</tr>
<tr>
<td>Ewa Iwicka</td>
<td>GS1 HO</td>
</tr>
<tr>
<td>Christian Przybilla</td>
<td>GS1 Germany</td>
</tr>
<tr>
<td>Sylvia Webb</td>
<td>GEFEG US</td>
</tr>
</tbody>
</table>

Log of Changes

<table>
<thead>
<tr>
<th>Section #</th>
<th>Summary of Change</th>
</tr>
</thead>
<tbody>
<tr>
<td>10</td>
<td>Section content aligned with the GS1 XML Message Architecture Implementation Guide</td>
</tr>
</tbody>
</table>
| 11        | Section content aligned with the GS1 XML Message Architecture Implementation Guide  
            | Proxy file example updated                                                        |
| 13        | A reference to an obsolete tool has been removed                                  |
| All       | Updated external hyperlinks                                                       |

Disclaimer

"Whilst every effort has been made to ensure that the guidelines to use the GS1 standards contained in the document are correct, GS1 and any other party involved in the creation of the document HEREBY STATE that the document is provided without warranty, either expressed or implied, of accuracy or fitness for purpose, AND HEREBY DISCLAIM any liability, direct or indirect, for damages or loss relating to the use of the document. The document may be modified, subject to developments in technology, changes to the standards, or new legal requirements."
# TABLE OF CONTENTS

1 Scope of the document .................................................................................. 4

2 General picture how to use the XML standard ........................................... 5
   2.1 Prerequisites for using XML ................................................................ 5
   2.2 GS1 set of XML standards .................................................................. 6
   2.3 Mapping from UML to XML ................................................................. 8

3 GS1 XML publication strategy ...................................................................... 11

4 GS1 namespaces ............................................................................................ 11
   4.1 GS1 namespace structure ................................................................... 11
   4.2 GS1 namespace prefix ......................................................................... 12
   4.3 XML schema namespace and prefix ....................................................... 12

5 GS1 XML versioning strategy ........................................................................ 13
   5.1 Minor versions ..................................................................................... 13
   5.2 Major versions ...................................................................................... 13
   5.3 Specifying version numbers ................................................................ 14

6 Context ........................................................................................................... 16
   6.1 Context categories ............................................................................... 16
   6.2 Representing context in GS1 XML standards ........................................ 17

7 Code lists ....................................................................................................... 18
   7.1 External code lists ............................................................................... 18
   7.2 Internal code lists ............................................................................... 18

8 XML built-in types ......................................................................................... 20
   8.1 Handling date and time ....................................................................... 22

9 Standard Business Document Header ......................................................... 24

10 Message architecture .................................................................................... 25

11 Document layer ............................................................................................ 26
   11.1 Proxy files .......................................................................................... 26
   11.2 Business documents .......................................................................... 27
   11.3 Common library ................................................................................. 27

12 Extension mechanism .................................................................................. 28
   12.1 General extension component ............................................................ 28
   12.2 Validation of the extension components ............................................. 28

13 XML tools ..................................................................................................... 29

14 Checklist for solution providers .................................................................. 30

APPENDIX: Major changes of design between release 1.3.1 and 2.0 .... 31
1 Scope of the document

This "GS1 Technical XML User Guide to Release 2.0" covers only technical aspects of the GS1 Business Message Standard Release 2.0. It does not explain the technical details of any earlier releases. The major differences between the current one (2.0) and the previous release (1.3.1) are listed in an Appendix.

Should you need further information about the release 1.3.1, please refer to the document "How to use EAN.UCC XML Standards version 1.3.1"

"GS1 Technical XML User Guide to Release 2.0" does not contain any guidelines for use of the particular business messages in specific business processes. This aspect is covered in the Business Message Standards, separately for each business message.
2 General picture how to use the XML standard

2.1 Prerequisites for using XML

The use of the XML standards requires certain software tools. In the simplest case, these are an XML processor and validator.

The XML processor is usually included in the application used to view the XML document. It verifies whether the XML file is well formed, which means that it follows all the rules defined in the W3C recommendation for XML syntax.

The validator checks whether the XML instance document conforms to the XML schema. XML schemas contain definitions and structures which can be used in XML instance documents. The instance documents contain the actual data with their respective tags in the form of elements and their attributes. Validating software compares the instance document to its schema. This includes checking that the document contains only legal tags, if the data conforms to the format specified in the schema, whether the structure of its content is correct, etc. One schema can define multiple data representations, contained in different XML instance documents. However, the content of all those documents must remain within the limits and restrictions specified in the schema.

Validating different instances of XML business documents with one schema

Validation can be used in business scenarios, where each of the trading partners involved in the data exchange holds a copy of a standard schema and validates each instance document sent or received. Of course, there have to be separate schemas for each type of business document, as they all have different content and structure, e.g. Order, Despatch Advice, Invoice, etc. Documents
that are not valid (do not conform to the respective schema) are rejected. At the sender's side, the validating software should be installed at the document generation point. Thus, each business message is validated and the possible errors can be corrected before sending.

Validating XML documents at the sender's side

At the receiver's side the validation takes place in the receiving point of the exchange software, before any data is transmitted to the users' business application.

Validating XML documents at the receiver's side

Usually, the XML tools combine the functionalities of parsing (extracting data and tags from the native XML document), editing, checking well-formedness and validating in one software unit, but there are also a number of self contained validators and editors. For more information refer to the XML Tools.

2.2 GS1 set of XML standards

GS1 produces several work products as part of its standards for implementation. Two of those work products are schemas and Business Message Standards or BMS.

Message standards allow users to convert business documents into a format that can be electronically exchanged. XML business documents are referred to as "messages", or
“documents”, and their format is defined in the GS1 XML data format message standards. The exchange of these business documents is a component of the overall e-Commerce.

GS1 Schema describes the structure of an XML document. The purpose of an XML Schema is to define the legal building blocks of an XML document. GS1 schema design allows developers to supply information embedded within XML documentation.

GS1 XML Standards are an organized suite of XML Schema Modules. The current release is an architecture upgrade from the previous version. The changes have been incorporated to take full advantage of all the features of XML Schema specifications.

Business Message Standards are the artefact of the GSMP that documents the formally approved standards for a business message. Each Business Message Standard brings together the appropriate classes, attributes, and values needed to fulfil the message objective. Specific definitions are provided to ensure clarity around class, attributes, and values. Syntax constraints are identified. The standard also includes the high level and detail level class diagrams depicting the scope of the message, and the relationship of its elements to each other. These diagrams allow parties to see data relationships and to determine where and how to interface extensions to fulfil a business function. Each standard contains a series of extracts from the Global Data Dictionary. Relevant attribute items within a specific class name are presented, identified by type and use.

The GS1 XML standards are published as a set of documents per business message. Users need to download all of those documents to implement a given message. Those documents include:

- Implementers Packet
- Business Message Standard

2.2.1 Implementers packet

The implementer’s packet is a ZIP file, comprised of all the XML files necessary for validating a given XML message. It consists of:

- TableofContents.txt – a text file listing all the files included in the given packet
- Instance File folder, containing one (out of many possible) sample XML file for the message
- HTML Sample folder, containing the HTML representation of the sample XML file from the Instance File folder
- Schemas folder, with the following content
  - EAN.UCC folder – contains two subfolders:
    - Common – includes schemas from the common library, with the target namespace: xmlns:eanucc="urn:ean:ucc:2". These are files that can be reused in many business documents, in any context
    - [Business Process Area name] – includes schemas from the particular business area that are necessary to validate the given business message. These schemas have the target namespace: xmlns:[context-specific_prefix]="urn:ean:ucc:[context value(s)]:2". For more details see Context and Versioning
  - SBDH folder – contains the Standard Business Document Header schemas
  - Proxy schema – for the particular business message

2.2.2 Business Message Standard (BMS)

This is the document containing Business Solution Document (BSD) for the given message and the full UML model of the message.
The Global Data Dictionary report lists all the message model components (classes, role names, enumerated values and attributes), their definitions, cardinality, data field length and the title of the XML schema, where those components are defined.

The purpose of the Business Message Standards is to provide the necessary information to implement a particular message as a part of the GS1 System.

### 2.3 Mapping from UML to XML

The GS1 XML standards are developed based on UML Class Diagrams. A Class Diagram is mapped into an XML Schema or Schemas. Afterwards, a sample XML file is developed, complying to data structures defined in the schema and data definitions from the Global Data Dictionary. The Class Diagrams with the model description and the sample XML file are then used to create the Business Message Standard, published together with the set of schemas.

**The general picture of GS1 XML standards development**

The actual mapping of the specific components of UML to XML is driven by the XML syntax constraints and the chosen schema design model. However, some general rules are applied whenever it is possible (exceptions from those rules are rare and caused by the syntax constraints). The user does not have to understand all the details and complexities of UML to XML mapping, but the basic familiarity of key principles can be helpful in reading the GS1 standards.
The basic rules for mapping of UML components to XML can be illustrated by the three examples below:

Example 1

*Mapping of UML components as XML Complex Types and Elements*

```
<table>
<thead>
<tr>
<th>OrderPartyInformation</th>
<th>Mapped as xsd:complexType</th>
</tr>
</thead>
<tbody>
<tr>
<td>+seller</td>
<td>Mapped as xsd:sequence</td>
</tr>
<tr>
<td>+billTo</td>
<td>Mapped as xsd:element</td>
</tr>
<tr>
<td>+buyer</td>
<td>Mapped as minOccurs=&quot;0&quot; (maxOccurs=&quot;1&quot; is a default in XML)</td>
</tr>
<tr>
<td></td>
<td>Not mapped – an element is mandatory by default in an xsd:sequence</td>
</tr>
<tr>
<td>PartyIdentification</td>
<td>Mapped as xsd:complexType in an external reusable schema, e.g. in Common Library</td>
</tr>
</tbody>
</table>
```

Example 2

*Mapping of UML components as XML Simple Types and Attributes*

```
<table>
<thead>
<tr>
<th>Response</th>
</tr>
</thead>
<tbody>
<tr>
<td>responseStatus : ResponseStatusList</td>
</tr>
<tr>
<td>Mapped as xsd:simpleType xsd:restriction base=&quot;xsd:string&quot;</td>
</tr>
<tr>
<td>No multiplicity specified in UML – implied 1</td>
</tr>
<tr>
<td>For XML attribute mapped as use=&quot;required&quot;</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Response Status List</th>
</tr>
</thead>
<tbody>
<tr>
<td>Code Name</td>
</tr>
<tr>
<td>ACCEPTED</td>
</tr>
<tr>
<td>MODIFIED</td>
</tr>
<tr>
<td>REJECTED</td>
</tr>
<tr>
<td>Mapped as xsd:enumeration value=&quot;...&quot;</td>
</tr>
</tbody>
</table>
```
Example 3

Mapping of UML components as XML Choice

```
SBDHApplicationReceiptAcknowledgement
statusType : ApplicationReceiptAcknowledgementStatusList
errorCount[0..1] : Integer_32Bit

Mapped as minOccurs="0"
maxOccurs="unbounded"

<<choice>>

TransactionApplicationReceiptAcknowledgement
statusType : ApplicationReceiptAcknowledgementStatusList
errorCount[0..1] : Integer_32Bit

CommandApplicationReceiptAcknowledgement
originalCommandType : DocumentCommandTypeList
statusType : ApplicationReceiptAcknowledgementStatusList
```

0..*

0..*
3 GS1 XML publication strategy

The GS1 XML standards have to undergo a strict formal approval process in order to ensure their accuracy and integrity within the XML messages themselves, as well as with the other GS1 standards.

Once the XML messages are developed as a part of the Global Standard Management Process (GSMP), they are reviewed by the Information Technical Requirements Group (ITRG). After verifying that they meet business requirements and are technically correct, the ITRG formally approves them in a voting process. At that time, the standards receive a DRAFT status and are published on the GSMP website. The draft messages are published in order to allow users to analyse their content, test and plan pilot implementations. The overall content of those messages is not likely to be changed, but there may be the need to amend them before their final release, so they should not be considered as being a base for real implementation.

The standards become final when they are ratified by the GS1 Management Board. This typically happens once or twice a year, depending on the number of messages being developed and any major architectural changes requiring new release of all the XML messages. Upon this ratification, the messages are published as a final standard on the GSMP website. The ratified messages can be used for the final implementation.

The standards from all the previous releases (from 1.0 to 1.3.1) can be downloaded from the following link: http://www.gs1.org/services/gsmp/kc/ecom/xml/xml_archive.html and the new ones (2.0 and higher) form: http://www.gs1.org/services/gsmp/kc/ecom/xml/xml_bms.html

Note: Beginning from the Release 2.0, all the minor versions of XML schemas will be published as a part of a given major version of the standards. The next major release will always contain only the latest minor versions schemas of the previous release.

4 GS1 namespaces

4.1 GS1 namespace structure

The namespaces in GS1 reflect the context and the major version of the schema components. The GS1 namespaces have the format of the Uniform Resource Names (URN). All URNs have the following structure:

<URN> ::= "urn:" <NID> ":." <NSS>

where:
<NID> is the Namespace Identifier
<NSS> is the Namespace Specific String.

In all the GS1 namespaces, “ean.ucc” is used for the NID. The NSS of all URNs assigned by GS1 have the following hierarchical structure, reflecting the basic context categories and major version number:

urn:ean.ucc:::____:____:____:____:

where:
BP   – Business Process
IC – Industry Classification
GP – Geopolitical Context

Note: NSS in GS1 namespaces is case-sensitive, even though in the RFC 2141 is specified as not sensitive.

Every component has all the context categories defined – either specific, global or all. The namespace does not specify the context category if it is global. For example, a component that is common across all geographical regions and industry classifications, within the Global Data Synchronization Network (GDSN) Business Process has the following namespace:

gdsn="urn:ean.ucc:gdsn:2"

4.2 GS1 namespace prefix

GS1 XML schemas do not use any default namespace. The GS1 information components must be assigned to a namespace that reflects the context it was defined in. This namespace (context) should be explicitly specified for each component.

The GS1 standard specifies the standard namespace prefixes. Usually, they are created from the name of the business process:

- "pay" for PAY process, e.g.: pay="urn:ean.ucc:pay:2"
- "align" for ALIGN process, e.g.: align="urn:ean.ucc:align:2"
- "plan" for PLAN process, e.g.: plan="urn:ean.ucc:plan:2"
- "deliver" for DELIVER process, e.g.: deliver="urn:ean.ucc:deliver:2"
- "order" for ORDER process, e.g.: order="urn:ean.ucc:order:2"
- "gdsn" for GLOBAL DATA SYNCHRONISATION process, e.g.: gdsn="urn:ean.ucc:gdsn:2"
- "eanucc" for all schemas COMMON to all the contexts: eanucc="urn:ean.ucc:2"

The local extensions also have their own namespace and standard prefixes, e.g. TradeItem components specific for Sweden use a separate namespace and the "sw" prefix: sw="urn:ean.ucc:align:sweden:2"

For the sake of consistency and interoperability in GS1 system, a single unique namespace prefix should be used for any given namespace-uri. Also, GS1 recommends that for the instance XML documents, if possible, the implementers should use the same namespace prefixes as those used in the standard GS1 XML Schemas.

Although, from the XML syntax point of view, any prefix can be used as long as it points to the correct namespace, assigning non-standard prefixes would compromise the global interoperability of standards.

In addition, certain mapping and processing XML tools are prefix sensitive and use of non-matching prefixes by the business partners causes serious validation and processing problems.

4.3 XML schema namespace and prefix

For the XML schema components, the World Wide Web Consortium (W3C) URL http://www.w3.org/2001/XMLSchema is used as the namespace. Again, the prefix for that namespace has been standardised in the GS1 System and all the existing schema modules use xsd: for the XML Schema namespace.
5 GS1 XML versioning strategy

Beginning from release 2.0, versioning of GS1 XML schemas is no longer coupled with the publication of GS1 Business Message Standards (BMS), as they were in previous releases (1.1, 1.3, 1.3.1 and 1.3.2). This means that all schemas, including the ones for business documents, and common library schemas do not necessarily hold the same version number.

Thanks to this policy, a change in the common library does not necessitate the re-publishing of all schemas as it was the case in previous releases. It also ensures the backward-compatibility between two successive releases of GS1 schemas.

Backward compatibility means that a message sender can create a business document based on an old schema, despatch it to a recipient, who can successfully validate it against a new schema.

5.1 Minor versions

Minor versions are interim releases of an XML schema that contain only the changes that are backwardly compatible with the existing version of this schema.

Changes that can be incorporated in a Minor Version:

- Adding new optional elements or optional attributes
- Changing attributes cardinality from mandatory to optional
- Changing element multiplicity from old schema [0..1] to new schema [0..*]
- Changing element multiplicity from old schema [1..1] to new schema [1..*]
- Adding a term to an enumerated list
- Errata changes

5.2 Major versions

Major versions are the releases of a suite of XML schemas that contain changes not backwardly compatible with the existing suite of the same schemas.

All the schemas within a given Business Process have the same major version, to ease the implementation and ongoing maintenance of GS1 XML schema versioning. It means that if one schema in a suite of XML schemas within a particular business process needs to be upgraded to the next major version, all the schemas within that business process are upgraded to the next major version. The business processes are defined by business users during the business requirements gathering.

Changes that require incrementing the major version:

- Changing an attribute cardinality from optional to mandatory
- Adding a mandatory element
- Changing an attribute or element tag name
- Changing element multiplicity from old schema [0..*] to new schema [0..1]
- Changing element multiplicity from old schema [1..*] to new schema [1]
- Changing the sequence of elements in a `<xsd:sequence>` tag
5.3 Specifying version numbers

5.3.1 Version numbers in XML schemas

The major version number of the schema is specified in the schema namespace.

Example
urn:ean.ucc:gsd:fmcg:1

The minor versions are reflected in the version attribute of the xsd:schema element. For the ease of implementation, the internal schema version attribute reflects the entire version (both major & minor) of the schema.

Example
<xsd:schema targetNamespace="urn:ean.ucc:gsd:fmcg:2"
xmlns:xsd="http://www.w3.org/2001/XMLSchema"
xmlns="urn:ean.ucc:gsd:fmcg:2"
elementFormDefault="unqualified" attributeFormDefault="unqualified" version="2.3">

This approach ensures backward compatibility. Due to the fact that the minor versions are not reflected in the namespace of a schema, instance documents can be validated against the older minor schema. In addition, any schemas that 'include' this schema do not need to change because the target namespace of the included components is the same as the target namespace of the including schema.

5.3.2 Version numbers in the business documents – XML instance

Since the major version is specified in the namespace, the business document (XML instance document) contains the major version by default. However, the complete version also needs to be specified in the instance document, as the application systems that receive the business documents need to know what version the trading partners are using.

GS1 business documents use the “documentStructureVersion” attribute defined in the DocumentType complex type to reflect the complete version of the business document schema, e.g. for the Order.xml message, the “documentStructureVersion” attribute will reflect the version number of the Order.xsd schema. The versions of the Common Library schemas are not reflected in the instance document, as they do not affect the validation process, due to their backward compatibility.

This type is defined as:

<xsd:complexType name="DocumentType" abstract="true">
  <xsd:attribute name="contentVersion" type="eanucc:VersionType" default="2.0"/>
  <xsd:attribute name="documentStructureVersion" type="eanucc:VersionType" default="2.0"/>
    <xsd:attribute name="lastUpdateDate" type="xsd:date"/>
    <xsd:attribute name="creationDate" type="xsd:dateTime" use="required"/>
    <xsd:attribute name="documentStatus" type="eanucc:DocumentStatusListType" use="required"/>
</xsd:complexType>

Please note, that starting from the release 2.0, the attribute “documentStructureVersion” no longer has a fixed value, as it did in previous releases. Instead, trading partners will specify the value of this attribute to reflect the major and minor version they are using.
5.3.3 Version numbers in the business documents and in the Standard Business Document Header

The Standard Business Document Header schema contains the 'TypeVersion' element, which is the place holder for the version of the business document or business documents sent with the one header. The SBDH specification requires that all the documents sent with one header have the same version number. To comply with this requirement, GS1 recommends that only the major version number (the one specified in the namespace) of the business documents is indicated in the 'TypeVersion' element of the SBDH. Thus, it is possible to send in one message only documents of the same type (only invoices, only orders, etc) of the same major version. The minor versions of those documents can be different, as they are compatible within the same major version.
6 Context

Business messages are always used in business domains and processes under certain real-world circumstances. There are many similarities and also many differences between them. GS1 schemas are based on vocabulary of components that represent those common and different circumstances. To manage this complexity, a concept of business context has been introduced. It reflects the circumstances in which the business information exchange occurs.

An example can be a trade item description. There are certain attributes valid for every type of product, e.g. brand name, identification number, etc. However, the pharmaceutical industry needs certain specific attributes, different from the automotive and textile sectors. Thus, those different attributes are context-specific, while the context in this case is the industry sector, determining certain information needs. The common attributes are context-independent.

6.1 Context categories

The context in which the business collaboration takes place can be specified by a set of categories and their associated values. There are 8 categories in total, but in GS1 XML messages currently only three of them are used:

- Business Process, in which collaboration takes place, e.g. ordering, delivery, etc.
- Industry Sector, in which the business partners are involved, e.g. FMCG, Hardlines, etc.
- Geopolitical, reflecting the geographical factors that influence the business semantics, it can be either country-specific, e.g. only for France or Sweden, limited to certain economic regions, e.g. NAFTA, European Union, and finally, it can be applicable everywhere in the world – in this case the context is defined as Global

Other categories can be introduced according to needs, in further releases of the GS1 XML standards.

Components that can be used in the entire context category, across all its values, has an implied value 'In All Contexts'. In this case, the value is omitted, for clarity.

Some components can be associated with more than one context category value, e.g. 'Pharmaceutical and Metallurgical'.

6.2 Representing context in GS1 XML standards

In general context is a non-hierarchical concept since all categories are at the same level of importance. However, in the XML schemas hierarchy has been introduced in order to facilitate context management.

The hierarchy order starts with the Business Process Category, followed by the Industry Sector and then the Geopolitical Category.

![Hierarchical diagram showing Business Process, Industry Sector, and Geopolitical categories]

The hierarchy of context categories used in GS1 XML schemas

In the schemas, context is represented in namespaces.
7 Code lists

There are two types of code lists in GS1 XML messages: external and internal ones.

7.1 External code lists

External code lists are defined and maintained outside the GS1 community, usually by other standard bodies, e.g. ISO. Their examples are:

- **Country Codes** - ISO 3166-1:1997, defined in Country.xsd schema, as the ISO3166_1CodeType
- **Country Subdivision Codes** - ISO 3166-2:1998, defined in Country.xsd schema, as the ISO3166_2CodeType
- **Currency Codes** - ISO 4217:2001, defined in MonetaryAmount.xsd schema, as the CurrencyISOCodeType

All of them are defined as a part of the common library. They are defined as strings restricted to an appropriate number of characters, but do not contain the code list values. The reason behind this decision is that enumerating the lists content would cause problems with their maintenance, which is done outside of the GS1 System and would breach the copyright ownership.

The users are advised to consult the website of the International Standard Organisation [www.iso.org](http://www.iso.org) to check how the lists can be acquired and used.

7.2 Internal code lists

Internal code lists are those developed and maintained within the GS1 System. Starting from release 2.0, those code lists are defined in separate schemas and are no longer embedded in the common library schemas. This practice requires giving up some advantages, mainly the ability for parsers to enforce strict validation. In other words, the code list embedded within the schema cannot be changed, without affecting the validation process. On the contrary, if a code list is only referenced in the given schema and defined externally, various versions of that code list can be used as a base for validation.

On the other hand, this ensures that any change to a code list schema does not trigger a new release of the entire set of GS1 schemas, which would require additional upgrades for the users. Defining code lists outside of the main schema document allows upgrading lists as soon as business demand arises and facilitates version management.

Code lists are defined as xsd:enumeration. The lists are included or imported into the schema document, which uses it.

Advantages for implementers of this approach include reduced maintenance costs, faster implementation of list changes, reduced versions of schemas and simpler publications. In addition, the handling of code lists is consistent with the new, similar handling of components.
7.2.1 Internal code lists in context

Code list schemas follow the same principles as all the other GS1 schemas, with respect to namespaces. If a given code list is used across different contexts, it is defined within the common library namespace, e.g. TimePeriodList.xsd or AllowanceChargeList.xsd.

Code lists used just in one context are defined in the namespace of the schema document that uses them. For example, ReplenishmentRequestStatusList.xsd and CollaborationPriorityCodelist.xsd are defined in the Plan namespace (xmlns:plan="urn:ean:ucc:plan:2").

This approach ensures backward compatibility. Due to the fact that the minor versions are not reflected in the namespace of a schema, instance documents can be validated against the older minor schema. In addition, any schemas that ‘include’ this schema do not need to change because the target namespace of the included components is the same as the target namespace of the including schema.

7.2.2 Internal code list versioning

Each code list is defined in its own schema file, which is versioned separately. When a change is made to a code list schema, it is versioned in the same way as any other component. When new code definitions are approved by the respective Business Requirements Group (BRG), the code list schema is updated and the minor version number within the code list schema is incremented. This allows users to have access to the codes in the most efficient manner.

Addition of code values constitutes a minor version change. If a code deletion or modification of definition is necessary, it will only be implemented under a major version change.
8 XML built-in types

The XML standard developed by the World Wide Web Consortium (W3C) lists 44 data types that are embedded in the specification. This means that these data types can be used in XML schemas with no need to define them. These data types should be implicitly understood by all the XML-aware software tools. The built-in data types have certain standard facets to represent them in the schema or to restrict their range.

In GS1 standards, only a subset of the built-in types is used. Some of the W3C data types have different representation in the Business Message Standards than the standard one used in the schema. This difference is due to the fact that the BMS contains a UML representation of the business document, which is meant to be independent from the syntax to which it will finally be mapped.

The list of W3C data types used in GS1 standards, together with their representation in the schema and the BMS is presented below:

<table>
<thead>
<tr>
<th>W3C Data Type</th>
<th>W3C XSD</th>
<th>GS1 BMS Data Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>String</td>
<td></td>
<td>AN String</td>
</tr>
<tr>
<td>Boolean</td>
<td>true/false 1/0</td>
<td>Y/N</td>
</tr>
<tr>
<td>Decimal</td>
<td>totalDigits=5 fractionDigits=3</td>
<td>Numeric 5,3</td>
</tr>
<tr>
<td>Integer</td>
<td>totalDigits=5</td>
<td>Number 5</td>
</tr>
<tr>
<td>nonNegativeInteger</td>
<td>totalDigits=5</td>
<td>Number 5</td>
</tr>
<tr>
<td>Float</td>
<td></td>
<td></td>
</tr>
<tr>
<td>W3C Data Type</td>
<td>W3C XSD</td>
<td>GS1 BMS Data Type</td>
</tr>
<tr>
<td>---------------</td>
<td>---------</td>
<td>-------------------</td>
</tr>
<tr>
<td>dateTime</td>
<td>ISO 8601 - structures related to time: hh:mm:ss.s – explanations and examples – see dateTime</td>
<td>CCYYMMDDThh:mm:ss</td>
</tr>
<tr>
<td></td>
<td>Year:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>YYYY (e.g. 1997)</td>
<td></td>
</tr>
<tr>
<td>Year and month:</td>
<td>YYYY-MM (e.g. 1997-07)</td>
<td></td>
</tr>
<tr>
<td>Complete date:</td>
<td>YYYY-MM-DD (e.g. 1997-07-16)</td>
<td></td>
</tr>
<tr>
<td>Complete date plus hours and minutes:</td>
<td>YYYY-MM-DDThh:mmTZD (e.g. 1997-07-16T19:20+01:00)</td>
<td></td>
</tr>
<tr>
<td>Complete date plus hours, minutes and seconds:</td>
<td>YYYY-MM-DDThh:mm:ssTZD</td>
<td></td>
</tr>
<tr>
<td>Complete date plus hours, minutes, seconds and a decimal fraction of a second</td>
<td>YYYY-MM-DDThh:mm:ss.sTZD (e.g. 1997-07-16T19:20:30.45+01:00)</td>
<td></td>
</tr>
<tr>
<td>where:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>YYYY = four-digit year</td>
<td></td>
<td></td>
</tr>
<tr>
<td>MM = two-digit month</td>
<td>(01=January, etc.)</td>
<td></td>
</tr>
<tr>
<td>DD = two-digit day of month (01 through 31)</td>
<td>hh = two digits of hour (00 through 23) (am/pm NOT</td>
<td></td>
</tr>
<tr>
<td>ss = two digits of second (00 through 59)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>s = one or more digits representing a decimal fraction of a second</td>
<td>TZD = time zone designator (Z or +hh:mm or -hh:mm)</td>
<td></td>
</tr>
<tr>
<td>time</td>
<td>ISO 8601 – structures related to time: hh:mm:ss – explanations and examples – see dateTime</td>
<td>hh:mm:ss</td>
</tr>
<tr>
<td>date</td>
<td>ISO 8601 ISO 8601 – structures related to date: YYYY-MM-DD – explanations and examples – see dateTime</td>
<td>CCYYMMDD</td>
</tr>
</tbody>
</table>
W3C data types currently not used by GS1:

- normalizedString
- token
- Name
- NCName
- ID
- IDREF
- IDREFS
- ENTITY
- ENTITIES
- NMTOKEN
- NMTOKENS
- nonPositiveInteger
- negativeInteger
- long
- language
- int
- short
- byte
- unsignedLong
- unsignedInt
- unsignedShort
- unsignedByte
- positiveInteger
- double
- duration
- gYearMonth
- gYear
- gMonthDay
- gMonth
- hexBinary
- Base64Binary
- anyURI
- QName
- NOTATION

8.1 Handling date and time

For data elements where time is required, two built-in data-types are used within GS1 XML standards: 'dateTime' and 'time'. The value space of 'time' and 'dateTime' XSD data types is defined in article 5.3 of ISO 8601, the details can be found at the following links:

http://www.w3.org/TR/xmlschema-2/#time
http://www.w3.org/TR/xmlschema-2/#dateTime

As per the value space of ‘time’ and ‘dateTime’, additional fractional seconds (milliseconds) and Time zone information can specified.

8.1.1 Use of milliseconds

Both ‘time’ and ‘dateTime’ data types allow use of additional digits increasing the precision of fractional seconds if desired, in the format ss.s.

‘s.s’ denotes two digits of second (00 through 59) followed by one or more digits representing a decimal fraction of a second (milliseconds). The fractional seconds part is separated from the two digits of second by the use of a ‘dot’ as a separator.

Though any number of digits for the fractional seconds is supported, only 3 precision digits should be used within GS1 XML messages to denote the milliseconds.

Example 1

The following values are true for the attribute 'creationDate' which is of type XSD 'dateTime' in all versions of GS1 XML Standards.
8.1.2 Use of time zones

Both ‘time’ and ‘dateTime’ data types allow specifying the time zone, following the time information. The time zone information for non-UTC times within GS1 System must be expressed by specifying the difference between the local time and UTC. This is indicated by immediately following the time representation by a sign, + or -, followed by the difference from UTC represented as hh:mm (note: the minutes part is required).

Example 2

- creationDate="2003-03-22T09:30:47-05:00"
  The example above indicates Eastern Time (ET), which is 5 hours behind UTC.
- creationDate="2004-11-06T12:43:17.000+09:00"
  The example above indicates Tokyo Time, which is 9 hours ahead of UTC.

Example 3

A composite example of the XSD data type ‘dateTime’ following the above mentioned guidelines:

- creationDate="2003-03-22T09:30:47.233-05:00"

where:
- ‘2003’ denotes the year
- ‘03’ denotes the month
- ‘22’ denotes the day
- ‘T’ denotes the time separator
- ‘09’ denotes the hours
- ‘30’ denotes the minutes
- ‘47’ denotes the seconds
- ‘.’ denotes the fractional seconds separator
- ‘233’ denotes the fractional seconds (milliseconds)
- ‘-‘ denotes the time zone offset indicator indicating ‘behind UTC’
- ‘05’ denotes the hours
- ‘:‘ denotes the minutes separator and ‘00’ is the minutes
9 Standard Business Document Header

The Standard Business Document Header (SBDH) replaces the Envelope layer including the AS2 Message Header, used in previous GS1 XML standards releases. The SBDH provides information about the routing and processing of the XML instance document. The SBDH is designed to be independent of the specific transport protocol used. The information contained in the SBDH can be used by communication applications to determine routing whether the transport protocol used is ebMS, AS2, or any other protocol.

The SBDH can also optionally provide business scope and business service information. In GS1 schemas, the SBDH is designed to be an integral part of the XML instance document (in other standards, the SBDH may be an object associated with the XML instance document).

The SBDH schema contains an element specifying the version number of the document or documents contained. For more details on how to use this data element, please refer to Version numbers in the business documents and the Standard Business Document Header.

The detailed UN/CEFACT specification for the SBDH and the guidelines on how to use it can be downloaded from the GS1 website.
10 Message architecture

The architecture of GS1 XML messages is based on separation of functionality layers, where each layer is wrapped inside the other. The three layers are:

- Transport and routing layer, containing information about routing and processing of the XML instance document
- Service layer, providing instructions (commands) for the receiving application about the actions to be performed on the business documents
- Business document layer, containing the actual business document or documents, e.g. Order, Despatch Advice, Invoice, etc.

All three layers combined constitute a GS1 XML message.

The diagram below illustrates the structure of the GS1 message layered architecture.

![Message architecture diagram](image)

Figure 1 GS1 Message architecture components

The use of layered message architecture brings important benefits:

- Minimizes the overall message set needed for the GS1 System to perform an efficient exchange of business information. The same document can be used with different commands. Hence, no separate documents like ‘Add Order’, ‘Change Order’ or ‘Delete Order’ are needed. The same ‘Order’ document can be sent with a relevant command, e.g. ‘add’, ‘change’ or ‘delete’.
- Several documents can reuse the same commands, so adding new ones when needed is quick and easy, with no need to make any change to the existing document definitions (XML schemas). The new functionality can be added by creating a new value in a command list and used only by interested parties, not affecting the remaining users.

The detailed information about the structure of each layer and guidelines how to use them are documented separately in the “GS1 XML Message Architecture Guide”, available at the GS1 website.
11 Document layer

Document layer contains the actual business documents. This layer is fully documented in GS1 Business Message Standards.

11.1 Proxy files

Functionally, a business document is a part of the messaging architecture, which requires the presence of other layers – the Standard Business Document Header, and service layer components.

Some parsers do not support multiple schema locations in the instance file. In order to facilitate the process of creating valid XML messages integrated into the whole architecture, the proxy schemas have been created for each business document that include and import all the required files. The proxy schemas are non-normative; they are created only to support implementation of the GS1 XML standards.

As a best practice GS1 recommends that if in the XML instance file the ‘xsi:schemaLocation’ attribute is used, then it should reference the actual schema file where the root element is declared. As proxy files are not part of the GS1 standard, they should be avoided if possible.

An example of a proxy schema file for the Invoice Response message:

```xml
<xsd:schema xmlns:xsd="http://www.w3.org/2001/XMLSchema" targetNamespace="urn:ean:ucc:2">
    <xsd:import namespace="urn:ean:ucc:pay:2" schemaLocation="ean.ucc/pay/InvoiceResponse.xsd"/>
    <xsd:include schemaLocation="ean.ucc/common/AdditionalPartyIdentificationList.xsd"/>
    <xsd:include schemaLocation="ean.ucc/common/Command.xsd"/>
    <xsd:include schemaLocation="ean.ucc/common/Document.xsd"/>
    <xsd:include schemaLocation="ean.ucc/common/DocumentCommand.xsd"/>
    <xsd:include schemaLocation="ean.ucc/common/DocumentCommandList.xsd"/>
    <xsd:include schemaLocation="ean.ucc/common/DocumentReference.xsd"/>
    <xsd:include schemaLocation="ean.ucc/common/DocumentStatusList.xsd"/>
    <xsd:include schemaLocation="ean.ucc/common/EntityIdentification.xsd"/>
    <xsd:include schemaLocation="ean.ucc/common/Extension.xsd"/>
    <xsd:include schemaLocation="ean.ucc/common/Message.xsd"/>
    <xsd:include schemaLocation="ean.ucc/common/PartyIdentification.xsd"/>
    <xsd:include schemaLocation="ean.ucc/common/ResponseStatusList.xsd"/>
    <xsd:include schemaLocation="ean.ucc/common/Transaction.xsd"/>
</xsd:schema>
```

In the instance document these multiple file names can be replaced with the single proxy file name. If this file is specified using the 'xsi:schemaLocation' attribute, the parser is able to validate the XML instance document. An excerpt of a sample xml instance file referring to a proxy schema follows:

```xml
<?xml version="1.0" encoding="UTF-8"?>
<sh:StandardBusinessDocument
xmlns:eanucc="urn:ean:ucc:2" xmlns:pay="urn:ean:ucc:pay:2"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
 ../Schemas/sbdh/StandardBusinessDocumentHeader.xsd urn:ean:ucc:2
 ../Schemas/InvoiceResponseProxy.xsd">
```
11.2 Business documents

The business documents are organised by their respective business processes. Each business
document requires all the included and imported files to be present for the successful validation.
Each Implementers Packet for every message contains a text file called Table of Content, listing
all the files that have been used to create a given business message schema and are necessary
for the business document validation.

11.3 Common library

In design of each business document, some components defined in the common library are used.
The common library contains all the files that may be used in more than one business document
and more than one context.

The components that are used only within one context, are defined within the namespace of that
particular context. Finally, components that are not used in other messages are defined locally
within the business message schema.

This approach allows reusing the same information constructs in all business messages, increases interoperability and simplifies schema maintenance.

In previous GS1 XML standard releases (1.1, 1.3 and 1.3.1), the common library components had
no separate namespace. They took on the namespace of the schema documents in which they
were used. This means that a change to the common library affected not just the rest of the
common library, but also the business documents.

Common library schema documents follow the same versioning strategy as all other schema
documents. Starting from version 2.0, the common library files have their own target namespace:
xmlns:eanucc="urn:ean.ucc:2". This namespace includes only the major version but not the minor
one, and only backward compatible changes are made in minor versions. Like other schema
documents, they use the version attribute on the xsd:schema element to indicate the minor version
number.

Common library schema documents have namespaces separate from the business documents
that use them, so that they can be versioned separately. Therefore, a change in any of those
components is reflected only in the version of those documents where this component is used, not
in the whole release.

However, when the common library changes to a new major version, all business documents that
use the library change to the new version as well.
12 Extension mechanism

12.1 General extension component

Beginning from release 2.0, every business document schema contains a placeholder where some additional, context-specific components can be inserted. This placeholder has a form of the XML schema '##any' element.

![Extension component structure]

The structure of the Extension component

The XML 'any' element has a built-in attribute: processContents. It acts as an indicator of how an application or XML processor should handle the validation of XML documents against the elements specified by the 'any' element. In the GS1 extension component, the value of this attribute is set to 'lax', it means that the XML processor attempts to obtain the schema for the required namespaces and validate any element from those namespaces, but if the schema cannot be obtained, no errors will occur.

12.2 Validation of the extension components

Although the XML syntax rules for the 'any' element allow any well-formed XML to be placed within the instance file, the users must remember that their business partners will not be able to validate the non-standard information. The message recipient must be provided with the relevant schema validating the extended part of the message. It is recommended to use only the extension attributes approved within the GSMP process. Otherwise, the messages will not be standard and globally interoperable.

However, because the processContents attribute is set to 'lax', the validation of the extension element is optional: an XML schema parser will validate elements for which it can find declarations (extension schemas) and raise errors if they are invalid. If it does not find context specific schemas for a certain set of context specific elements, the parser will not report errors for those elements. The placeholder is an optional element of the root element.
13 XML tools

There is a large choice of tools available on the market: from the simple, web based and free validators, through Integrated Development Environments, combining in one package the validator, parser, documentation generator, repository interface, scripting, transformation of XML documents to other formats and many other features.

Obviously, the more sophisticated products tend to be more expensive and the beginning user may either not need all the above mentioned features or does not know from the start which functionalities could be useful and worth paying for. There is a wide range of free of charge software tools for XML processing, which may be helpful in building the understanding of XML standards and beginning their use. A quite comprehensive list can be found at the following links: http://www.garshol.priv.no/download/xmltools/ or the list maintained by W3C: http://www.w3.org/XML/Schema#Tools.

For searching the internet for the available XML software, the following key words may be helpful:

- 'XML tools'
- 'XML parser'
- 'XML validator'
- 'XML integrated development environment'
- 'XSLT editor'
- 'XSLT generator'
- 'XSLT engine'

For companies that need to decide which tools they should choose to be able to implement the GS1 standards, the Checklist for Solution Providers should be helpful.

The GS1 schemas and sample files are tested using the latest versions of the following validators:

- XML Schema Validator (XSV)
- XMLSpy (version 2006 or higher) from Altova
- Xerces (version 2.7 or higher) from Apache
14 Checklist for solution providers

Before purchasing a product to support GS1 messages, users should make sure that the standard is sufficiently supported. The questions presented below should help in this evaluation.

1. Does your product support GS1 XML schemas?
2. What version or versions of GS1 XML schemas does your product support?
3. Once GS1 releases a new version of the standard, how quickly does your product support this new version?
4. How does your product handle multiple versions of GS1 standards?
5. Does your company participate in the GS1 Global Standards Management Process (GSMP)? If so, in what capacity?
6. Explain how your product imports GS1 schema.
7. Can your product generate XML instance documents based on the imported schema?
8. What different ways does your product have to display GS1 schema and XML?
9. Does your product support editing GS1 XML messages, validation of messages, or both editing and validation?
10. How does your editing/validation tool work with GS1 XML messages to ensure data conforms to the standard?
11. When XML is being validated against GS1 schema, how efficient is this process?
12. Can validation be enabled/disabled by trading partner and/or message? How is this done?
13. How easily does your product map from GS1 messages to other formats (e.g., user-defined record layouts, other XML formats)? Please explain how your mapping tool works.
14. Do you have clients who use your product to exchange and translate GS1 messages? How many and with which messages?
15. If you have clients using your product with GS1 messages, please provide a client list including contact references.
16. Please explain your product support structure. Is there support staff knowledgeable in GS1 messaging?
17. Does your product allow for dynamically validating XML payload using GS1 schemas residing outside the firewall?
18. Does your product support the W3C Recommendations for XML Schemas?
19. Does your product support &lt;&lt;include&gt;&gt; of schemas from multiple locations and &lt;&lt;import&gt;&gt; from multiple namespaces?
APPENDIX: Major changes of design between release 1.3.1 and 2.0

1. Use of namespaces:
   a. Separate namespaces for different context
   b. Major versions reflected in the namespace

2. New versioning strategy
   a. Backward compatible changes reflected in minor versions
   b. Not compatible changes reflected in major versions

3. Envelope layer replaced by the Standard Business Document Header

4. New code lists management
   a. Each code list defined in the separate schema
   b. Each code list versioned separately (life cycle separation)
   c. Adding new codes does not require upgrade of the whole message suite

5. New extension mechanism
   a. New extension component introduced in all business documents schemas
   b. xsi:type no longer used

6. New way of managing the Common Library – each common component schema is independent of changes in the rest of the release

7. GTIN and GLN are used as mandatory primary identifiers for Trade Item and Party identification respectively.