Specification for RFID Air Interface



EPC[™] Radio-Frequency Identity Protocols Class-1 Generation-2 UHF RFID Protocol for Communications at 860 MHz – 960 MHz Version 1.2.0

Copyright notice

© 2004 – 2008 EPCglobal Inc.

All rights reserved. Unauthorized reproduction, modification, and/or use of this Document is not permitted. Requests for permission to reproduce should be addressed to <u>epcglobal@epcglobalinc.org</u>.

EPCglobal Inc.[™] is providing this document as a service to interested industries. This document was developed through a consensus process of interested parties. Although efforts have been to assure that the document is correct, reliable, and technically accurate, EPCglobal Inc. makes NO WARRANTY, EXPRESS OR IMPLIED, THAT THIS DOCUMENT IS CORRECT, WILL NOT REQUIRE MODIFICATION AS EXPERIENCE AND TECHNOLOGICAL ADVANCES DICTATE, OR WILL BE SUITABLE FOR ANY PURPOSE OR WORKABLE IN ANY APPLICATION, OR OTHERWISE. Use of this Document is with the understanding that EPCglobal Inc. has no liability for any claim to the contrary, or for any damage or loss of any kind or nature.

Disclaimer

Whilst every effort has been made to ensure that this document and the information contained herein are correct, EP-Cglobal and any other party involved in the creation of the document hereby state that the document is provided on an "as is" basis without warranty, either expressed or implied, including but not limited to any warranty that the use of the information herein will not infringe any rights, of accuracy or fitness for purpose, and hereby disclaim any liability, direct or indirect, for damages or loss relating to the use of the document.

Contents

INDEX OF FIGURES	6
INDEX OF TABLES	7
FOREWORD	9
INTRODUCTION	10
1. SCOPE	11
2. CONFORMANCE	11
2.1 CLAIMING CONFORMANCE	11
2.2 GENERAL CONFORMANCE REQUIREMENTS	11
2.2.1 Interrogators	11
2.2.2 Tags	
2.3 COMMAND STRUCTURE AND EXTENSIBILITY	
2.3.1 Mandatory commands	
2.3.2 Optional commands	12 12
2.3.5 Proprietary confinitations	۲۷۱۷ ۲۷
2.3.4 Custom commanus 2.4 Reserved for Future Use (RFU)	۲۷ 12
3 NODMATIVE DEEEDENCES	
4. TERMS AND DEFINITIONS	14 14
4.1 ADDITIONAL TERMS AND DEFINITIONS	
5. SYMBOLS, ABBREVIATED TERMS, AND NOTATION	16
5.1 SYMBOLS	
5.2 ABBREVIATED TERMS	
5.3 NOTATION	
6. PROTOCOL REQUIREMENTS	19
6.1 PROTOCOL OVERVIEW	19
6.1.1 Physical layer	19
6.1.2 Tag-identification layer	
6.2 PROTOCOL PARAMETERS	
6.2.1 Signaling – Physical and media access control (MAC) parameters	19 ດາ
6.2.2 Logical – Operating procedure parameters	Z3 24
6.3.1 Signaling	24 24
6.3.1.1 Operational frequencies	24 24
6.3.1.2 Interrogator-to-Tag (R=>T) communications	
6.3.1.2.1 Interrogator frequency accuracy.	24
6.3.1.2.2 Modulation	24
6.3.1.2.3 Data encoding	24
6.3.1.2.4 Tari values	25
6.3.1.2.5 R=>T RF envelope	25
6.3.1.2.6 Interrogator power-up waveform	25
6.3.1.2.7 Interrogator power-down waveform	
6.3.1.2.8 R=>1 preamble and frame-sync	
6.3.1.2.9 Frequency-hopping spread-spectrum waveform	21
6.3.1.2.10 Frequency-hopping spread-spectrum channelization	،۲ 27
6 3 1 3 Tag-to-Interrogator (T=>R) communications	27 20
6.3.1.3.1 Modulation	
6.3.1.3.2 Data encoding	
6.3.1.3.2.1 FM0 baseband	
6.3.1.3.2.2 FM0 preamble	
6.3.1.3.2.3 Miller-modulated subcarrier	31

6.3.1.3.2.4 Miller subcarrier preamble	32
6.3.1.3.3 Tag supported Tari values and backscatter link rates	34
6.3.1.3.4 Tag power-up timing	34
6.3.1.3.5 Minimum operating field strength and backscatter strength	34
6.3.1.4 Transmission order	35
6315 Cyclic-redundancy check (CBC)	35
6.2.1.6 Link timing	
0.3.1.0 Enix timing	
6.3.2 Tag selection, inventory, and access	
6.3.2.1 Lag memory	
6.3.2.1.1 Reserved Memory	
6.3.2.1.1.1 Kill password	
6.3.2.1.1.2 Access password	
6.3.2.1.2 EPC Memory	
6.3.2.1.2.1 CBC-16 (StoredCBC and PacketCBC)	38
6.3.2.1.2.2 Protocol control (PC) word (StorodPC and PacketPC)	
0.3.2.1.2.2 FIDUCO-CONTROL (FC) WORD (Storet C and Facket C)	
6.3.2.1.2.3 EPC for an EPCglobal ^{MA} Application.	
6.3.2.1.2.4 EPC for a non-EPCglobal ™ Application	41
6.3.2.1.2.5 Extended Protocol Control (XPC) word or words (optional)	41
6.3.2.1.3 TID Memory	43
6.3.2.1.4 User Memory	43
6.3.2.1.4.1 User memory for an EPCglobal™ Application	43
632142 User memory for a non-EPC global M Application	43
63.2.2 Sessions and inventoried flags	/3
6.2.2 Selected flog	
0.3.2.3 Selected liag	
6.3.2.4 Tag states and slot counter	
6.3.2.4.1 Ready state	45
6.3.2.4.2 Arbitrate state	45
6.3.2.4.3 Reply state	45
6.3.2.4.4 Acknowledged state	45
6.3.2.4.5 Open state	
63246 Secured state	46
6.2.2.4.7 Killed state	
0.3.2.4.7 Nineu State	40
6.3.2.4.8 Slot counter	40
6.3.2.5 Lag random or pseudo-random number generator	
6.3.2.6 Managing Tag populations	48
6.3.2.7 Selecting Tag populations	48
6.3.2.8 Inventorying Tag populations	49
6.3.2.9 Accessing individual Tags	51
6.3.2.10 Killing or recommissioning a Tag	52
6.3.2.11 Interrogator commands and Tag replies	53
6.2.2.1.1 Solot commando	
0.3.2.11.1 Select Commander :)	
6.3.2.11.1.1 Select (mandatory)	
6.3.2.11.2 Inventory commands	51
6.3.2.11.2.1 Query (mandatory)	57
6.3.2.11.2.2 QueryAdjust (mandatory)	58
6.3.2.11.2.3 QueryRep (mandatory)	59
6.3.2.11.2.4 ACK (mandatory)	60
6.3.2.11.2.5 NAK (mandatory)	
6 3 2 11 3 Access commands	62
6 3 2 11 3 1 Reg. RN (mandatory)	۲۵
6.2.11.2.1 Model (mandatory)	03
0.3.2. 1 1.3.2 Read (IIIalidatol y)	
o. <i>3.2.</i> 11.3.3 <i>Write</i> (mandatory)	66
6.3.2.11.3.4 Kill (mandatory)	67
6.3.2.11.3.5 <i>Lock</i> (mandatory)	70
6.3.2.11.3.6 Access (optional)	72
6.3.2.11.3.7 BlockWrite (optional)	74
6 3 2 11 3 8 BlockErase (optional)	75
6 3 2 11 3 9 BlockPermalock (ontional)	70 76
0.0.2.11.0.8 DIVERT CITIAIVER (UPIIVITAI)	

7. INT	ELLECTUAL PROPERTY RIGHTS INTRINSIC TO THIS SPECIFICATION	79
ANNEX	A (NORMATIVE) EXTENSIBLE BIT VECTORS (EBV)	80
ANNEX	B (NORMATIVE) STATE-TRANSITION TABLES	81
B.1	PRESENT STATE: READY	81
B.2	Present state: Arbitrate	82
B.3	PRESENT STATE: REPLY	83
B.4	Present state: Acknowledged	84
B.5	Present state: Open	85
B.6	Present state: Secured	86
B.7	PRESENT STATE: KILLED	87
ANNEX	C (NORMATIVE) COMMAND-RESPONSE TABLES	88
C.1	COMMAND RESPONSE: POWER-UP	88
C.2	COMMAND RESPONSE: QUERY	88
C.3	COMMAND RESPONSE: QUERYREP	89
C.4	COMMAND RESPONSE: QUERYADJUST	89
C.5	COMMAND RESPONSE: ACK	90
C.6	COMMAND RESPONSE: NAK	90
C.7	COMMAND RESPONSE: REQ_RN	90
C.8	COMMAND RESPONSE: SELECT	91
C.9	COMMAND RESPONSE: Read	91
C.10	COMMAND RESPONSE: WRITE	91
C.11	COMMAND RESPONSE: KILL	92
C.12	COMMAND RESPONSE: LOCK	92
C.13	COMMAND RESPONSE: ACCESS	93
C.14	COMMAND RESPONSE: BLOCKWRITE	93
C.15	COMMAND RESPONSE: BLOCKERASE	94
C.16	COMMAND RESPONSE: BLOCKPERMALOCK	94
C.17	Command response: T_2 timeout	94
C.18	COMMAND RESPONSE: INVALID COMMAND	95
ANNEX	D (INFORMATIVE) EXAMPLE SLOT-COUNT (Q) SELECTION ALGORITHM	96
D.1	Example algorithm an Interrogator might use to choose Q	96
ANNEX	E (INFORMATIVE) EXAMPLE OF TAG INVENTORY AND ACCESS	
E.1	Example inventory and access of a single Tag	97
ANNEX	F (INFORMATIVE) CALCULATION OF 5-BIT AND 16-BIT CYCLIC REDUNDANCY CHECKS	98
F.1	Example CRC-5 ENCODER/DECODER	98
F.2	Example CRC-16 encoder/decoder	98
F.3	EXAMPLE CRC-16 CALCULATIONS	
		400
ANNEX	G (NORMATIVE) MULTIPLE- AND DENSE-INTERROGATOR CHANNELIZED SIGNALING	100
G.1	OVERVIEW OF DENSE-INTERROGATOR CHANNELIZED SIGNALING (INFORMATIVE)	100
ANNEX	(H (INFORMATIVE) INTERROGATOR-TO-TAG LINK MODULATION	102
H.1	BASEBAND WAVEFORMS, MODULATED RF, AND DETECTED WAVEFORMS	102
ANNEX		103
I.1	I AG ERROR CODES AND THEIR USAGE	103
ANNEX	J (NORMATIVE) SLOT COUNTER	104
J.1	SI OT-COUNTER OPERATION	
ANNEX	K (INFORMATIVE) EXAMPLE DATA-FLOW EXCHANGE	105
K.1	OVERVIEW OF THE DATA-FLOW EXCHANGE	105
K.2	AG MEMORY CONTENTS AND LOCK-FIELD VALUES	105
K.3	DATA-FLOW EXCHANGE AND COMMAND SEQUENCE	106
ANNEY	L (INFORMATIVE) OPTIONAL TAG FFATURES	107
1	Optional Tag passwords	107
12	OPTIONAL TAG MEMORY BANKS AND MEMORY-BANK SIZES	107
<u> </u>		

L.3	OPTIONAL TAG COMMANDS	107
L.4	OPTIONAL TAG ERROR-CODE REPORTING FORMAT	107
L.5	OPTIONAL TAG BACKSCATTER MODULATION FORMAT	107
L.6	OPTIONAL TAG FUNCTIONALITY	107
ANNEX	M (INFORMATIVE) REVISION HISTORY	108

Index of Figures

FIGURE 6.1 – PIE SYMBOLS	24
FIGURE 6.2 – INTERROGATOR-TO-TAG RF ENVELOPE	25
FIGURE 6.3 – INTERROGATOR POWER-UP AND POWER-DOWN RF ENVELOPE	26
FIGURE 6.4 – R=>T PREAMBLE AND FRAME-SYNC	27
FIGURE 6.5 – FHSS INTERROGATOR RF ENVELOPE	28
FIGURE 6.6 – TRANSMIT MASK FOR MULTIPLE-INTERROGATOR ENVIRONMENTS	29
FIGURE 6.7 – TRANSMIT MASK FOR DENSE-INTERROGATOR ENVIRONMENTS	29
FIGURE 6.8 – FM0 BASIS FUNCTIONS AND GENERATOR STATE DIAGRAM	30
FIGURE 6.9 – FM0 SYMBOLS AND SEQUENCES	30
FIGURE 6.10 – TERMINATING FM0 TRANSMISSIONS	31
FIGURE 6.11 – FM0 T=>R PREAMBLE	31
FIGURE 6.12 – MILLER BASIS FUNCTIONS AND GENERATOR STATE DIAGRAM	31
FIGURE 6.13 – SUBCARRIER SEQUENCES	32
FIGURE 6.14 – TERMINATING SUBCARRIER TRANSMISSIONS	33
FIGURE 6.15 – SUBCARRIER T=>R PREAMBLE	33
FIGURE 6.16 – LINK TIMING	
FIGURE 6.17 – LOGICAL MEMORY MAP	37
FIGURE 6.18 – SESSION DIAGRAM	44
FIGURE 6.19 – TAG STATE DIAGRAM	47
FIGURE 6.20 – INTERROGATOR/TAG OPERATIONS AND TAG STATE	48
FIGURE 6.21 – ONE TAG REPLY	50
FIGURE 6.22 – SUCCESSFUL WRITE SEQUENCE	66
FIGURE 6.23 – KILL PROCEDURE	69
FIGURE 6.24 – LOCK PAYLOAD AND USAGE	71
FIGURE 6.25 – ACCESS PROCEDURE	73
FIGURE D.1 – EXAMPLE ALGORITHM FOR CHOOSING THE SLOT-COUNT PARAMETER Q	96
FIGURE E.1 – EXAMPLE OF TAG INVENTORY AND ACCESS	97
FIGURE F.1 – EXAMPLE CRC-5 CIRCUIT	
FIGURE F.2 – EXAMPLE CRC-16 CIRCUIT	
FIGURE G.1 – EXAMPLES OF DENSE-INTERROGATOR-MODE OPERATION	101
FIGURE H.1 – INTERROGATOR-TO-TAG MODULATION	102
FIGURE J.1 – SLOT-COUNTER STATE DIAGRAM	104

Index of Tables

TABLE 6.1 – INTERROGATOR-TO-TAG (R=>T) COMMUNICATIONS	20
TABLE 6.2 – TAG-TO-INTERROGATOR (T=>R) COMMUNICATIONS	21
TABLE 6.3 – TAG INVENTORY AND ACCESS PARAMETERS	23
TABLE 6.4 – COLLISION MANAGEMENT PARAMETERS	23
TABLE 6.5 – RF ENVELOPE PARAMETERS	25
TABLE 6.6 – INTERROGATOR POWER-UP WAVEFORM PARAMETERS	
TABLE 6.7 – INTERROGATOR POWER-DOWN WAVEFORM PARAMETERS	26
TABLE 6.8 – FHSS WAVEFORM PARAMETERS	
TABLE 6.9 – TAG-TO-INTERROGATOR LINK FREQUENCIES	
TABLE 6.10 – TAG-TO-INTERROGATOR DATA RATES	
TABLE 6 11 – CRC-16 PRECURSOR	35
TABLE 6.12 – CRC-5 DEFINITION SEE ALSO ANNEX F	
TABLE 6.13 – LINK TMING PARAMETERS	
Table 6.14 – Tag data and CRC-16 backscatteded in desponse to an ACK command	30
TABLE 0. 14 – TAO DATA AND ONO-TO BACKSCATTERED IN RESPONSE TO AN AOACOMIMAND	00
TABLE 6.16 TAC FLACE AND A FAGS RECOMMINISSIONED STATUS	۲۲ ۱۶
TABLE 0. TO - TAG FLAGS AND PERSISTENCE VALUES	4J 51
TABLE 0.17 - ACCESS COMMANDS AND TAG STATES IN WHICH THEY ARE PERMITTED	
TABLE 0. 10 - COMMANDS	
TABLE 0.19 - SELECT COMMAND	
TABLE 6.20 – TAG RESPONSE TO ACTION PARAMETER	
IABLE 6.21 – QUERY COMMAND	
TABLE 6.22 – TAG REPLY TO A QUERY COMMAND	
TABLE 6.23 – QUERYADJUST COMMAND	
IABLE 6.24 – IAG REPLY TO A QUERYADJUST COMMAND	
TABLE 6.25 – QUERYREP COMMAND	59
TABLE 6.26 – TAG REPLY TO A QUERYREP COMMAND	59
TABLE 6.27 – ACK COMMAND	60
TABLE 6.28 – TAG REPLY TO A SUCCESSFUL ACK COMMAND	60
TABLE 6.29 – NAK COMMAND	61
TABLE 6.30 – REQ_RN COMMAND	63
TABLE 6.31 – TAG REPLY TO A REQ RN COMMAND	63
TABLE 6.32 – TAG BACKSCATTER WHEN WORDCOUNT=00, AND MEMBANK=01 ₂	64
TABLE 6.33 – Read COMMAND	65
TABLE 6.34 – TAG REPLY TO A SUCCESSFUL READ COMMAND	65
TABLE 6.35 – WRITE COMMAND	66
TABLE 6.36 – TAG REPLY TO A SUCCESSEUL WRITE COMMAND	66
TABLE 6.37 – FIRST K_{III} COMMAND	68
TABLE 6.38 – SECOND K_{III} COMMAND	68
TABLE 0.00 CLOCKED WE COMMINING THE FIRST K_{III} COMMAND	68
Table 6.40 Tag bed v to a successful Kill pocefulde	00 88
TABLE $6.40 - TAG REFET TO A SUCCESSI OF NEE PROCEDURE$	
TABLE 6.41 – LOCK COMMAND	71
TABLE 0.42 – TAG REPLY TO A LUCK COMMAND	
TABLE $0.43 - LOCK ACTION-FIELD FUNCTIONALITY$	۱ / 70
TABLE 0.44 – ACCESS COMMAND	12
TABLE 0.40 – TAG REPLY TO AN ACCESS COMMAND	
IABLE 6.46 – BLOCKWRITE COMMAND	
IABLE 0.47 – IAG REPLY TO A SUCCESSFUL BLOCKWRITE COMMAND	
I ABLE 0.48 – BLOCKERASE COMMAND	
IABLE 6.49 – IAG REPLY TO A SUCCESSFUL BLOCKERASE COMMAND	75
TABLE 6.50 – PRECEDENCE FOR LOCK AND BLOCKPERMALOCK COMMANDS	76
TABLE 6.51 – BLOCKPERMALOCK COMMAND	78
TABLE 6.52 – TAG REPLY TO A SUCCESSFUL BLOCKPERMALOCK COMMAND WITH READ/LOCK = 0	78
TABLE 6.53 – TAG REPLY TO A SUCCESSFUL BLOCKPERMALOCK COMMAND WITH READ/LOCK = 1	78
TABLE A.1 – EBV-8 WORD FORMAT	80
TABLE B.1 – READY STATE-TRANSITION TABLE	81
TABLE B.2 – ARBITRATE STATE-TRANSITION TABLE	82

TABLE B.3 – REPLY STATE-TRANSITION TABLE	83
TABLE B.4 – ACKNOWLEDGED STATE-TRANSITION TABLE	84
TABLE B.5 – OPEN STATE-TRANSITION TABLE	85
TABLE B.6 – SECURED STATE-TRANSITION TABLE	86
TABLE B.7 – KILLED STATE-TRANSITION TABLE	87
TABLE C.1 – POWER-UP COMMAND-RESPONSE TABLE	88
TABLE C.2 – QUERY ¹ COMMAND-RESPONSE TABLE	88
TABLE C.3 – QUERYREP COMMAND-RESPONSE TABLE ¹	89
TABLE C.4 – QUERYADJUST ¹ COMMAND-RESPONSE TABLE ²	89
TABLE C.5 – ACK COMMAND-RESPONSE TABLE	90
TABLE C.6 – NAK COMMAND-RESPONSE TABLE	90
TABLE C.7 – REQ_RN COMMAND-RESPONSE TABLE	90
TABLE C.8 – <i>Read</i> command-response table	91
TABLE C.9 – Select command-response table	91
TABLE C.10 – WRITE COMMAND-RESPONSE TABLE	91
TABLE C.11 – KILL ¹ COMMAND-RESPONSE TABLE	92
TABLE C.12 – LOCK COMMAND-RESPONSE TABLE	92
TABLE C.13 – Access ¹ command-response table	93
TABLE C.14 – BLOCKWRITE COMMAND-RESPONSE TABLE	93
TABLE C.15 – BLOCKERASE COMMAND-RESPONSE TABLE	94
TABLE C.16 – BLOCKPERMALOCK COMMAND-RESPONSE TABLE	94
TABLE C.17 – T ₂ TIMEOUT COMMAND-RESPONSE TABLE	94
TABLE C.18 – INVALID COMMAND-RESPONSE TABLE	95
TABLE F.1 – CRC-5 REGISTER PRELOAD VALUES	98
TABLE F.2 – EPC MEMORY CONTENTS FOR AN EXAMPLE TAG	99
TABLE I.1 – TAG-ERROR REPLY FORMAT	103
TABLE I.2 – TAG ERROR CODES	103
TABLE K.1 – TAG MEMORY CONTENTS	105
TABLE K.2 – LOCK-FIELD VALUES	105
TABLE K.3 – INTERROGATOR COMMANDS AND TAG REPLIES	106
TABLE M.1 – REVISION HISTORY	108

Foreword

This Class-1 specification defines the base (or foundation) of four EPCglobal radio-frequency identification (RFID) protocols. The feature set for all four protocols is located at <u>www.epglobalinc.org/standards</u>. The feature set for the Class-1 protocol is normative to this document.

The feature set for higher-class protocols is informative to this document.

Regardless of the class definitions, higher-class Tags shall not conflict with the operation of, nor degrade the performance of, Class-1 Tags located in the same RF environment.

Introduction

This specification defines the physical and logical requirements for a passive-backscatter, Interrogator-talks-first (ITF), radio-frequency identification (RFID) system operating in the 860 MHz – 960 MHz frequency range. The system comprises Interrogators, also known as Readers, and Tags, also known as Labels.

An Interrogator transmits information to a Tag by modulating an RF signal in the 860 MHz – 960 MHz frequency range. The Tag receives both information and operating energy from this RF signal. Tags are passive, meaning that they receive all of their operating energy from the Interrogator's RF waveform.

An Interrogator receives information from a Tag by transmitting a continuous-wave (CW) RF signal to the Tag; the Tag responds by modulating the reflection coefficient of its antenna, thereby backscattering an information signal to the Interrogator. The system is ITF, meaning that a Tag modulates its antenna reflection coefficient with an information signal only after being directed to do so by an Interrogator.

Interrogators and Tags are not required to talk simultaneously; rather, communications are half-duplex, meaning that Interrogators talk and Tags listen, or vice versa.

1. Scope

This document specifies:

- Physical interactions (the signaling layer of the communication link) between Interrogators and Tags,
- Interrogator and Tag operating procedures and commands, and
- The collision arbitration scheme used to identify a specific Tag in a multiple-Tag environment.

2. Conformance

2.1 Claiming conformance

A device shall not claim conformance with this specification unless the device complies with

- a) all clauses in this specification (except those marked as optional), and
- b) the conformance document associated with this specification, and,
- c) all local radio regulations.

Conformance may also require a license from the owner of any intellectual property utilized by said device.

2.2 General conformance requirements

2.2.1 Interrogators

To conform to this specification, an Interrogator shall:

- Meet the requirements of this specification,
- Implement the mandatory commands defined in this specification,
- Modulate/transmit and receive/demodulate a sufficient set of the electrical signals defined in the signaling layer of this specification to communicate with conformant Tags, and
- Conform to all local radio regulations.

To conform to this specification, an Interrogator may:

- Implement any subset of the optional commands defined in this specification, and
- Implement any proprietary and/or custom commands in conformance with this specification.

To conform to this specification, an Interrogator shall not:

- Implement any command that conflicts with this specification, or
- Require using an optional, proprietary, or custom command to meet the requirements of this specification.

2.2.2 Tags

To conform to this specification, a Tag shall:

- Meet the requirements of this specification,
- Operate over the frequency range from 860 960 MHz, inclusive,
- Implement the mandatory commands defined in this specification,
- Modulate a backscatter signal only after receiving the requisite command from an Interrogator, and
- Conform to all local radio regulations.

To conform to this specification, a Tag may:

- Implement any subset of the optional commands defined in this specification, and
- Implement any proprietary and/or custom commands as defined in Proprietary commands and 2.3.4, respectively.

To conform to this specification, a Tag shall not:

• Implement any command that conflicts with this specification,

- Require using an optional, proprietary, or custom command to meet the requirements of this specification, or
- Modulate a backscatter signal unless commanded to do so by an Interrogator using the signaling layer defined in this specification.

2.3 Command structure and extensibility

This specification allows four command types: (1) mandatory, (2) optional, (3) proprietary, and (4) custom. Subclause 6.3.2.11 and Table 6.18 define the structure of the command codes used by Interrogators and Tags for each of the four types, as well as the availability of future extensions.

All commands defined by this specification are either mandatory or optional.

Proprietary or custom commands are vendor-defined.

2.3.1 Mandatory commands

Conforming Tags shall support all mandatory commands. Conforming Interrogators shall support all mandatory commands.

2.3.2 Optional commands

Conforming Tags may or may not support optional commands. Conforming Interrogators may or may not support optional commands. If a Tag or an Interrogator implements an optional command, it shall implement it in the manner specified in this specification.

2.3.3 Proprietary commands

Proprietary commands may be enabled in conformance with this specification, but are not specified herein. All proprietary commands shall be capable of being permanently disabled. Proprietary commands are intended for manufacturing purposes and shall not be used in field-deployed RFID systems.

2.3.4 Custom commands

Custom commands may be enabled in conformance with this specification, but are not specified herein. An Interrogator shall issue a custom command only after (1) singulating a Tag, and (2) reading (or having prior knowledge of) the Tag manufacturer's identification in the Tag's TID memory. An Interrogator shall use a custom command only in accordance with the specifications of the Tag manufacturer identified in the Tag ID. A custom command shall not solely duplicate the functionality of any mandatory or optional command defined in this specification by a different method.

2.4 Reserved for Future Use (RFU)

This specification denotes some Tag memory addresses, Interrogator command codes, and bit fields within some Interrogator commands as being RFU. In general, EPCglobal is reserving these RFU values for use in higherclass specifications. Under some circumstances EPCglobal may permit another standards body or related organization to use one or more of these RFU values for standardization purposes. In these circumstances the permitted body shall keep EPCglobal appraised, in a timely manner, of its use or potential use of these RFU values. Third parties, including but not limited to solution providers and end users, shall not use these RFU values for proprietary purposes.

Bit E_h of XPC_W1 (EPC memory location 211_h – see 6.3.2.1.2.5) shall be reserved for use as a protocol functionality indicator. If another standards-setting body authorizes any physical or logical Tag operation that is incompatible with this EPCglobal specification then that standards-setting body shall employ bit E_h as follows:

If bit E_h of XPC_W1 contains a logical 0 then the application is referred to as an EPCglobal[™] Application and a Tag shall follow the physical and logical requirements specified by this EPC[™] Class-1 Generation-2 UHF RFID Protocol. If bit E_h contains a logical 1 then the application is referred to as a non-EPCglobal[™] Application and a Tag may follow the physical and logical requirements specified by a non-EPC[™] Protocol. The default value for bit E_h shall be 0.

3. Normative references

The following referenced documents are indispensable to the application of this specification. For dated references, only the edition cited applies. For undated references, the latest edition (including any amendments) applies.

EPCglobal™: *EPC™ Tag Data Standards*

EPCglobal™ (2004): FMCG RFID Physical Requirements Document

EPCglobal™ (2007): ILT JRG Protocol Requirements Document V1.2.3

European Telecommunications Standards Institute (ETSI), EN 300 220 (all parts): *Electromagnetic compatibility* and Radio spectrum Matters (ERM); Short Range Devices (SRD); Radio equipment to be used in the 25 MHz to 1000 MHz frequency range with power levels ranging up to 500 mW

European Telecommunications Standards Institute (ETSI), EN 302 208: *Electromagnetic compatibility and radio* spectrum matters (*ERM*) – Radio-frequency identification equipment operating in the band 865 MHz to 868 MHz with power levels up to 2 W, Part 1 – Technical characteristics and test methods

European Telecommunications Standards Institute (ETSI), EN 302 208: Electromagnetic compatibility and radio spectrum matters (ERM) – Radio-frequency identification equipment operating in the band 865 MHz to 868 MHz with power levels up to 2 W, Part 2 – Harmonized EN under article 3.2 of the R&TTE directive

ISO/IEC Directives, Part 2: Rules for the structure and drafting of International Standards

ISO/IEC 3309: Information technology – Telecommunications and information exchange between systems – High-level data link control (HDLC) procedures – Frame structure

ISO/IEC 15961: Information technology, Automatic identification and data capture – Radio frequency identification (RFID) for item management – Data protocol: application interface

ISO/IEC 15962: Information technology, Automatic identification and data capture techniques – Radio frequency identification (RFID) for item management – Data protocol: data encoding rules and logical memory functions

ISO/IEC 15963: Information technology — Radiofrequency identification for item management — Unique identification for RF tags

ISO/IEC 18000-1: Information technology — Radio frequency identification for item management — Part 1: Reference architecture and definition of parameters to be standardized

ISO/IEC 18000-6: Information technology automatic identification and data capture techniques — Radio frequency identification for item management air interface — Part 6: Parameters for air interface communications at 860–960 MHz

ISO/IEC 19762: Information technology AIDC techniques – Harmonized vocabulary – Part 3: radio-frequency identification (RFID)

U.S. Code of Federal Regulations (CFR), Title 47, Chapter I, Part 15: Radio-frequency devices, U.S. Federal Communications Commission

4. Terms and definitions

The principal terms and definitions used in this specification are described in ISO/IEC 19762.

4.1 Additional terms and definitions

Terms and definitions specific to this document that supersede any normative references are as follows:

• Air interface

The complete communication link between an Interrogator and a Tag including the physical layer, collision arbitration algorithm, command and response structure, and data-coding methodology.

Command set

The set of commands used to explore and modify a Tag population.

Continuous wave

Typically a sinusoid at a given frequency, but more generally any Interrogator waveform suitable for powering a passive Tag without amplitude and/or phase modulation of sufficient magnitude to be interpreted by a Tag as transmitted data.

Cover-coding

A method by which an Interrogator obscures information that it is transmitting to a Tag. To cover-code data or a password, an Interrogator first requests a random number from the Tag. The Interrogator then performs a bit-wise EXOR of the data or password with this random number, and transmits the cover-coded (also called ciphertext) string to the Tag. The Tag uncovers the data or password by performing a bit-wise EXOR of the received cover-coded string with the original random number.

Dense-Interrogator environment

An operating environment (defined below) within which most or all of the available channels are occupied by active Interrogators (for example, 25 active Interrogators operating in 25 available channels).

Dense-Interrogator mode

A set of Interrogator-to-Tag and Tag-to-Interrogator signaling parameters used in dense-Interrogator environments.

• Extended temperature range

-40 °C to +65 °C (see nominal temperature range).

• EPCglobal[™] Application

An application whose usage denotes an acceptance of EPCglobal[™] standards and policies (see non-EPCglobal[™] Application).

• Full-duplex communications

A communications channel that carries data in both directions at once. See also half-duplex communications.

Half-duplex communications

A communications channel that carries data in one direction at a time rather than in both directions at once. See also full-duplex communications.

Inventoried flag

A flag that indicates whether a Tag may respond to an Interrogator. Tags maintain a separate **inventoried** flag for each of four sessions; each flag has symmetric *A* and *B* values. Within any given session, Interrogators typically inventory Tags from *A* to *B* followed by a re-inventory of Tags from *B* back to *A* (or vice versa).

Inventory round

The period initiated by a *Query* command and terminated by either a subsequent *Query* command (which also starts a new inventory round) or a *Select* command.

Multiple-Interrogator environment

An operating environment (defined below) within which a modest number of the available channels are occupied by active Interrogators (for example, 5 active Interrogators operating in 25 available channels).

• Nominal temperature range

-25 °C to +40 °C (see extended temperature range).

• Non-EPCglobal[™] Application

An application whose usage does not denote an acceptance of EPCglobal[™] standards and policies (see EP-Cglobal[™] Application).

• Operating environment

A region within which an Interrogator's RF transmissions are attenuated by less than 90dB. In free space, the operating environment is a sphere whose radius is approximately 1000m, with the Interrogator located at the center. In a building or other enclosure, the size and shape of the operating environment depends on factors such as the material properties and shape of the building, and may be less than 1000m in certain directions and greater than 1000m in other directions.

• Operating procedure

Collectively, the set of functions and commands used by an Interrogator to identify and modify Tags. (Also known as the *Tag-identification layer*.)

PacketCRC

A 16-bit cyclic-redundancy check (CRC) code that a Tag may dynamically calculate over its PC, optional XPC, and EPC and backscatter during inventory (see StoredCRC).

PacketPC

Protocol-control information that a Tag with nonzero-valued XI dynamically calculates and backscatters during inventory (see StoredPC).

• Passive Tag (or passive Label)

A Tag (or Label) whose transceiver is powered by the RF field.

• Permalock or Permalocked

A memory location whose lock status is unchangeable (i.e. the memory location is permanently locked or permanently unlocked) except by recommissioning the Tag is said to be permalocked.

• Persistent memory or persistent flag

A memory or flag value whose state is maintained during a brief loss of Tag power.

• Physical layer

The data coding and modulation waveforms used in Interrogator-to-Tag and Tag-to-Interrogator signaling.

Protocol

Collectively, a physical layer and a Tag-identification layer specification.

• Q

A parameter that an Interrogator uses to regulate the probability of Tag response. An Interrogator commands Tags in an inventory round to load a Q-bit random (or pseudo-random) number into their slot counter; the Interrogator may also command Tags to decrement their slot counter. Tags reply when the value in their slot counter (i.e. their slot – see below) is zero. Q is an integer in the range (0,15); the corresponding Tagresponse probabilities range from $2^0 = 1$ to $2^{-15} = 0.000031$.

• Random-slotted collision arbitration

A collision-arbitration algorithm where Tags load a random (or pseudo-random) number into a slot counter, decrement this slot counter based on Interrogator commands, and reply to the Interrogator when their slot counter reaches zero.

Recommissioning

A significant altering of a Tag's functionality and/or memory contents, as commanded by an Interrogator, typically in response to a change in the Tag's usage model or purpose.

Session

An inventory process comprising an Interrogator and an associated Tag population. An Interrogator chooses one of four sessions and inventories Tags within that session. The Interrogator and associated Tag population

operate in one and only one session for the duration of an inventory round (defined above). For each session, Tags maintain a corresponding **inventoried** flag. Sessions allow Tags to keep track of their inventoried status separately for each of four possible time-interleaved inventory processes, using an independent **inventoried** flag for each process.

• Single-Interrogator environment

An operating environment (defined above) within which there is a single active Interrogator at any given time.

Singulation

Identifying an individual Tag in a multiple-Tag environment.

Slot

Slot corresponds to the point in an inventory round at which a Tag may respond. Slot is the value output by a Tag's slot counter; Tags reply when their slot (i.e. the value in their slot counter) is zero. See also *Q* (above).

StoredCRC

A 16-bit cyclic-redundancy check (CRC) code that a Tag calculates over its StoredPC and EPC and stores in EPC memory at power-up, and may backscatter during inventory (see PacketCRC).

StoredPC

Protocol-control information stored in EPC memory that a Tag with zero-valued XI backscatters during inventory (see PacketPC).

• Tag-identification layer

Collectively, the set of functions and commands used by an Interrogator to identify and modify Tags (also known as the *operating procedure*).

• Tari

Reference time interval for a data-0 in Interrogator-to-Tag signaling. The mnemonic "Tari" derives from the ISO/IEC 18000-6 (part A) specification, in which Tari is an abbreviation for <u>Type A Reference Interval</u>.

5. Symbols, abbreviated terms, and notation

The principal symbols and abbreviated terms used in this specification are detailed in ISO/IEC 19762, *Information technology AIDC techniques – vocabulary*. Symbols, abbreviated terms, and notation specific to this document are as follows:

5.1 Symbols

BLF	Backscatter-link frequency (BLF = 1/T _{pri} = DR/TRcal)
DR	Divide ratio
FT	Frequency tolerance
М	Number of subcarrier cycles per symbol
M _h	RF signal envelope ripple (overshoot)
M _{hh}	FHSS signal envelope ripple (overshoot)
Mı	RF signal envelope ripple (undershoot)
M _{hl}	FHSS signal envelope ripple (undershoot)
Ms	RF signal level when OFF
M _{hs}	FHSS signal level during a hop
Q	Slot-count parameter
R=>T	Interrogator-to-Tag
RTcal	Interrogator-to-Tag calibration symbol
T ₁	Time from Interrogator transmission to Tag response
T₂	Time from Tag response to Interrogator transmission
T ₃	Time an Interrogator waits, after T_1 , before it issues another command
T₄	Minimum time between Interrogator commands

T _f or T _{f,10-90%}	RF signal envelope fall time
T _{hf}	FHSS signal envelope fall time
T _{hr}	FHSS signal envelope rise time
T _{hs}	Time for an FHSS signal to settle to within a specified percentage of its final value
T _{pri}	Backscatter-link pulse-repetition interval (T _{pri} = 1/BLF = TRcal/DR)
T _r or T _{r,10-90%}	RF signal envelope rise time
Ts	Time for an RF signal to settle to within a specified percentage of its final value
T=>R	Tag-to-Interrogator
TRcal	Tag-to-Interrogator calibration symbol
X _{fp}	floating-point value
XXXX ₂	binary notation
xxxx _h	hexadecimal notation

5.2 Abbreviated terms

AFI	Application family identifier
AM	Amplitude modulation
ASK	Amplitude shift keying
CEPT	Conference of European Posts and Telecommunications
Ciphertext	Information that is cover-coded
CRC	Cyclic redundancy check
CW	Continuous wave
dBch	Decibels referenced to the integrated power in the reference channel
DSB	Double sideband
DSB-ASK	Double-sideband amplitude-shift keying
EPC	Electronic product code
ETSI	European Telecommunications Standards Institute
FCC	Federal Communications Commission
FDM	Frequency-Division Multiplexing
FHSS	Frequency-hopping spread spectrum
Handle	16-bit Tag-authentication number
NSI	Numbering system identifier
PC	Protocol control
PIE	Pulse-interval encoding
Pivot	Decision threshold differentiating an R=>T data-0 symbol from a data-1 symbol
Plaintext	Information that is not cover-coded
ppm	Parts-per-million
PSK	Phase shift keying or phase shift keyed
PR-ASK	Phase-reversal amplitude shift keying
RF	Radio frequency
RFID	Radio-frequency identification
RFU	Reserved for future use
RN16	16-bit random or pseudo-random number
RNG	Random or pseudo-random number generator
ITF	Interrogator talks first (reader talks first)
SSB	Single sideband
SSB-ASK	Single-sideband amplitude-shift keying
TDM	Time-division multiplexing or time-division multiplexed (as appropriate)
Tag ID	Tag-identification or Tag identifier, depending on context
Word	16 bits
UMI	User-memory indicator
XI	XPC_W1 indicator

XPC	Extended protocol control
XPC_W1	XPC word 1
XPC_W2	XPC word 2
XEB	XPC extension bit

5.3 Notation

This specification uses the following notational conventions:

- States and flags are denoted in bold. Example: **ready**.
- Commands are denoted in italics. Variables are also denoted in italics. Where there might be confusion between commands and variables, this specification will make an explicit statement. Example: *Query*.
- Command parameters are underlined. Example: Pointer.
- For logical negation, labels are preceded by '~'. Example: If flag is true, then ~flag is false.
- The symbol, R=>T, refers to commands or signaling from an Interrogator to a Tag (Reader-to-Tag).
- The symbol, T=>R, refers to commands or signaling from a Tag to an Interrogator (Tag-to-Reader).

6. Protocol requirements

6.1 **Protocol overview**

6.1.1 Physical layer

An Interrogator sends information to one or more Tags by modulating an RF carrier using double-sideband amplitude shift keying (DSB-ASK), single-sideband amplitude shift keying (SSB-ASK), or phase-reversal amplitude shift keying (PR-ASK) using a pulse-interval encoding (PIE) format. Tags receive their operating energy from this same modulated RF carrier.

An Interrogator receives information from a Tag by transmitting an unmodulated RF carrier and listening for a backscattered reply. Tags communicate information by backscatter modulating the amplitude and/or phase of the RF carrier. The encoding format, selected in response to Interrogator commands, is either FM0 or Miller-modulated subcarrier. The communications link between Interrogators and Tags is half-duplex, meaning that Tags shall not be required to demodulate Interrogator commands while backscattering. A Tag shall not respond to a mandatory or optional command using full-duplex communications.

6.1.2 Tag-identification layer

An Interrogator manages Tag populations using three basic operations:

- a) **Select.** The operation of choosing a Tag population for inventory and access. A *Select* command may be applied successively to select a particular Tag population based on user-specified criteria. This operation is analogous to selecting records from a database.
- b) Inventory. The operation of identifying Tags. An Interrogator begins an inventory round by transmitting a *Query* command in one of four sessions. One or more Tags may reply. The Interrogator detects a single Tag reply and requests the PC/XPC word(s), EPC, and CRC from the Tag. Inventory comprises multiple commands. An inventory round operates in one and only one session at a time.
- c) Access. The operation of communicating with (reading from and/or writing to) a Tag. An individual Tag must be uniquely identified prior to access. Access comprises multiple commands, some of which employ one-time-pad based cover-coding of the R=>T link.

6.2 Protocol parameters

6.2.1 Signaling – Physical and media access control (MAC) parameters

Table 6.1 and Table 6.2 provide an overview of parameters for R=>T and T=>R communications according to this specification; for detailed requirements refer to the referenced Subclause. For those parameters that do not apply to or are not used in this specification, the notation "N/A" shall indicate that the parameter is "Not Applicable".

Ref.	Parameter Name	Description	Subclause
Int:1	Operating Frequency Range	860 – 960 MHz, as required by local regulations	6.3.1.1
Int:1a	Default Operating Frequency	Determined by local radio regulations and by the radio-frequency environment at the time of the communication	6.3.1.1
Int:1b	Operating Channels (spread-spectrum systems)	In accordance with local regulations; if the chan- nelization is unregulated, then as specified	6.3.1.2.10, <u>Annex G</u>
Int:1c	Operating Frequency Accuracy	As specified	6.3.1.2.1
Int:1d	Frequency Hop Rate (frequency-hopping [FHSS] systems)	In accordance with local regulations	6.3.1.2.9
Int:1e	Frequency Hop Sequence (frequency-hopping [FHSS] systems)	In accordance with local regulations	6.3.1.2.9
Int:2	Occupied Channel Bandwidth	In accordance with local regulations	N/A
Int:2a	Minimum Receiver Bandwidth	In accordance with local regulations	N/A
Int:3	Interrogator Transmit Maximum EIRP	In accordance with local regulations	N/A
Int:4	Interrogator Transmit Spurious Emis- sions	As specified; tighter emission limits may be imposed by local regulations	6.3.1.2.11
Int:4a	Interrogator Transmit Spurious Emis- sions, In-Band (spread-spectrum sys- tems)	As specified; tighter emission limits may be imposed by local regulations	6.3.1.2.11
Int:4b	Interrogator Transmit Spurious Emis- sions, Out-of-Band	As specified; tighter emission limits may be imposed by local regulations	6.3.1.2.11
Int:5	Interrogator Transmitter Spectrum Mask	As specified; tighter emission limits may be imposed by local regulations	Figure 6.6, Figure 6.7
Int:6	Timing	As specified	6.3.1.6, Figure 6.16, Table 6.13
Int:6a	Transmit-to-Receive Turn-Around Time	MAX(RTcal,10T _{pri}) nominal	6.3.1.6, Figure 6.16, Table 6.13
Int:6b	Receive-to-Transmit Turn-Around Time	3T _{pri} minimum; 20T _{pri} maximum when Tag is in reply & acknowledged states; no limit otherwise	6.3.1.6, Figure 6.16, Table 6.13
Int:6c	Dwell Time or Interrogator Transmit Power-On Ramp	1500 μs, maximum settling time	6.3.1.2.6, Figure 6.3, Table 6.6
Int:6d	Decay Time or Interrogator Transmit Power-Down Ramp	500 μs, maximum	6.3.1.2.7 Figure 6.3, Table 6.7
Int:7	Modulation	DSB-ASK, SSB-ASK, or PR-ASK	6.3.1.2.2
Int:7a	Spreading Sequence (direct-sequence [DSSS] systems)	N/A	N/A
Int:7b	Chip Rate (spread-spectrum systems)	N/A	N/A
Int:7c	Chip Rate Accuracy (spread-spectrum systems)	N/A	N/A
Int:7d	Modulation Depth	90% nominal	6.3.1.2.5, Figure 6.2, Table 6.5
Int:7e	Duty Cycle	48% – 82.3% (time the waveform is high)	6.3.1.2.3, Figure 6.1, Table 6.5
Int:7f	FM Deviation	N/A	N/A
Int:8	Data Coding	PIE	6.3.1.2.3, Figure 6.1
Int:9	Bit Rate	26.7 kbps to 128 kbps (assuming equiprobable data)	6.3.1.2.4
Int:9a	Bit Rate Accuracy	+/– 1%, minimum	6.3.1.2.4
Int:10	Interrogator Transmit Modulation Ac- curacy	As specified	6.3.1.2.4

Table 6.1 – Interrogator-to-Tag (R=>T) communications

Ref.	Parameter Name	Description	Subclause
Int:11	Preamble	Required	6.3.1.2.8
Int:11a	Preamble Length	As specified	6.3.1.2.8
Int:11b	Preamble Waveform(s)	As specified	Figure 6.4
Int:11c	Bit Sync Sequence	None	N/A
Int:11d	Frame Sync Sequence	Required	6.3.1.2.8, Figure 6.4
Int:12	Scrambling (spread-spectrum sys- tems)	N/A	N/A
Int:13	Bit Transmission Order	MSB is transmitted first	6.3.1.4
Int:14	Wake-up Process	As specified	6.3.1.3.4
Int:15	Polarization	Not specified	N/A

Ref.	Parameter Name	Description	Subclause
Tag:1	Operating Frequency Range	860 – 960 MHz, inclusive	6.3.1.1
Tag:1a	Default Operating Frequency	Tags respond to Interrogator signals that satisfy Int:1a	6.3.1.1
Tag:1b	Operating Channels (spread-spectrum systems)	Tags respond to Interrogator signals that satisfy Int:1b	6.3.1.2.10
Tag:1c	Operating Frequency Accuracy	As specified	6.3.1.3.3 Table 6.9
Tag:1d	Frequency Hop Rate (frequency-hopping [FHSS] systems)	Tags respond to Interrogator signals that satisfy Int:1d	6.3.1.2.9
Tag:1e	Frequency Hop Sequence (frequency-hopping [FHSS] systems)	Tags respond to Interrogator signals that satisfy Int:1e	6.3.1.2.9
Tag:2	Occupied Channel Bandwidth	In accordance with local regulations	N/A
Tag:3	Transmit Maximum EIRP	In accordance with local regulations	N/A
Tag:4	Transmit Spurious Emissions	In accordance with local regulations	N/A
Tag:4a	Transmit Spurious Emissions, In-Band (spread-spectrum systems)	In accordance with local regulations	N/A
Tag:4b	Transmit Spurious Emissions, Out-of- Band	In accordance with local regulations	N/A
Tag:5	Transmit Spectrum Mask	In accordance with local regulations	N/A
Tag:6a	Transmit-to-Receive Turn-Around Time	3T _{pri} minimum, 32T _{pri} maximum in reply & ac- knowledged states; no limit otherwise	6.3.1.6, Figure 6.16, Table 6.13
Tag:6b	Receive-to-Transmit Turn-Around Time	MAX(RTcal,10T _{pri}) nominal	6.3.1.6, Figure 6.16, Table 6.13
Tag:6c	Dwell Time or Transmit Power-On Ramp	Receive commands 1.5 ms after power-up	6.3.1.3.4
Tag:6d	Decay Time or Transmit Power-Down Ramp	N/A	N/A

Table 6.2 – Tag-to-Interrogator (T=>R) communications

Ref.	Parameter Name	Description	Subclause
Tag:7	Modulation	ASK and/or PSK modulation (selected by Tag)	6.3.1.3.1
Tag:7a	Spreading Sequence (direct sequence [DSSS] systems)	N/A	N/A
Tag:7b	Chip Rate (spread-spectrum systems)	N/A	N/A
Tag:7c	Chip Rate Accuracy (spread-spectrum systems)	N/A	N/A
Tag:7d	On-Off Ratio	Not specified	N/A
Tag:7e	Subcarrier Frequency	40 kHz to 640 kHz	6.3.1.3.3 Table 6.9
Tag:7f	Subcarrier Frequency Accuracy	As specified	Table 6.9
Tag:7g	Subcarrier Modulation	Miller, at the data rate	6.3.1.3.2.3, Figure 6.13
Tag:7h	Duty Cycle	FM0: 50%, nominal Subcarrier: 50%, nominal	6.3.1.3.2.1, 6.3.1.3.2.3
Tag:7I	FM Deviation	N/A	N/A
Tag:8	Data Coding	Baseband FM0 or Miller-modulated subcarrier (selected by the Interrogator)	6.3.1.3.2
Tag:9	Bit Rate	FM0: 40 kbps to 640 kbps Subcarrier modulated: 5 kbps to 320 kbps	6.3.1.3.3, Table 6.9, Table 6.10
Tag:9a	Bit Rate Accuracy	Same as Subcarrier Frequency Accuracy; see Tag:7f	6.3.1.3.3, Table 6.9, Table 6.10
Tag:10	Tag Transmit Modulation Accuracy (frequency-hopping [FHSS] systems	N/A	N/A
Tag:11	Preamble	Required	6.3.1.3.2.2, 6.3.1.3.2.4
Tag:11a	Preamble Length	As specified	Figure 6.11, Figure 6.15
Tag:11b	Preamble Waveform	As specified	Figure 6.11, Figure 6.15
Tag:11c	Bit-Sync Sequence	None	N/A
Tag:11d	Frame-Sync Sequence	None	N/A
Tag:12	Scrambling (spread-spectrum systems)	N/A	N/A
Tag:13	Bit Transmission Order	MSB is transmitted first	6.3.1.4
Tag:14	Reserved	Deliberately left blank	N/A
Tag:15	Polarization	Tag dependent; not specified by this document	N/A
Tag:16	Minimum Tag Receiver Bandwidth	Tag dependent; not specified by this document.	N/A

6.2.2 Logical – Operating procedure parameters

Table 6.3 and Table 6.4 identify and describe parameters used by an Interrogator during the selection, inventory, and access of Tags according to this specification. For those parameters that do not apply to or are not used in this specification, the notation "N/A" shall indicate that the parameter is "Not Applicable".

Ref.	Parameter Name	Description	Subclause
P:1	Who Talks First	Interrogator	6.3
P:2	Tag Addressing Capability	As specified	6.3.2.1
P:3	Tag EPC	Contained in Tag memory	6.3.2.1
P:3a	EPC Length	As specified	6.3.2.1.2.2
P:3b	EPC Format	NSI < 100 _h : As specified in EPCglobal™ Tag Data Standards, NSI ≥ 100 _h : As specified in ISO/IEC 15961	6.3.2.1.2.2 6.3.2.1.2.3 6.3.2.1.2.4
P:4	Read size	Multiples of 16 bits	6.3.2.11.3.2 Table 6.33
P:5	Write Size	Multiples of 16 bits	6.3.2.11.3.3, Table 6.35, 6.3.2.11.3.7, Table 6.46
P:6	Read Transaction Time	Varied with R=>T and T=>R link rate and number of bits being read	6.3.2.11.3.2
P:7	Write Transaction Time	20 ms (maximum) after end of <i>Write</i> command	6.3.2.11.3.3, 6.3.2.11.3.7, Figure 6.22
P:8	Error Detection	Interrogator-to-Tag: Select command: 16-bit CRC Query command: 5-bit CRC Other Inventory commands: Command length Access commands: 16-bit CRC Tag-to-Interrogator: PC/XPC, EPC: 16-bit CRC RN16: None or 16-bit CRC (varies by command) <u>handle</u> : 16-bit CRC All other: 16-bit CRC	6.3.2.11 and its subsections
P:9	Error Correction	None	N/A
P:10	Memory Size	Tag dependent, extensible (size is neither limited nor specified by this document)	N/A
P:11	Command Structure and Extensibility	As specified	Table 6.18

Table 6.3 – Tag inver	ntory and access parameters
-----------------------	-----------------------------

Table 6.4 – Collision management parameters

Ref.	Parameter Name	Description	Subclause
A:1	Type (Probabilistic or Deterministic)	Probabilistic	6.3.2.6
A:2	Linearity	Linear up to 2 ¹⁵ Tags in the Interrogator's RF field; above that number, NlogN for Tags with unique EPCs	6.3.2.8
A:3	Tag Inventory Capacity	Unlimited for Tags with unique EPCs	6.3.2.8

6.3 Description of operating procedure

The operating procedure defines the physical and logical requirements for an Interrogator-talks-first (ITF), random-slotted collision arbitration, RFID system operating in the 860 MHz – 960 MHz frequency range.

6.3.1 Signaling

The signaling interface between an Interrogator and a Tag may be viewed as the physical layer in a layered network communication system. The signaling interface defines frequencies, modulation, data coding, RF envelope, data rates, and other parameters required for RF communications.

6.3.1.1 Operational frequencies

Tags shall receive power from and communicate with Interrogators within the frequency range from 860 MHz to 960 MHz, inclusive. An Interrogator's choice of operational frequency will be determined by local radio regulations and by the local radio-frequency environment. Interrogators certified for operation in dense-Interrogator environments shall support, but are not required to always use, the optional dense-Interrogator mode described in <u>Annex</u> <u>G</u>.

6.3.1.2 Interrogator-to-Tag (R=>T) communications

An Interrogator communicates with one or more Tags by modulating an RF carrier using DSB-ASK, SSB-ASK, or PR-ASK with PIE encoding. Interrogators shall use a fixed modulation format and data rate for the duration of an inventory round, where "inventory round" is defined in 4.1. The Interrogator sets the data rate by means of the preamble that initiates the round.

The high values in Figure 6.1, Figure 6.2, Figure 6.3, Figure 6.4, and Figure 6.5 correspond to emitted CW (i.e. an Interrogator delivering power to the Tag or Tags) whereas the low values correspond to attenuated CW.

6.3.1.2.1 Interrogator frequency accuracy

Interrogators certified for operation in single- or multiple-Interrogator environments shall have a frequency accuracy that meets local regulations.

Interrogators certified for operation in dense-Interrogator environments shall have a frequency accuracy of +/– 10 ppm over the nominal temperature range (–25°C to +40°C) and +/– 20 ppm over the extended temperature range (–40°C to +65°C). Interrogators rated by the manufacturer to have a temperature range wider than nominal but different from extended shall have a frequency accuracy of +/– 10 ppm over the nominal temperature range and +/– 20 ppm to the extent of their rated range. If local regulations specify tighter frequency accuracy then the Interrogator shall meet the local regulations.

6.3.1.2.2 Modulation

Interrogators shall communicate using DSB-ASK, SSB-ASK, or PR-ASK modulation, detailed in <u>Annex H</u>. Tags shall demodulate all three modulation types.

6.3.1.2.3 Data encoding

The R=>T link shall use PIE, shown in Figure 6.1. Tari is the reference time interval for Interrogator-to-Tag signaling, and is the duration of a data-0. High values represent transmitted CW; low values represent attenuated CW.



Pulse modulation depth, rise time, fall time, and PW shall be as specified in Table 6.5, and shall be the same for a data-0 and a data-1. Interrogators shall use a fixed modulation depth, rise time, fall time, PW, Tari, data-0 length, and data-1 length for the duration of an inventory round. The RF envelope shall be as specified in Figure 6.2.

6.3.1.2.4 Tari values

Interrogators shall communicate using Tari values in the range of 6.25 μ s to 25 μ s. Interrogator compliance shall be evaluated using at least one Tari value between 6.25 μ s and 25 μ s with at least one value of the parameter *x*. The tolerance on all parameters specified in units of Tari shall be +/–1%. The choice of Tari value and *x* shall be in accordance with local radio regulations.

6.3.1.2.5 R=>T RF envelope

The R=>T RF envelope shall comply with Figure 6.2 and Table 6.5. The electric or magnetic field strength A (as appropriate) is the maximum amplitude of the RF envelope, measured in units of V/m or A/m, respectively. Tari is defined in Figure 6.1. The pulsewidth is measured at the 50% point on the pulse. An Interrogator shall not change the R=>T modulation type (i.e. shall not switch between DSB-ASK, SSB-ASK, or PR-ASK) without first powering down its RF waveform (see 6.3.1.2.7).

6.3.1.2.6 Interrogator power-up waveform

The Interrogator power-up RF envelope shall comply with Figure 6.3 and Table 6.6. Once the carrier level has risen above the 10% level, the power-up envelope shall rise monotonically until at least the ripple limit M_I . The RF envelope shall not fall below the 90% point in Figure 6.3 during interval T_s . Interrogators shall not issue commands before the end of the maximum settling-time interval in Table 6.6 (i.e. before the end of T_s). Interrogators shall meet the frequency-accuracy requirement specified in 6.3.1.2.1 by the end of interval T_s in Figure 6.3.



Figure 6.2 – Interrogator-to-Tag RF envelope

Tari	Parameter	Symbol	Minimum	Nominal	Maximum	Units
6.25 µs to 25 µs	Modulation Depth	(A–B)/A	80	90	100	%
	RF Envelope Ripple	$M_h = M_I$	0		0.05(A–B)	V/m or A/m
	RF Envelope Rise Time	t _{r,10-90%}	0		0.33Tari	μs
	RF Envelope Fall Time	t _{f,10-90%}	0		0.33Tari	μs
	RF Pulsewidth	PW	MAX(0.265Tari, 2)		0.525Tari	μs

Table 6.5 – RF envelope parameters



Figure 6.3 – Interrogator power-up and power-down RF envelope

Parameter	arameter Definition		Nominal	Maximum	Units
Tr	Rise time	1		500	μs
Ts	Settling time 1500		1500	μs	
Ms	Signal level when OFF			1	% full scale
Mı	Undershoot			5	% full scale
M _h	Overshoot			5	% full scale

Table 6.6 – Interrogator power-up waveform parameters

 Table 6.7 – Interrogator power-down waveform parameters

Parameter	Parameter Definition		Nominal	Maximum	Units
T _f	Fall time	1		500	μs
Ms	M _s Signal level when OFF			1	% full scale
Mı	M _I Undershoot			5	% full scale
M _h	M _h Overshoot			5	% full scale

6.3.1.2.7 Interrogator power-down waveform

The Interrogator power-down RF envelope shall comply with Figure 6.3 and Table 6.7. Once the carrier level has fallen below the 90% level, the power-down envelope shall fall monotonically until the power-off limit M_s . Once powered off, an Interrogator shall remain powered off for a least 1ms before powering up again.

6.3.1.2.8 R=>T preamble and frame-sync

An Interrogator shall begin all R=>T signaling with either a preamble or a frame-sync, both of which are shown in Figure 6.4. A preamble shall precede a *Query* command (see 6.3.2.11.2.1) and denotes the start of an inventory round. All other signaling shall begin with a frame-sync. The tolerance on all parameters specified in units of Tari shall be +/-1%. PW shall be as specified in Table 6.5. The RF envelope shall be as specified in Figure 6.2.

A preamble shall comprise a fixed-length start delimiter, a data-0 symbol, an R=>T calibration (RTcal) symbol, and a T=>R calibration (TRcal) symbol.

RTcal: An Interrogator shall set RTcal equal to the length of a data-0 symbol plus the length of a data-1 symbol (RTcal = 0_{length} + 1_{length}). A Tag shall measure the length of RTcal and compute *pivot* = RTcal / 2. A Tag shall interpret subsequent Interrogator symbols shorter than *pivot* to be data-0s, and subsequent Interrogator symbols longer than *pivot* to be data-1s. A Tag shall interpret symbols longer than 4 RTcal to be invalid. Prior to changing RTcal, an Interrogator shall transmit CW for a minimum of 8 RTcal.

TRcal: An Interrogator shall specify a Tag's backscatter link frequency (its FM0 datarate or the frequency of its Miller subcarrier) using the TRcal and divide ratio (DR) in the preamble and payload, respectively, of a *Query* command that initiates an inventory round. Equation (1) specifies the relationship between the back-scatter link frequency (BLF), TRcal, and DR. A Tag shall measure the length of TRcal, compute BLF, and adjust its T=>R link rate to be equal to BLF (Table 6.9 shows BLF values and tolerances). The TRcal and RTcal that an Interrogator uses in any inventory round shall meet the constraints in Equation (2):

$$BLF = \frac{DR}{TRcal}$$
(1)

$$1.1 \times RTcal \le TRcal \le 3 \times RTcal$$
 (2)

A frame-sync is identical to a preamble, minus the TRcal symbol. An Interrogator, for the duration of an inventory round, shall use the same length RTcal in a frame-sync as it used in the preamble that initiated the round.

6.3.1.2.9 Frequency-hopping spread-spectrum waveform

When an Interrogator uses frequency-hopping spread spectrum (FHSS) signaling, the Interrogator's RF envelope shall comply with Figure 6.5 and Table 6.8. The RF envelope shall not fall below the 90% point in Figure 6.5 during interval T_{hs} . Interrogators shall not issue commands before the end of the maximum settling-time interval in Table 6.8 (i.e. before the end of T_{hs}). The maximum time between frequency hops and the minimum RF-off time during a hop shall meet local regulatory requirements. Interrogators shall meet the frequency-accuracy requirement specified in 6.3.1.2.1 by the end of interval T_{hs} in Figure 6.5.

6.3.1.2.10 Frequency-hopping spread-spectrum channelization

Interrogators certified for operation in single-Interrogator environments shall meet local regulations for spreadspectrum channelization. Interrogators certified for operation in multiple- or dense-Interrogator environments shall meet local regulations for spread-spectrum channelization, unless the channelization is unregulated, in which case Interrogators shall adopt the channel plan at <u>www.epglobalinc.org/regulatorychannelplans</u> for the chosen regulatory region (see also Annex G, which describes multiple- and dense-Interrogator channelized signaling).

6.3.1.2.11 Transmit mask

Interrogators certified for operation according to this protocol shall meet local regulations for out-of-channel and out-of-band spurious radio-frequency emissions.

Interrogators certified for operation in multiple-Interrogator environments, in addition to meeting local regulations, shall also meet the Multiple-Interrogator Transmit Mask defined in this specification:



Figure 6.4 – R=>T preamble and frame-sync

Multiple-Interrogator Transmit Mask: For an Interrogator transmitting random data in channel R, and any other channel $S \neq R$, the ratio of the integrated power P() in channel S to that in channel R shall not exceed the specified values:

- |R S| = 1: $10\log_{10}(P(S) / P(R)) < -20 \text{ dB}$
- |R S| = 2: $10\log_{10}(P(S) / P(R)) < -50 \text{ dB}$
- |R S| = 3: $10\log_{10}(P(S) / P(R)) < -60 \text{ dB}$
- |R S| > 3: $10\log_{10}(P(S) / P(R)) < -65 \text{ dB}$

Where P() denotes the total integrated power in the specified channel. This mask is shown graphically in Figure 6.6, with dBch defined as dB referenced to the integrated power in the reference channel. The channel width shall be as specified by local regulations, unless the width is unregulated, in which case Interrogators shall adopt the width shown at <u>www.epglobalinc.org/regulatorychannelplans</u> for the chosen regulatory region. The channel spacing shall be set equal to the channel width (measured channel center to channel center). For any transmit channel *R*, two exceptions to the mask are permitted, provided that

- neither exception exceeds -50 dBch, and
- neither exception exceeds local regulatory requirements.

An exception occurs when the integrated power in a channel *S* exceeds the mask. Each channel that exceeds the mask shall be counted as an exception.

Interrogators certified for operation in dense-Interrogator environments shall meet both local regulations and the Transmit Mask shown in Figure 6.6 of this specification, except when operating in the optional dense-Interrogator mode described in <u>Annex G</u>, in which case they shall instead meet the Dense-Interrogator Transmit Mask described below and shown in Figure 6.7. Interrogators may meet the Dense-Interrogator Transmit Mask during non-dense-Interrogator operation. Regardless of the mask used, Interrogators certified for operation in dense-Interrogator environments shall not be permitted the two exceptions to the transmit mask that are allowed for Interrogators certified for operation in multiple-Interrogator environments.

Dense-Interrogator Transmit Mask: For Interrogator transmissions centered at a frequency f_c , a 2.5/Tari bandwidth R_{BW} also centered at f_c , an offset frequency $f_0 = 2.5/Tari$, and a 2.5/Tari bandwidth S_{BW} centered at



Figure 6.5 – FHSS Interrogator RF envelope

Table 6.8 – I	FHSS waveform	parameters

Parameter	Parameter Definition		Nominal	Maximum	Units
T _{hr}	Rise time			500	μs
T _{hs}	T _{hs} Settling time			1500	μs
T _{hf}	T _{hf} Fall time			500	μs
M _{hs}	I _{hs} Signal level during hop			1	% full scale
M _{hl}	M _{hl} Undershoot			5	% full scale
M _{hh}	Overshoot			5	% full scale



Figure 6.6 – Transmit mask for multiple-Interrogator environments



Figure 6.7 – Transmit mask for dense-Interrogator environments

 $(n \times f_{o}) + f_{c}$ (integer *n*), the ratio of the integrated power *P*() in *S*_{*BW*} to that in *R*_{*BW*} with the Interrogator transmitting random data shall not exceed the specified values:

- $|n| = 1: 10\log_{10}(P(S_{BW}) / P(R_{BW})) < -30 \text{ dB}$
- |n| = 2: $10\log_{10}(P(S_{BW}) / P(R_{BW})) < -60 \text{ dB}$
- |n| > 2: $10\log_{10}(P(S_{BW}) / P(R_{BW})) < -65 \text{ dB}$

Where P() denotes the total integrated power in the 2.5/Tari reference bandwidth. This mask is shown graphically in Figure 6.7, with dBch defined as dB referenced to the integrated power in the reference channel.

6.3.1.3 Tag-to-Interrogator (T=>R) communications

A Tag communicates with an Interrogator using backscatter modulation, in which the Tag switches the reflection coefficient of its antenna between two states in accordance with the data being sent.

A Tag shall backscatter using a fixed modulation format, data encoding, and data rate for the duration of an inventory round, where "inventory round" is defined in 4.1. The Tag selects the modulation format; the Interrogator selects the encoding and data rate by means of the *Query* command that initiates the round. The low values in Figure 6.9, Figure 6.10, Figure 6.11, Figure 6.13, Figure 6.14, and Figure 6.15 correspond to the antennareflectivity state the Tag exhibits during the CW period prior to a T=>R preamble (e.g. ASK Tag absorbing power), whereas the high values correspond to the antenna-reflectivity state the Tag exhibits during the first high pulse of a T=>R preamble (e.g. ASK Tag reflecting power).

6.3.1.3.1 Modulation

Tag backscatter shall use ASK and/or PSK modulation. The Tag vendor selects the modulation format. Interrogators shall demodulate both modulation types.

6.3.1.3.2 Data encoding

Tags shall encode the backscattered data as either FM0 baseband or Miller modulation of a subcarrier at the data rate. The Interrogator commands the encoding choice.

6.3.1.3.2.1 FM0 baseband

Figure 6.8 shows basis functions and a state diagram for generating FM0 (bi-phase space) encoding. FM0 inverts the baseband phase at every symbol boundary; a data-0 has an additional mid-symbol phase inversion. The state diagram in Figure 6.8 maps a logical data sequence to the FM0 basis functions that are transmitted. The state labels, S_1 - S_4 , indicate four possible FM0-encoded symbols, represented by the two phases of each of the FM0 basis functions. The state labels also represent the FM0 waveform that is transmitted upon entering the state. The labels on the state transitions indicate the logical values of the data sequence to be encoded. For example, a transition from state S_2 to S_3 is disallowed because the resulting transmission would not have a phase inversion on a symbol boundary.

Figure 6.9 shows generated baseband FM0 symbols and sequences. The duty cycle of a 00 or 11 sequence, measured at the modulator output, shall be a minimum of 45% and a maximum of 55%, with a nominal value of 50%. FM0 encoding has memory; consequently, the choice of FM0 sequences in Figure 6.9 depends on prior transmissions. FM0 signaling shall always end with a "dummy" data-1 bit at the end of a transmission, as shown in Figure 6.10.

6.3.1.3.2.2 FM0 preamble

T=>R FM0 signaling shall begin with one of the two preambles shown in Figure 6.11. The choice depends on the value of the <u>TRext</u> bit specified in the *Query* command that initiated the inventory round, unless a Tag is replying to a command that writes to memory, in which case a Tag shall use the extended preamble regardless of <u>TRext</u> (i.e. the Tag replies as if <u>TRext</u>=1 regardless of the <u>TRext</u> value specified in the *Query*—see 6.3.2.11.3). The "v" shown in Figure 6.11 indicates an FM0 violation (i.e. a phase inversion should have occurred but did not).



Figure 6.8 – FM0 basis functions and generator state diagram



Figure 6.9 – FM0 symbols and sequences



Figure 6.10 – Terminating FM0 transmissions



6.3.1.3.2.3 Miller-modulated subcarrier

Figure 6.12 shows basis functions and a state diagram for generating Miller encoding. Baseband Miller inverts its phase between two data-0s in sequence. Baseband Miller also places a phase inversion in the middle of a data-1 symbol. The state diagram in Figure 6.12 maps a logical data sequence to baseband Miller basis functions. The state labels, S_1 – S_4 , indicate four possible Miller-encoded symbols, represented by the two phases of each of the Miller basis functions. The state labels also represent the baseband Miller waveform that is generated upon entering the state. The transmitted waveform is the baseband waveform multiplied by a square-wave at M times the symbol rate. The labels on the state transitions indicate the logical values of the data sequence to be encoded. For example, a transition from state S_1 to S_3 is disallowed because the resulting transmission would have a phase inversion on a symbol boundary between a data-0 and a data-1.



Figure 6.12 – Miller basis functions and generator state diagram

Figure 6.13 shows Miller-modulated subcarrier sequences; the Miller sequence shall contain exactly two, four, or eight subcarrier cycles per bit, depending on the <u>M</u> value specified in the *Query* command that initiated the inventory round (see Table 6.10). The duty cycle of a 0 or 1 symbol, measured at the modulator output, shall be a minimum of 45% and a maximum of 55%, with a nominal value of 50%. Miller encoding has memory; consequently, the choice of Miller sequences in Figure 6.13 depends on prior transmissions. Miller signaling shall always end with a "dummy" data-1 bit at the end of a transmission, as shown in Figure 6.14.

6.3.1.3.2.4 Miller subcarrier preamble

T=>R subcarrier signaling shall begin with one of the two preambles shown in Figure 6.15. The choice depends on the value of the <u>TRext</u> bit specified in the *Query* command that initiated the inventory round, unless a Tag is replying to a command that writes to memory, in which case a Tag shall use the extended preamble regardless of <u>TRext</u> (i.e. the Tag replies as if <u>TRext</u>=1 regardless of the <u>TRext</u> value specified in the *Query*—see 6.3.2.11.3).



Figure 6.13 – Subcarrier sequences



Miller End-of-Signaling





Figure 6.15 – Subcarrier T=>R preamble

6.3.1.3.3 Tag supported Tari values and backscatter link rates

Tags shall support all R=>T Tari values in the range of 6.25µs to 25µs, over all parameters allowed by 6.3.1.2.3.

Tags shall support the T=>R link frequencies and tolerances specified in Table 6.9 and the T=>R data rates specified in Table 6.10. The frequency-variation requirement in Table 6.9 includes both frequency drift and short-term frequency variation during Tag response to an Interrogator command. The *Query* command that initiates an inventory round specifies <u>DR</u> in Table 6.9 and <u>M</u> in Table 6.10; the preamble that precedes the *Query* specifies TRcal. BLF is computed using Eq. (1). These four parameters together define the backscatter frequency, modulation type (FM0 or Miller), and T=>R data rate for the round (see also 6.3.1.2.8).

6.3.1.3.4 Tag power-up timing

Tags energized by an Interrogator shall be capable of receiving and acting on Interrogator commands within a period not exceeding the maximum settling-time interval specified in Table 6.6 or Table 6.8, as appropriate (i.e. by the end of T_s or T_{hs} , respectively).

6.3.1.3.5 Minimum operating field strength and backscatter strength

For a Tag certified to this protocol, the Tag manufacturer shall specify:

1. free-space sensitivity,

DR: Divide Ratio	TRcal ¹ (μs +/– 1%)	BLF: Link Frequency (kHz)	Frequency Tolerance FT (nominal temp)	Frequency Tolerance FT (extended temp)	Frequency variation during backscatter
	33.3	640	+ / – 15%	+ / – 15%	+ / - 2.5%
	33.3 < TRcal < 66.7	320 < BLF < 640	+ / - 22%	+ / - 22%	+ / - 2.5%
	66.7	320	+ / - 10%	+ / – 15%	+ / - 2.5%
64/3	66.7 < TRcal < 83.3	256 < BLF < 320	+ / – 12%	+ / – 15%	+ / - 2.5%
04/0	83.3	256	+ / - 10%	+ / – 10%	+ / - 2.5%
	83.3 < TRcal <u><</u> 133.3	160 <u><</u> BLF < 256	+ / - 10%	+ / – 12%	+ / - 2.5%
	133.3 < TRcal <u><</u> 200	107 <u><</u> BLF < 160	+ / – 7%	+ / – 7%	+ / - 2.5%
	200 < TRcal <u><</u> 225	95 <u><</u> BLF < 107	+ / – 5%	+ / – 5%	+ / - 2.5%
	17.2 <u><</u> TRcal < 25	320 < BLF <u><</u> 465	+ / – 19%	+ / – 19%	+ / - 2.5%
	25	320	+ / - 10%	+ / – 15%	+ / - 2.5%
	25 < TRcal < 31.25	256 < BLF < 320	+ / – 12%	+ / – 15%	+ / - 2.5%
8	31.25	256	+ / - 10%	+ / - 10%	+ / - 2.5%
0	31.25 < TRcal < 50	160 < BLF < 256	+ / - 10%	+ / - 10%	+ / - 2.5%
	50	160	+ / – 7%	+ / - 7%	+ / - 2.5%
	50 < TRcal <u><</u> 75	107 <u><</u> BLF < 160	+ / - 7%	+ / - 7%	+ / - 2.5%
	75 < TRcal <u><</u> 200	40 <u><</u> BLF < 107	+ / - 4%	+ / - 4%	+ / - 2.5%

Table 6.9 – Tag-to-Interrogator link frequencies

Note 1: Allowing two different TRcal values (with two different DR values) to specify the same BLF offers flexibility in specifying Tari and RTcal.

M: Number of subcarrier cycles per symbol	Modulation type	Data rate (kbps)
1	FM0 baseband	BLF
2	Miller subcarrier	BLF/2
4	Miller subcarrier	BLF/4
8	Miller subcarrier	BLF/8

- 2. minimum backscattered modulated power (ASK modulation) or change in radar cross-section or equivalent (phase modulation), and
- 3. the manufacturer's normal operating conditions,

for the Tag mounted on one or more manufacturer-selected materials.

6.3.1.4 Transmission order

The transmission order for all R=>T and T=>R communications shall be most-significant bit (MSB) first.

Within each message, the most-significant word shall be transmitted first.

Within each word, the MSB shall be transmitted first.

6.3.1.5 Cyclic-redundancy check (CRC)

A CRC is a cyclic-redundancy check that a Tag uses to ensure the validity of certain R=>T commands, and an Interrogator uses to ensure the validity of certain backscattered T=>R replies. This protocol uses two CRC types: (i) a CRC-16, and (ii) a CRC-5. <u>Annex F</u> describes both CRC types.

To generate a CRC-16 a Tag or Interrogator shall first generate the CRC-16 precursor shown in Table 6.11, and then take the ones-complement of the generated precursor to form the CRC-16.

A Tag or Interrogator shall verify the integrity of a received message that uses a CRC-16. The Tag or Interrogator may use one of the methods described in <u>Annex F</u> to verify the CRC-16.

At power-up, a Tag calculates and saves into memory a 16-bit StoredCRC. During inventory, a Tag may backscatter either this StoredCRC, or a 16-bit PacketCRC that the Tag calculates dynamically. See 6.3.2.1.2.1.

Tags shall append a CRC-16 to those replies that use a CRC-16 — see 6.3.2.11 for command-specific replies.

To generate a CRC-5 an Interrogator shall use the definition in Table 6.12.

A Tag shall verify the integrity of a received message that uses a CRC-5. The Tag may use the method described in <u>Annex F</u> to verify a CRC-5.

Interrogators shall append the appropriate CRC to R=>T transmissions as specified in Table 6.18.

6.3.1.6 Link timing

Figure 6.16 illustrates R=>T and T=>R link timing. The figure (not drawn to scale) defines Interrogator interactions with a Tag population. Table 6.13 shows the timing requirements for Figure 6.16, while 6.3.2.11 describes the commands. Tags and Interrogators shall meet all timing requirements shown in Table 6.13. RTcal is defined in 6.3.1.2.8; T_{pri} is the T=>R link period (T_{pri} = 1 / BLF). As described in 6.3.1.2.8, an Interrogator shall use a fixed R=>T link rate for the duration of an inventory round; prior to changing the R=>T link rate, an Interrogator shall transmit CW for a minimum of 8 RTcal.

CRC-16 precursor				
CRC Type	Length	Polynomial	Preset	Residue
ISO/IEC 13239	16 bits	$x^{16} + x^{12} + x^5 + 1$	FFFFh	1D0F _h

Table 6	6.11 –	CRC-16	precursor
---------	--------	--------	-----------

Table 6.12 – CRC-5 definition. See also Annex F

CRC-5 Definition				
CRC Type	Length	Polynomial	Preset	Residue
—	5 bits	$x^{5} + x^{3} + 1$	01001 ₂	00000 ₂



Figure 6.16 – Link timing

Table 6.13 – Link timing parameters

Parameter	Minimum	Nominal	Maximum	Description
T ₁	MAX(RTcal,10T _{pri}) × (1 – FT) – 2µs	MAX(RTcal,10T _{pri})	MAX(RTcal,10T _{pri}) × (1 + FT) + 2µs	Time from Interrogator transmission to Tag re- sponse (specifically, the time from the last rising edge of the last bit of the Interrogator transmission to the first rising edge of the Tag response), meas- ured at the Tag's antenna terminals
T ₂	3.0T _{pri}		20.0T _{pri}	Interrogator response time required if a Tag is to demodulate the Interrogator signal, measured from the end of the last (dummy) bit of the Tag response to the first falling edge of the Interrogator transmis- sion
T ₃	0.0T _{pri}			Time an Interrogator waits, after T_1 , before it issues another command
T ₄	2.0 RTcal			Minimum time between Interrogator commands

The following items apply to the requirements specified in Table 6.13:

1. T_{pri} denotes either the commanded period of an FM0 symbol or the commanded period of a single subcarrier cycle, as appropriate.

2. A Tag may exceed the maximum value for T₁ when responding to commands that write to memory — see, for example, 6.3.2.11.3.3.

- 3. The maximum value for T_2 shall apply only to Tags in the **reply** or **acknowledged** states (see 6.3.2.4.3 and 6.3.2.4.4). For a Tag in the **reply** or **acknowledged** states, if T_2 expires (i.e. reaches its maximum value):
 - Without the Tag receiving a valid command, the Tag shall transition to the arbitrate state (see 6.3.2.4.2),
 - During the reception of a valid command, the Tag shall execute the command,

• During the reception of an invalid command, the Tag shall transition to **arbitrate** upon determining that the command is invalid.

In all other states the maximum value for T_2 shall be unrestricted. A Tag shall be allowed a tolerance of $20.0T_{pri} \le T_{2(max)} \le 32T_{pri}$ in determining whether T_2 has expired. "Invalid command" is defined in 6.3.2.11.

- 4. An Interrogator may transmit a new command prior to interval T₂ (i.e. during a Tag response). In this case the responding Tag is not required to demodulate or otherwise act on the new command, and may undergo a power-on reset.
- 5. FT is the frequency tolerance specified in Table 6.9

6. T_1+T_3 shall not be less than T_4 .
6.3.2 Tag selection, inventory, and access

Tag selection, inventory, and access may be viewed as the lowest level in the data link layer of a layered network communication system.

6.3.2.1 Tag memory

Tag memory shall be logically separated into four distinct banks, each of which may comprise zero or more memory words. A logical memory map is shown in Figure 6.17. The memory banks are:

- **Reserved memory** shall contain the kill and and/or access passwords, if passwords are implemented on the Tag. The kill password shall be stored at memory addresses 00_h to 1F_h; the access password shall be stored at memory addresses 20_h to 3F_h. See 6.3.2.1.1.
- **EPC memory** shall contain a StoredCRC at memory addresses 00_h to 0F_h, a StoredPC at addresses 10_h to 1F_h, a code (such as an EPC, and hereafter referred to as an EPC) that identifies the object to which the Tag is or will be attached beginning at address 20_h, and if the Tag implements Extended Protocol Control (XPC) then either one or two XPC word(s) beginning at address 210_h. See 6.3.2.1.2.
- **TID memory** shall contain an 8-bit ISO/IEC 15963 allocation class identifier at memory locations 00_h to 07_h. TID memory shall contain sufficient identifying information above 07_h for an Interrogator to uniquely identify the custom commands and/or optional features that a Tag supports. See 6.3.2.1.3.

User memory is optional. See 6.3.2.1.4.

The logical addressing of all memory banks shall begin at zero (00_h) . The physical memory map is vendor-specific. Commands that access memory have a <u>MemBank</u> parameter that selects the bank, and an address parameter, specified using the EBV format described in <u>Annex A</u>, to select a particular memory location within that bank. When Tags backscatter memory contents, this backscatter shall fall on word boundaries (except in the case of a truncated reply – see 6.3.2.11.1.1).

MemBank is defined as follows:

- 00₂ Reserved
- 01_2^{-} EPC
- 10_2^{-} TID
- 11₂ User

Operations in one logical memory bank shall not access memory locations in another bank.

Memory writes, detailed in 6.3.2.9, involve the transfer of 16-bit words from Interrogator to Tag. A *Write* command writes 16 bits (i.e. one word) at a time, using link cover-coding to obscure the data during R=>T transmission. The optional *BlockWrite* command writes one or more 16-bit words at a time, without link cover-coding. The optional *BlockErase* command erases one or more 16-bit words at a time. A *Write*, *BlockWrite*, or *BlockErase* shall not alter a Tag's killed status regardless of the memory address (whether valid or invalid) specified in the command.



Figure 6.17 – Logical memory map

Interrogators may lock, permanently lock, unlock, or permanently unlock the kill password, access password, EPC memory, TID memory, and User memory, thereby preventing or allowing subsequent changes (as appropriate). A Tag may optionally have its User memory partitioned into blocks; if it does, then an Interrogator may permanently lock these individual blocks. Recommissioning may alter the memory locking and/or permalocking. See 6.3.2.9 for a description of memory locking and unlocking, and 6.3.2.10 for a description of Tag recommissioning. If the kill and/or access passwords are locked they are usable by only the *Kill* and *Access* commands, respectively, and are rendered both unwriteable and unreadable by any other command. Locking or permanently locking the EPC, TID, or User memory banks, or permanently locking blocks within the User memory bank, renders the locked memory location unwriteable but leaves it readable.

6.3.2.1.1 Reserved Memory

Reserved memory contains the kill (see 6.3.2.1.1.1) and/or access (see 6.3.2.1.1.2) passwords, if passwords are implemented on the Tag. If a Tag does not implement the kill and/or access password(s), the Tag shall logically operate as though it has zero-valued password(s) that are permanently read/write locked (see 6.3.2.11.3.5), and the corresponding physical memory locations in Reserved memory need not exist.

6.3.2.1.1.1 Kill password

The kill password is a 32-bit value stored in Reserved memory 00_h to $1F_h$, MSB first. The default (unprogrammed) value shall be zero. An Interrogator may use the kill password to (1) recommission a Tag, and/or (2) kill a Tag and render it nonresponsive thereafter. A Tag shall not execute a recommissioning or kill operation if its kill password is zero. A Tag that does not implement a kill password operates as if it has a zero-valued kill password that is permanently read/write locked.

6.3.2.1.1.2 Access password

The access password is a 32-bit value stored in Reserved memory 20_h to $3F_h$, MSB first. The default (unprogrammed) value shall be zero. A Tag with a nonzero access password shall require an Interrogator to issue this password before transitioning to the **secured** state. A Tag that does not implement an access password operates as if it has a zero-valued access password that is permanently read/write locked

6.3.2.1.2 EPC Memory

EPC memory contains a StoredCRC at memory addresses 00_h to $0F_h$, a StoredPC at 10_h to $1F_h$, an EPC beginning at 20_h , and if a Tag implements recommissioning then a first XPC word (XPC_W1) at 210_h to $21F_h$ and an optional second XPC word (XPC_W2) at 220_h to $22F_h$. Higher-class Tags may implement an XPC_W1 and an XPC_W2 without implementing recommissioning. The StoredCRC, StoredPC, EPC, and XPC word or words shall be stored MSB first (i.e. the EPC's MSB is stored in location 20_h).

The StoredCRC is described in 6.3.2.1.2.1.

The StoredPC, as described in 6.3.2.1.2.2, is subdivided into an EPC length field in memory locations 10_h to 14_h , a User-memory indicator (UMI) in location 15_h , an XPC_W1 indicator (XI) in location 16_h , and a Numbering System Identifier (NSI) in locations 17_h to $1F_h$.

The EPC is a code that identifies the object to which a Tag is affixed. The EPC for EPCglobalTM Applications is described in 6.3.2.1.2.3; the EPC for non-EPCglobalTM Applications is described in 6.3.2.1.2.4. Interrogators may issue a *Select* command that includes all or part of the EPC in the mask. Interrogators may issue an *ACK* command to cause a Tag to backscatter its EPC. Under certain circumstances the Tag may truncate its backscattered EPC (see 6.3.2.11.1.1). An Interrogator may issue a *Read* command to read all or part of the EPC.

6.3.2.1.2.1 CRC-16 (StoredCRC and PacketCRC)

All Tags shall implement a StoredCRC. Tags that support XPC functionality shall also implement a PacketCRC.

At power-up a Tag shall calculate a CRC-16 over (a) the StoredPC and (b) the EPC specified by the EPC length field in the StoredPC (see 6.3.2.1.2.2) and shall map the calculated CRC-16 into EPC memory 00_h to $0F_h$, MSB first. This CRC is denoted the StoredCRC. Because the StoredPC and EPC comprise an integer number of EPC-memory words, a Tag calculates this StoredCRC on word boundaries. Although Tags include the XI value in the StoredPC in their StoredCRC calculation, regardless of XI value a Tag shall omit XPC_W1 and XPC_W2 from the calculation. A Tag shall finish its StoredCRC calculation and memory mapping by the end of interval T_s or T_{hs} (as appropriate) in Figure 6.3 or Figure 6.5, respectively. Interrogators may issue a *Select* command that includes all or part of the StoredCRC in Mask. Interrogators may issue a *Read* command to instruct a Tag to backscatter its StoredCRC. A Tag shall not recalculate this StoredCRC for a truncated reply (see 6.3.2.11.1.).

XI	XEB	Truncation	Tag Backscatter				
		Tunoution	PC	PC XPC		CRC-16	
0	0	Deasserted	StoredPC	None	Full	StoredCRC or PacketCRC	
0	0	Asserted	000002	00000 ₂ None		StoredCRC	
0	1	Deasserted	Invalid ¹				
0	1	Asserted		Inva	alid ¹		
1	0	Deasserted	PacketPC	XPC_W1	Full	PacketCRC	
1	0	Asserted	000002	None	Truncated	StoredCRC	
1	1	Deasserted	PacketPC	Both XPC_W1 and XPC_W2	Full	PacketCRC	
1	1	Asserted	000002	None	Truncated	StoredCRC	

Table 6.14 – Tag data and CRC-16 backscattered in response to an ACK command

Note 1: XI is the bitwise logical OR of the 16 bits of XPC_W1, and XEB is the MSB (bit F_h) of XPC_W1, so if XEB=1 then XI=1.

In response to an *ACK* command a Tag backscatters a protocol-control (PC) word (either StoredPC or PacketPC – see 6.3.2.1.2.2), optional XPC word or words (see 6.3.2.1.2.5), EPC (see 6.3.2.1.2.3 and 6.3.2.1.2.4), and a CRC-16 that protects the backscattered data. The CRC-16 shall be either (a) the StoredCRC or, alternatively, (b) a PacketCRC that the Tag shall calculate dynamically over the backscattered PC word, optional XPC word or words, and EPC. Whether a Tag backscatters its StoredCRC or a PacketCRC shall be as defined in Table 6.14, depending on the Tag's XI value and whether truncation (see 6.3.2.11.1.1) is asserted or deasserted.

Tags that do not support XPC functionality may, but are not required to, implement a PacketCRC.

A Tag shall backscatter its CRC MSB first, regardless of the CRC type.

If XI is asserted then a Tag's PacketCRC is different from its StoredCRC.

As required by 6.3.1.5 an Interrogator shall verify, using a Tag's backscattered CRC-16, the integrity of a received PC word, optional XPC word or words, and EPC.

6.3.2.1.2.2 Protocol-control (PC) word (StoredPC and PacketPC)

All Tags shall implement a StoredPC whose fields, comprising EPC length, a UMI, an XI, and an NSI, shall be as defined below. Tags that support XPC functionality shall also implement a PacketPC that differs from the StoredPC in its EPC length field. The type of PC (StoredPC or PacketPC) that a Tag backscatters in response to an *ACK* shall be as defined in Table 6.14.

The StoredPC shall be located in EPC memory at addresses 10_h to 1F_h, with bit values defined as follows:

- Bits $10_h 14_h$: EPC length field. The length of the EPC, in words:
 - \circ 00000₂: Zero words.
 - \circ 00001₂: One word (addresses 20_h to 2F_h in EPC memory).
 - $\circ~$ 00010₂: Two words (addresses 20_h to 3F_h in EPC memory).
 - \circ 11111₂: 31 words (addresses 20_h to 20F_h in EPC memory).

If a Tag does not support XPC functionality then the maximum value of the EPC length field in the StoredPC shall be 11111₂ (allows a 496-bit EPC), as shown above. If a Tag supports XPC functionality then the maximum value of the EPC length field in the StoredPC shall be 11101₂ (allows a 464-bit EPC). A Tag that supports XPC functionality shall ignore a *Write* or *BlockWrite* command to the StoredPC if the EPC length field exceeds 11101₂, and shall instead backscatter an error code (see <u>Annex I</u>).

• Bit 15_h: A User-memory indicator (UMI). If bit 15_h is deasserted then the Tag either does not implement User memory or User memory contains no information. If bit 15_h is asserted then User memory contains information. A Tag may implement the UMI using Method 1 or Method 2 described below, unless the Tag implements block permalocking and/or recommissioning, in which case the Tag shall use Method 1.

- Method 1: The Tag computes the UMI. At power-up, and prior to calculating its StoredCRC (see 6.3.2.1.2.1), a Tag shall compute the logical OR of bits 03_h to 07_h of User memory and map the computed value into bit 15_h . A Tag shall use this computed UMI value in its StoredCRC calculation. If an Interrogator modifies any of bits 03_h to 07_h of User memory then the Tag shall recompute and remap its UMI into bit 15_h . If recommissioning renders User memory inaccessible (see 6.3.2.10) then the Tag shall deassert and remap its UMI into bit 15_h . After remapping the UMI the StoredCRC may be incorrect until the Interrogator power cycles the Tag. The UMI shall not be directly writeable by an Interrogator provides for bit 15_h .
- Method 2: An Interrogator writes the UMI. If an Interrogator writes a zero value into bits 03_h to 07_h of User memory then the Interrogator shall deassert bit 15_h. If an Interrogator writes a nonzero value into 03_h to 07_h of User memory then the Interrogator shall assert bit 15_h. If an Interrogator locks or permalocks EPC memory then the Interrogator shall also lock or permalock, respectively, the word located at address 00_h of User memory, and vice versa. This latter requirement ensures that a condition in which User memory previously contained data but was subsequently erased does not cause a Tag to wrongly indicate the presence of User memory, and vice versa.
- Bit 16_h: An XPC_W1 indicator (XI). If bit 16_h is deasserted then the Tag either does not implement an XPC_W1 or the XPC_W1 is zero-valued, in which case the Tag shall backscatter its StoredPC or Pack-etPC, but not an XPC_W1, in response to an ACK (see Table 6.14). If bit 16_h is asserted then the Tag implements an XPC_W1 and one or more bits of XPC_W1 have nonzero values. In this latter case the Tag shall backscatter its XPC_W1 immediately after the StoredPC or PacketPC during inventory.

If a Tag implements an XPC_W1 then at power-up, and prior to calculating its StoredCRC (see 6.3.2.1.2.1), the Tag shall compute the bitwise logical OR of its XPC_W1 and map the computed value into bit 16_h (i.e. into the XI). A Tag shall use this computed XI value in its StoredCRC calculation. If an Interrogator recommissions the Tag (see 6.3.2.10) then the Tag shall recompute and remap its XI into bit 16_h after recommissioning. After recomputing the XI the StoredCRC may be incorrect until the Interrogator power cycles the Tag. The XI bit shall not be directly writeable by an Interrogator — when an Interrogator writes the StoredPC the Tag shall ignore the data value that the Interrogator provides for bit 16_h .

Bits 17_h - 1F_h: A numbering system identifier (NSI). The MSB of the NSI is stored in memory location 17_h. If bit 17_h contains a logical 0, then the application is referred to as an EPCglobal[™] Application and bits 18_h - 1F_h shall be as defined in the EPCglobal[™] Tag Data Standards. If bit 17_h contains a logical 1, then the application is referred to as a non-EPCglobal[™] Application and bits 18_h - 1F_h shall contain the entire AFI defined in ISO/IEC 15961. The default value for bits 18_h - 1F_h is 0000000₂.

The default (unprogrammed) StoredPC value shall be 0000_h.

If an Interrogator changes the EPC length (via a memory write operation), and if it wishes the Tag to subsequently backscatter the new EPC length, then it must write a new EPC length field into the Tag's StoredPC. Note: After changing the EPC length field an Interrogator should power-cycle the Tag to ensure a correct StoredCRC.

A Tag shall backscatter an error code (see <u>Annex I</u>) if an Interrogator attempts to write an EPC length field that the Tag does not support.

The PacketPC differs from the StoredPC in its EPC length field, which a Tag shall adjust to match the length of the backscattered data that follow the PC word. Specifically, if XI is asserted but XEB is not asserted then the Tag backscatters an XPC_W1 before the EPC, so the Tag shall add one to (i.e. increment) its EPC length field. If both XI and XEB are asserted then the Tag backscatters both an XPC_W1 and an XPC_W2 before the EPC, so the Tag shall add two to (i.e. double increment) its EPC length field. Because Tags that support XPC functionality have a maximum EPC length field of 11101₂, double incrementing will increase the value to 11111₂. A Tag shall not, under any circumstances, allow its EPC length field to roll over to 00000_2 . Note that incrementing or double increments the EPC length field does not alter the values stored in bits $10_h - 14_h$ of EPC memory; rather, a Tag increments the EPC length field in the backscattered PacketPC but leaves the memory contents unaltered.

Tags that do not support XPC functionality need not implement a PacketPC.

If an Interrogator that does not support an XPC_W1 receives a Tag reply with XI asserted then the Interrogator shall treat the Tag's reply as though its CRC-16 integrity check had failed.

If an Interrogator that does not support an XPC_W2 receives a Tag reply with XEB asserted then the Interrogator shall treat the Tag's reply as though its CRC-16 integrity check had failed.

During truncated replies a Tag substitutes 00000₂ for the PC word — see Table 6.14 and 6.3.2.11.1.1.

6.3.2.1.2.3 EPC for an EPCglobal[™] Application

The EPC structure for an EPCglobal[™] Application shall be as defined in the EPCglobal[™] Tag Data Standards.

6.3.2.1.2.4 EPC for a non-EPCglobal[™] Application

The EPC structure for a non-EPCglobal[™] Application shall be as defined in ISO/IEC 15961.

6.3.2.1.2.5 Extended Protocol Control (XPC) word or words (optional)

A Tag may implement an XPC_W1 logically located at addresses 210_h to $21F_h$ of EPC memory. If a Tag implements an XPC_W1 then it may additionally implement an XPC_W2 logically located at address 220_h to $22F_h$ of EPC memory. A Tag shall not implement an XPC_W2 without also implementing an XPC_W1. If implemented, these XPC words shall be exactly 16 bits in length and are stored MSB first. If a Tag does not support one or both of these optional XPC words then the specified memory locations need not exist.

A Tag shall not implement any non-XPC memory element at EPC memory locations 210_h to 22F_h, inclusive. This requirement shall apply both to Tags that support an XPC word or words and to those that do not.

If a Tag supports recommissioning (see 6.3.2.10) then it shall implement an XPC_W1.

If a Tag implements an XPC_W2 then, at power-up, the Tag shall compute the bitwise logical OR of the XPC_W2 and map the computed value into bit 210_h of EPC memory (i.e. into the most significant bit of XPC_W1). Bit 210_h is denoted the XPC Extension Bit (XEB). If a Tag does not implement an XPC_W2 then the XEB shall be zero.

The remainder of this section 6.3.2.1.2.5 assumes that a Tag implements an XPC_W1 and an XPC_W2.

When this document refers to the 3 least-significant-bits (LSBs) of XPC_W1 it specifically means locations $21D_h$, $21E_h$, and $21F_h$ of EPC memory. The 3 LSBs of XPC_W1 indicate whether and how a Tag was recommissioned.

For virgin Class-1 Tags the 3 LSBs of XPC_W1 shall be zero-valued. A Tag writes a nonzero value to one or more of these 3 LSBs during Tag recommissioning (see 6.3.2.10). For Class-1 Tags all the other bits in XPC_W1, namely EPC memory locations 210_h to $21C_h$, inclusive, as well as all the bits in XPC_W2, shall be RFU and zero-valued. Tag vendors and end users shall not use these RFU bits for proprietary purposes.

The 3 LSBs of XPC_W1 are not writeable using a *Write* or *BlockWrite*, nor erasable using a *BlockErase*. They can only be asserted by a *Kill* command, meaning that the Tag asserts these bits upon receiving a valid *Kill* command sequence with asserted recommissioning bits (see 6.3.2.11.3.4). A Tag shall not modify the 3 LSBs of XPC_W1 except during Tag recommissioning.

If a Class-1 Tag receives a *Write*, *BlockWrite*, or *BlockErase* command that attempts to write to XPC_W1 or to XPC_W2 it responds with an error code (see <u>Annex I</u>).

An Interrogator may issue a *Select* command (see 6.3.2.11.1) with a <u>Mask</u> that covers all or part of XPC_W1 and/or XPC_W2. For example, <u>Mask</u> may have the value 000₂ for the 3 LSBs of XPC_W1, in which case recommissioned Tags will be non-matching, as will Tags that do not implement recommissioning; only recommission-able Tags that have not yet been recommissioned will be matching.

An Interrogator may read a Tag's XPC_W1 and XPC_W2 using a Read command (see 6.3.2.11.3.2).

Bit E_h of XPC_W1 (EPC memory location 211_h) is reserved for use as a protocol functionality indicator. See 2.4. The following encoding provides a general mapping between the 3 XPC_W1 LSBs and a Tag's recommissioned status. Table 6.15 provides a detailed mapping between these 3 LSBs and a Tag's recommissioned status:

- An asserted LSB (EPC memory location 21F_h) indicates that block permalocking has been disabled, and any blocks of User memory that were previously block permalocked are no longer block permalocked. An asserted LSB also indicates that the *BlockPermalock* command has been disabled. If a Tag did not implement block permalocking prior to recommissioning then block permalocking shall remain disabled.
- An asserted 2SB (EPC memory location 21E_h) indicates that User memory has been rendered inaccessible. The 2SB has precedence over the LSB if both are asserted then User memory is inaccessible.
- An asserted 3SB (EPC memory location 21D_h) indicates that the Tag has unlocked its EPC, TID, and User memory banks. The Tag has also write-unlocked its kill and access passwords, and rendered the kill and access passwords permanently unreadable regardless of the values of the Tag's lock bits (see 6.3.2.11.3.5). If an Interrogator subsequently attempts to read the Tag's kill or access passwords the Tag backscatters an error code (see <u>Annex I</u>). Note that portions or banks of Tag memory, if factory set and locked, may not be unlockable regardless of recommissioning. Note also that an Interrogator may subsequently re-lock any memory banks that have been unlocked by recommissioning.

LSBs of XPC_W1	Tag Status	Notes
000	1. The Tag has not been recommissioned	1. A Class-1 Tag's XI bit is deasserted
001	 Block permalocking has been disabled, and any blocks of User memory that were previously block permalocked are no longer block permalocked The <i>BlockPermalock</i> command has been disabled 	 The lock bits are the sole determinant of the User memory bank's lock status (see 6.3.2.11.3.5)
010	 The User memory bank has been rendered inaccessible A Tag whose XPC_W1 LSBs are 010 acts identically to one whose XPC_W1 LSBs are 011 	 The Tag deasserts its UMI bit User memory is inaccessible, so block permalocking and the <i>BlockPermalock</i> command are disabled
011	 The User memory bank has been rendered inaccessible A Tag whose XPC_W1 LSBs are 011 acts identically to one whose XPC_W1 LSBs are 010 	 The Tag deasserts its UMI bit User memory is inaccessible, so block permalocking and the <i>BlockPermalock</i> command are disabled
100	 The EPC, TID, and User memory banks have been unlocked The kill and access passwords have been write- unlocked The kill and access passwords have been rendered permanently unreadable, regardless of the status of the Tag's lock bits (see 6.3.2.11.3.5) 	 If the Tag supports block permalocking then the BlockPermalock command remains enabled Any blocks of User memory that were previously block permalocked remain block permalocked, and vice versa Portions or banks of Tag memory, if factory set and locked, may not be unlockable regardless of recom- missioning If an Interrogator attempts to read the Tag's kill or access passwords the Tag responds by backscat- tering an error code (see <u>Annex I</u>) The kill and/or access passwords, as well as one or more memory blocks and/or banks, may be changed and/or relocked after recommissioning
101	 Block permalocking has been disabled, and any blocks of User memory that were previously block permalocked are no longer block permalocked The <i>BlockPermalock</i> command has been disabled The EPC, TID, and User memory banks have been un- locked The kill and access passwords have been write- unlocked The kill and access passwords have been rendered permanently unreadable, regardless of the status of the Tag's lock bits (see 6.3.2.11.3.5) 	 The lock bits are the sole determinant of the User memory bank's lock status (see 6.3.2.11.3.5) Portions or banks of Tag memory, if factory set and locked, may not be unlockable regardless of recom- missioning If an Interrogator attempts to read the Tag's kill or access passwords the Tag responds by backscat- tering an error code (see <u>Annex I</u>) The kill and/or access passwords, as well as one or more memory blocks and/or banks, may be changed and/or relocked after recommissioning
110	 The EPC and TID memory banks have been unlocked The kill and access passwords have been write- unlocked The kill and access passwords have been rendered permanently unreadable, regardless of the status of the Tag's lock bits (see 6.3.2.11.3.5) The User memory bank has been rendered inaccessible A Tag whose XPC_W1 LSBs are 110 acts identically to one whose XPC_W1 LSBs are 111 	 Portions or banks of Tag memory, if factory set and locked, may not be unlockable regardless of recom- missioning If an Interrogator attempts to read the Tag's kill or access passwords the Tag responds by backscat- tering an error code (see <u>Annex I</u>) The kill and/or access passwords, as well as one or more memory blocks and/or banks, may be changed and/or relocked after recommissioning The Tag deasserts its UMI bit User memory is inaccessible, so block permalocking and the <i>BlockPermalock</i> command are disabled
111	 The EPC and TID memory banks have been unlocked The kill and access passwords have been write- unlocked The kill and access passwords have been rendered permanently unreadable, regardless of the status of the Tag's lock bits (see 6.3.2.11.3.5) The User memory bank has been rendered inaccessible A Tag whose XPC_W1 LSBs are 111 acts identically to one whose XPC_W1 LSBs are 110 	 Portions or banks of Tag memory, if factory set and locked, may not be unlockable regardless of recom- missioning If an Interrogator attempts to read the Tag's kill or access passwords the Tag responds by backscat- tering an error code (see <u>Annex I</u>) The kill and/or access passwords, as well as one or more memory blocks and/or banks, may be changed and/or relocked after recommissioning The Tag deasserts its UMI bit User memory is inaccessible, so block permalocking and the <i>BlockPermalock</i> command are disabled

Table 6.15 – XPC_W1 LSBs and a Tag's recommissioned status

6.3.2.1.3 TID Memory

TID memory locations 00_h to 07_h shall contain one of two ISO/IEC 15963 class-identifier values — either E0_h or E2_h. TID memory locations above 07_h shall be defined according to the registration authority defined by this class-identifier value and shall contain, at a minimum, sufficient identifying information for an Interrogator to uniquely identify the custom commands and/or optional features that a Tag supports. TID memory may also contain Tag-and vendor-specific data (for example, a Tag serial number).

Note: The Tag manufacturer assigns the class-identifier value (i.e. $E0_h$ or $E2_h$), for which ISO/IEC 15963 defines the registration authorities. The class-identifier does not specify the Application. If the class identifier is $E0_h$, TID memory locations 08_h to $0F_h$ contain an 8-bit manufacturer identifier, TID memory locations 10_h to $3F_h$ contain a 48-bit Tag serial number (assigned by the Tag manufacturer), the composite 64-bit Tag ID (i.e. TID memory 00_h to $3F_h$) is unique among all classes of Tags defined in ISO/IEC 15693, and TID memory is permalocked at the time of manufacture. If the class identifier is $E2_h$, TID memory location 08_h to 13_h contain a 12-bit Tag mask-designer identifier (obtainable from the registration authority), TID memory locations 14_h to $1F_h$ contain a vendor-defined 12-bit Tag model number, and the usage of TID memory locations above $1F_h$ is defined in the EPCglobalTM Tag Data Standards.

6.3.2.1.4 User Memory

A Tag may contain User memory. User memory allows user-specific data storage.

If a Tag's User memory has not yet been programmed then the 5 LSBs of the first byte of User memory (i.e. memory addresses 03_h to 07_h) shall have the default value 00000_2 .

During recommissioning an Interrogator may instruct a Tag to render its User memory inaccessible, causing the entire memory bank to become unreadable, unwriteable, and unselectable. A Tag with inaccessible User memory shall function as though its User memory bank no longer exists.

6.3.2.1.4.1 User memory for an EPCglobal[™] Application

If User memory is included on a Tag then its encoding shall be as defined in the EPCglobal[™] Tag Data Standards.

6.3.2.1.4.2 User memory for a non-EPCglobal[™] Application

If User memory is included on a Tag then its encoding shall be as defined in ISO/IEC 15961 and ISO/IEC 15962.

6.3.2.2 Sessions and inventoried flags

Interrogators shall support and Tags shall provide 4 sessions (denoted S0, S1, S2, and S3). Tags shall participate in one and only one session during an inventory round. Two or more Interrogators can use sessions to independently inventory a common Tag population. The sessions concept is illustrated in Figure 6.18.

Tags shall maintain an independent **inventoried** flag for each session. Each of the four **inventoried** flags has two values, denoted *A* and *B*. At the beginning of each and every inventory round an Interrogator chooses to inventory either *A* or *B* Tags in one of the four sessions. Tags participating in an inventory round in one session shall neither use nor modify the **inventoried** flag for a different session. The **inventoried** flags are the only resource a Tag provides separately and independently to a given session; all other Tag resources are shared among sessions.

After singulating a Tag an Interrogator may issue a command that causes the Tag to invert its **inventoried** flag for that session (i.e. $A \rightarrow B$ or $B \rightarrow A$).

The following example illustrates how two Interrogators can use sessions and **inventoried** flags to independently and completely inventory a common Tag population, on a time-interleaved basis:

- Interrogator #1 powers-on, then
 - It initiates an inventory round during which it singulates A Tags in session S2 to B,
 - It powers off.
- Interrogator #2 powers-on, then
 - It initiates an inventory round during which it singulates *B* Tags in session S3 to *A*,
 - o It powers off.

This process repeats until Interrogator #1 has placed all Tags in session S2 into *B*, after which it inventories the Tags in session S2 from *B* back to *A*. Similarly, Interrogator #2 places all Tags in session S3 into *A*, after which it inventories the Tags in session S3 from *A* back to *B*. By this multi-step procedure each Interrogator can independently inventory all Tags in its field, regardless of the initial state of their **inventoried** flags.

A Tag's **inventoried** flags shall have the persistence times shown in Table 6.16. A Tag shall power-up with its **inventoried** flags set as follows:

- The S0 inventoried flag shall be set to A.
- The S1 **inventoried** flag shall be set to either *A* or *B*, depending on its stored value, unless the flag was set longer in the past than its persistence time, in which case the Tag shall power-up with its S1 **inventoried** flag set to *A*. Because the S1 **inventoried** flag is not automatically refreshed, it may revert from *B* to *A* even when the Tag is powered.
- The S2 **inventoried** flag shall be set to either *A* or *B*, depending on its stored value, unless the Tag has lost power for a time greater than its persistence time, in which case the Tag shall power-up with the S2 **inventoried** flag set to *A*.
- The S3 **inventoried** flag shall be set to either *A* or *B*, depending on its stored value, unless the Tag has lost power for a time greater than its persistence time, in which case the Tag shall power-up with its S3 **inventoried** flag set to *A*.

A Tag shall set any of its inventoried flags to either *A* or *B* in 2 ms or less, regardless of the initial flag value. A Tag shall refresh its S2 and S3 flags while powered, meaning that every time a Tag loses power its S2 and S3 **inventoried** flags shall have the persistence times shown in Table 6.16.

A Tag shall not change the value of its S1 **inventoried** flag from *B* to *A*, as the result of a persistence timeout, while the Tag is participating in an inventory round, is in the midst of being inventoried, or is in the midst of being accessed. If a Tag's S1 flag persistence time expires during an inventory round then the Tag shall change the flag to *A* only (i) as instructed by an Interrogator (e.g. by a *QueryAdjust* or *QueryRep* with matching <u>session</u> at the end of an inventory or access operation), or (ii) at the end of the round (e.g. upon receiving a *Select* or *Query*). In case (i), if the Tag's S1 flag persistence time expires while the Tag is in the midst of being inventoried or accessed then the Tag shall change the flag to *A* at the end of the inventory or access operation. In case (ii), the Tag shall invert its S1 flag prior to evaluating the *Select* or *Query*.

6.3.2.3 Selected flag

Tags shall implement a selected flag, **SL**, which an Interrogator may assert or deassert using a *Select* command. The <u>Sel</u> parameter in the *Query* command allows an Interrogator to inventory Tags that have **SL** either asserted or deasserted (i.e. **SL** or ~**SL**), or to ignore the flag and inventory Tags regardless of their **SL** value. **SL** is not associated with any particular session; **SL** may be used in any session, and is common to all sessions.

A Tag's **SL** flag shall have the persistence times shown in Table 6.16. A Tag shall power-up with its **SL** flag either asserted or deasserted, depending on the stored value, unless the Tag has lost power for a time greater than the **SL** persistence time, in which case the Tag shall power-up with its **SL** flag deasserted (set to ~**SL**). A Tag shall be capable of asserting or deasserting its **SL** flag in 2 ms or less, regardless of the initial flag value. A Tag shall refresh its **SL** flag when powered, meaning that every time a Tag loses power its **SL** flag shall have the persistence times shown in Table 6.16.



Figure 6.18 – Session diagram

Flag	Required persistence
S0 inventoried flag	Tag energized: Indefinite Tag not energized: None
S1 inventoried flag ¹	Tag energized: Nominal temperature range: 500ms < persistence < 5s Extended temperature range: Not specified Tag not energized: Nominal temperature range: 500ms < persistence < 5s Extended temperature range: Not specified
S2 inventoried flag ¹	Tag energized: Indefinite Tag not energized: Nominal temperature range: 2s < persistence Extended temperature range: Not specified
S3 inventoried flag ¹	Tag energized: Indefinite Tag not energized: Nominal temperature range: 2s < persistence Extended temperature range: Not specified
Selected (SL) flag ¹	Tag energized: Indefinite Tag not energized: Nominal temperature range: 2s < persistence Extended temperature range: Not specified

Table 6.16 – Tag flags and persistence values

Note 1: For a randomly chosen and sufficiently large Tag population, 95% of the Tag persistence times shall meet the persistence requirement, with a 90% confidence interval.

6.3.2.4 Tag states and slot counter

Tags shall implement the states and the slot counter shown in Figure 6.19. <u>Annex B</u> shows the associated statetransition tables; <u>Annex C</u> shows the associated command-response tables.

6.3.2.4.1 Ready state

Tags shall implement a **ready** state. **Ready** can be viewed as a "holding state" for energized Tags that are neither killed nor currently participating in an inventory round. Upon entering an energizing RF field a Tag that is not killed shall enter **ready**. The Tag shall remain in **ready** until it receives a *Query* command (see 6.3.2.11.2.1) whose <u>inventoried</u> parameter (for the <u>session</u> specified in the *Query*) and <u>sel</u> parameter match its current flag values. Matching Tags shall draw a *Q*-bit number from their RNG (see 6.3.2.5), load this number into their slot counter, and transition to the **arbitrate** state if the number is nonzero, or to the **reply** state if the number is zero. If a Tag in any state except **killed** loses power it shall return to **ready** upon regaining power.

6.3.2.4.2 Arbitrate state

Tags shall implement an **arbitrate** state. **Arbitrate** can be viewed as a "holding state" for Tags that are participating in the current inventory round but whose slot counters (see 6.3.2.4.8) hold nonzero values. A Tag in **arbitrate** shall decrement its slot counter every time it receives a *QueryRep* command (see 6.3.2.11.2.3) whose <u>session</u> parameter matches the session for the inventory round currently in progress, and it shall transition to the **reply** state and backscatter an RN16 when its slot counter reaches 0000_h . Tags that return to **arbitrate** (for example, from the **reply** state) with a slot value of 0000_h shall decrement their slot counter from 0000_h to $7FFF_h$ at the next *QueryRep* (with matching <u>session</u>) and, because their slot value is now nonzero, shall remain in **arbitrate**.

6.3.2.4.3 Reply state

Tags shall implement a **reply** state. Upon entering **reply** a Tag shall backscatter an RN16. If the Tag receives a valid acknowledgement (*ACK*) it shall transition to the **acknowledged** state, backscattering the reply shown in Table 6.14. If the Tag fails to receive an *ACK* within time $T_{2(max)}$, or receives an invalid *ACK* or an *ACK* with an erroneous RN16, it shall return to **arbitrate**. Tag and Interrogator shall meet all timing requirements specified in Table 6.13.

6.3.2.4.4 Acknowledged state

Tags shall implement an acknowledged state. A Tag in acknowledged may transition to any state except killed,

depending on the received command (see Figure 6.19). If a Tag in the **acknowledged** state receives a valid *ACK* containing the correct RN16 it shall re-backscatter the reply shown in Table 6.14. If a Tag in the **acknowledged** state fails to receive a valid command within time $T_{2(max)}$ it shall return to **arbitrate**. Tag and Interrogator shall meet all timing requirements specified in Table 6.13.

6.3.2.4.5 Open state

Tags shall implement an **open** state. A Tag in the **acknowledged** state whose access password is nonzero shall transition to **open** upon receiving a Req_RN command, backscattering a new RN16 (denoted <u>handle</u>) that the Interrogator shall use in subsequent commands and the Tag shall use in subsequent replies. Tags in the **open** state can execute all access commands except *Lock* and *BlockPermalock*. A Tag in **open** may transition to any state except **acknowledged**, depending on the received command (see Figure 6.19). If a Tag in the **open** state receives a valid *ACK* containing the correct <u>handle</u> it shall re-backscatter the reply shown in Table 6.14. Tag and Interrogator shall meet all timing requirements specified in Table 6.13 except $T_{2(max)}$; in the **open** state the maximum delay between Tag response and Interrogator transmission is unrestricted.

6.3.2.4.6 Secured state

Tags shall implement a **secured** state. A Tag in the **acknowledged** state whose access password is zero shall transition to **secured** upon receiving a Req_RN command, backscattering a new RN16 (denoted <u>handle</u>) that the Interrogator shall use in subsequent commands and the Tag shall use in subsequent replies. A Tag in the **open** state whose access password is nonzero shall transition to **secured** upon receiving a valid *Access* command sequence, maintaining the same <u>handle</u> that it previously backscattered when it transitioned from the **acknowledged** state to the **open** state. Tags in the **secured** state can execute all access commands. A Tag in **secured** may transition to any state except **open** or **acknowledged**, depending on the received command (see Figure 6.19). If a Tag in the **secured** state receives a valid *ACK* containing the correct <u>handle</u> it shall re-backscatter the reply shown in Table 6.14. Tag and Interrogator shall meet all timing requirements specified in Table 6.13 except $T_{2(max)}$; in the **secured** state the maximum delay between Tag response and Interrogator transmission is unrestricted.

6.3.2.4.7 Killed state

Tags shall implement a **killed** state. A Tag in either the **open** or **secured** states shall enter the **killed** state upon receiving a valid *Kill* command sequence (see 6.3.2.11.3.4) with a valid nonzero kill password, zero-valued <u>Recom</u> bits (see 6.3.2.10), and valid <u>handle</u>. If a Tag does not implement recommissioning then it treats nonzero <u>Recom</u> bits as though <u>Recom</u> = 0. *Kill* permanently disables a Tag. Upon entering the **killed** state a Tag shall no-tify the Interrogator that the kill operation was successful, and shall not respond to an Interrogator thereafter. Killed Tags shall remain in the **killed** state under all circumstances, and shall immediately enter killed upon subsequent power-ups. Killing a Tag is irreversible.

6.3.2.4.8 Slot counter

Tags shall implement a 15-bit slot counter. Upon receiving a *Query* or *QueryAdjust* command a Tag shall preload into its slot counter a value between 0 and 2^{Q} –1, drawn from the Tag's RNG (see 6.3.2.5). Q is an integer in the range (0, 15). A *Query* specifies Q; a *QueryAdjust* may modify Q from the prior *Query*.

Tags in the **arbitrate** state decrement their slot counter every time they receive a QueryRep with matching <u>session</u>, transitioning to the **reply** state and backscattering an RN16 when their slot counter reaches 0000_h . Tags whose slot counter reached 0000_h , who replied, and who were not acknowledged (including Tags that responded to an original Query and were not acknowledged) shall return to **arbitrate** with a slot value of 0000_h and shall decrement this slot value from 0000_h to $7FFF_h$ at the next QueryRep. The slot counter shall be capable of continuous counting, meaning that, after the slot counter rolls over to $7FFF_h$ it begins counting down again, thereby effectively preventing subsequent replies until the Tag loads a new random value into its slot counter. See also <u>Annex J</u>.

6.3.2.5 Tag random or pseudo-random number generator

Tags shall implement a random or pseudo-random number generator (RNG). The RNG shall meet the following randomness criteria independent of the strength of the energizing field, the R=>T link rate, and the data stored in the Tag (including but not limited to the StoredPC, XPC word or words, EPC, and StoredCRC). Tags shall generate 16-bit random or pseudo-random numbers (RN16) using the RNG, and shall have the ability to extract *Q*-bit subsets from an RN16 to preload the Tag's slot counter (see 6.3.2.4.8). Tags shall have the ability to temporarily store at least two RN16s while powered, to use, for example, as a <u>handle</u> and a 16-bit cover-code during password transactions (see Figure 6.23 or Figure 6.25).





4. If a Tag does not implement recommissioning then the Tag treats nonzero <u>Recom</u> bits as though <u>Recom</u> = 0.

Figure 6.19 – Tag state diagram

Probability of a single RN16: The probability that any RN16 drawn from the RNG has value RN16 = j, for any j, shall be bounded by $0.8/2^{16} < P(RN16 = j) < 1.25/2^{16}$.

Probability of simultaneously identical sequences: For a Tag population of up to 10,000 Tags, the probability that any two or more Tags simultaneously generate the same sequence of RN16s shall be less than 0.1%, regardless of when the Tags are energized.

Probability of predicting an RN16: An RN16 drawn from a Tag's RNG 10ms after the end of T_r in Figure 6.3 shall not be predictable with a probability greater than 0.025% if the outcomes of prior draws from the RNG, performed under identical conditions, are known.

This protocol recommends that Interrogators wait 10ms after T_r in Figure 6.3 or T_{hr} in Figure 6.5 before issuing passwords to Tags.

6.3.2.6 Managing Tag populations

Interrogators manage Tag populations using the three basic operations shown in Figure 6.20. Each of these operations comprises one or more commands. The operations are defined as follows:

- a) **Select:** The process by which an Interrogator selects a Tag population for inventory and access. Interrogators may use one or more *Select* commands to select a particular Tag population prior to inventory.
- b) Inventory: The process by which an Interrogator identifies Tags. An Interrogator begins an inventory round by transmitting a *Query* command in one of four sessions. One or more Tags may reply. The Interrogator detects a single Tag reply and requests the PC word, optional XPC word or words, EPC, and CRC-16 from the Tag. An inventory round operates in one and only one session at a time. <u>Annex E</u> shows an example of an Interrogator inventorying and accessing a single Tag.
- c) Access: The process by which an Interrogator transacts with (reads from or writes to) individual Tags. An individual Tag must be uniquely identified prior to access. Access comprises multiple commands, some of which employ one-time-pad based cover-coding of the R=>T link.



Figure 6.20 – Interrogator/Tag operations and Tag state

6.3.2.7 Selecting Tag populations

The selection process employs a single command, *Select*, which an Interrogator may apply successively to select a particular Tag population based on user-defined criteria, enabling union (U), intersection (\cap), and negation (\sim) based Tag partitioning. Interrogators perform U and \cap operations by issuing successive *Select* commands. *Select* can assert or deassert a Tag's **SL** flag, or it can set a Tag's **inventoried** flag to either *A* or *B* in any one of the four sessions. *Select* contains the parameters <u>Target</u>, <u>Action</u>, <u>MemBank</u>, <u>Pointer</u>, <u>Length</u>, <u>Mask</u>, and <u>Truncate</u>.

- <u>Target</u> and <u>Action</u> indicate whether and how a *Select* modifies a Tag's **SL** or **inventoried** flag, and in the case of the **inventoried** flag, for which session. A *Select* that modifies **SL** shall not modify **inventoried**, and vice versa.
- <u>MemBank</u> specifies if the mask applies to EPC, TID, or User memory. Select commands apply to a single memory bank. Successive Selects may apply to different memory banks.
- <u>Pointer, Length</u>, and <u>Mask</u>: <u>Pointer</u> and <u>Length</u> describe a memory range. <u>Mask</u>, which must be <u>Length</u> bits long, contains a bit string that a Tag compares against the specified memory range.
- Truncate specifies whether a Tag backscatters its entire EPC, or only that portion of the EPC immediately

following <u>Mask</u>. Truncated EPCs are always followed by the Tag's StoredCRC (see Table 6.14). A Tag does not recalculate its StoredCRC for a truncated reply.

By issuing multiple identical *Select* commands an Interrogator can asymptotically single out all Tags matching the selection criteria even though Tags may undergo short-term RF fades.

A *Query* command uses **inventoried** and **SL** to decide which Tags participate in an inventory. Interrogators may inventory and access **SL** or ~**SL** Tags, or they may choose to ignore the **SL** flag entirely.

6.3.2.8 Inventorying Tag populations

The inventory command set includes *Query*, *QueryAdjust*, *QueryRep*, *ACK*, and *NAK*. *Query* initiates an inventory round and decides which Tags participate in the round ("inventory round" is defined in 4.1).

Query contains a slot-count parameter *Q*. Upon receiving a *Query* participating Tags pick a random value in the range $(0, 2^{Q}-1)$, inclusive, and load this value into their slot counter. Tags that pick a zero transition to the **reply** state and reply immediately. Tags that pick a nonzero value transition to the **arbitrate** state and await a *QueryAdjust* or a *QueryRep* command. Assuming that a single Tag replies, the query-response algorithm proceeds as follows:

- a) The Tag backscatters an RN16 as it enters reply,
- b) The Interrogator acknowledges the Tag with an ACK containing this same RN16,
- c) The acknowledged Tag transitions to the **acknowledged** state, backscattering the reply shown in Table 6.14,
- d) The Interrogator issues a *QueryAdjust* or *QueryRep*, causing the identified Tag to invert its **inventoried** flag (i.e. $A \rightarrow B$ or $B \rightarrow A$) and transition to **ready**, and potentially causing another Tag to initiate a query-response dialog with the Interrogator, starting in step (a), above.

If the Tag fails to receive the ACK in step (b) within time T_2 (see Figure 6.16), or receives the ACK with an erroneous RN16, it returns to **arbitrate**.

If multiple Tags reply in step (a) but the Interrogator, by detecting and resolving collisions at the waveform level, can resolve an RN16 from one of the Tags, the Interrogator can *ACK* the resolved Tag. Unresolved Tags receive erroneous RN16s and return to **arbitrate** without backscattering the reply shown in Table 6.14.

If the Interrogator sends a valid *ACK* (i.e. an *ACK* containing the correct RN16) to the Tag in the **acknowledged** state, the Tag re-backscatters the reply shown in Table 6.14.

At any point the Interrogator may issue a *NAK*, in response to which all Tags in the inventory round that receive the *NAK* return to **arbitrate** without changing their **inventoried** flag.

After issuing a *Query* to initiate an inventory round, the Interrogator typically issues one or more *QueryAdjust* or *QueryRep* commands. *QueryAdjust* repeats a previous *Query* and may increment or decrement *Q*, but does not introduce new Tags into the round. *QueryRep* repeats a previous *Query* without changing any parameters and without introducing new Tags into the round. An inventory round can contain multiple *QueryAdjust* or *QueryRep* commands. At some point the Interrogator will issue a new *Query*, thereby starting a new inventory round.

Tags in the **arbitrate** or **reply** states that receive a *QueryAdjust* first adjust *Q* (increment, decrement, or leave unchanged), then pick a random value in the range $(0, 2^{Q}-1)$, inclusive, and load this value into their slot counter. Tags that pick zero transition to the **reply** state and reply immediately. Tags that pick a nonzero value transition to the **arbitrate** state and await a *QueryAdjust* or a *QueryRep* command.

Tags in the **arbitrate** state decrement their slot counter every time they receive a QueryRep, transitioning to the **reply** state and backscattering an RN16 when their slot counter reaches 0000_h . Tags whose slot counter reached 0000_h , who replied, and who were not acknowledged (including Tags that responded to the original Query and were not acknowledged) return to **arbitrate** with a slot value of 0000_h and decrement this slot value from 0000_h to 7FFF_h at the next QueryRep, thereby effectively preventing subsequent replies until the Tag loads a new random value into its slot counter.

Although Tag inventory is based on a random protocol, the Q-parameter affords network control by allowing an Interrogator to regulate the probability of Tag responses. Q is an integer in the range (0,15); thus, the associated Tag-response probabilities range from $2^0 = 1$ to $2^{-15} = 0.000031$.

<u>Annex D</u> describes an exemplary Interrogator algorithm for choosing Q.

The scenario outlined above assumed a single Interrogator operating in a single session. However, as described

in 6.3.2.2, an Interrogator can inventory a Tag population in one of four sessions. Furthermore, as described in 6.3.2.11.2, the *Query*, *QueryAdjust*, and *QueryRep* commands each contain a <u>session</u> parameter. How a Tag responds to these commands varies with the command, <u>session</u> parameter, and Tag state, as follows:

- Query: A Query command starts an inventory round and chooses the session for the round. Tags in any state except killed execute a Query, starting a new round in the specified session and transitioning to ready, arbitrate, or reply, as appropriate (see Figure 6.19).
 - If a Tag in the **acknowledged**, **open**, or **secured** states receives a *Query* whose <u>session</u> parameter matches the prior session it inverts its **inventoried** flag (i.e. $A \rightarrow B$ or $B \rightarrow A$) for the session before it evaluates whether to transition to **ready**, **arbitrate**, or **reply**.
 - If a Tag in the acknowledged, open, or secured states receives a Query whose session parameter does not match the prior session it leaves its inventoried flag for the prior session unchanged as it evaluates whether to transition to ready, arbitrate, or reply.
- *QueryAdjust, QueryRep*: Tags in any state except **ready** or **killed** execute a *QueryAdjust* or *QueryRep* command if, and only if, (i) the <u>session</u> parameter in the command matches the <u>session</u> parameter in the *Query* that started the round, and (ii) the Tag is not in the middle of a *Kill* or *Access* command sequence (see 6.3.2.11.3.4 or 6.3.2.11.3.6, respectively). Tags ignore a *QueryAdjust* or *QueryRep* with mismatched session.
 - If a Tag in the **acknowledged**, **open**, or **secured** states receives a *QueryAdjust* or *QueryRep* whose <u>session</u> parameter matches the <u>session</u> parameter in the prior *Query*, and the Tag is not in the middle of a *Kill* or *Access* command sequence (see 6.3.2.11.3.4 or 6.3.2.11.3.6, respectively), it inverts its **inventoried** flag (i.e. $A \rightarrow B$ or $B \rightarrow A$) for the current session then transitions to **ready**.

To illustrate an inventory operation, consider a specific example: Assume a population of 64 powered Tags in the **ready** state. An Interrogator first issues a *Select* to select a subpopulation of Tags. Assume that 16 Tags match the selection criteria. Further assume that 12 of the 16 selected Tags have their **inventoried** flag set to *A* in session S0. The Interrogator issues a *Query* specifying (**SL**, Q = 4, S0, *A*). Each of the 12 Tags picks a random number in the range (0,15) and loads the value into its slot counter. Tags that pick a zero respond immediately. The *Query* has 3 possible outcomes:

- a) No Tags reply: The Interrogator may issue another Query, or it may issue a QueryAdjust or QueryRep.
- b) **One Tag replies** (see Figure 6.21): The Tag transitions to the **reply** state and backscatters an RN16. The Interrogator acknowledges the Tag by sending an *ACK*. If the Tag receives the *ACK* with a correct RN16 it backscatters the reply shown in Table 6.14 and transitions to the **acknowledged** state. If the Tag receives the *ACK* with an incorrect RN16 it transitions to **arbitrate**. Assuming a successful *ACK*, the Interrogator may either access the acknowledged Tag or issue a *QueryAdjust* or *QueryRep* with matching <u>session</u> parameter to invert the Tag's **inventoried** flag from $A \rightarrow B$ and send the Tag to **ready** (a *Query* with matching prior-round <u>session</u> parameter will also invert the **inventoried** flag from $A \rightarrow B$).
- c) Multiple Tags reply: The Interrogator observes a backscattered waveform comprising multiple RN16s. It may try to resolve the collision and issue an ACK; not resolve the collision and issue a QueryAdjust, QueryRep, or NAK; or quickly identify the collision and issue a QueryAdjust or QueryRep before the collided Tags have finished backscattering. In the latter case the collided Tags, not observing a valid reply within T₂ (see Figure 6.16), return to arbitrate and await the next Query or QueryAdjust command.



Figure 6.21 – One Tag reply

Command		Remark		
oominand	Acknowledged	Open	Secured	Kontark
Req_RN	permitted	permitted	permitted	-
Read	-	permitted	permitted	-
Write	-	permitted	permitted	requires prior Req_RN
Kill	-	permitted	permitted	requires prior Req_RN
Lock	-	-	permitted	-
Access	-	permitted	permitted	optional command; requires prior <i>Req_RN</i>
BlockWrite	-	permitted	permitted	optional command
BlockErase	-	permitted	permitted	optional command
BlockPermalock	-	-	permitted	optional command

Table 6.17 – Access commands and Tag states in which they are permitted

6.3.2.9 Accessing individual Tags

After acknowledging a Tag, an Interrogator may choose to access it. The access command set comprises *Req_RN, Read, Write, Kill, Lock, Access, BlockWrite, BlockErase, and BlockPermalock.* Tags execute access commands in the states shown in Table 6.17.

An Interrogator accesses a Tag in the **acknowledged** state as follows:

Step 1. The Interrogator issues a *Req_RN* to the acknowledged Tag.

Step 2. The Tag generates and stores a new RN16 (denoted <u>handle</u>), backscatters the <u>handle</u>, and transitions to the **open** state if its access password is nonzero, or to the **secured** state if its access password is zero. The Interrogator may now issue further access commands.

All access commands issued to a Tag in the **open** or **secured** states include the Tag's <u>handle</u> as a parameter in the command. When in either of these two states, Tags verify that the <u>handle</u> is correct prior to executing an access command, and ignore access commands with an incorrect <u>handle</u>. The <u>handle</u> value is fixed for the entire duration of a Tag access.

Tags in the **open** state can execute all access commands except *Lock* and *BlockPermalock*. Tags in the **secured** state can execute all access commands. A Tag's response to an access command includes, at a minimum, the Tag's <u>handle</u>; the response may include other information as well (for example, the result of a *Read* operation).

An Interrogator may issue an *ACK* to a Tag in the **open** or **secured** states, causing the Tag to backscatter the reply shown in Table 6.14.

Interrogator and Tag can communicate indefinitely in the **open** or **secured** states. The Interrogator may terminate the communications at any time by issuing a *Query*, *QueryAdjust*, *QueryRep*, or a *NAK*. The Tag's response to a *Query*, *QueryAdjust*, or *QueryRep* is described in 6.3.2.8. A *NAK* causes all Tags in the inventory round to return to **arbitrate** without changing their **inventoried** flag.

The *Write*, *Kill*, and *Access* commands send 16-bit words (either data or half-passwords) from Interrogator to Tag. These commands use one-time-pad based link cover-coding to obscure the word being transmitted, as follows:

Step 1. The Interrogator issues a *Req_RN*, to which the Tag responds by backscattering a new RN16. The Interrogator then generates a 16-bit ciphertext string comprising a bit-wise EXOR of the 16-bit word to be transmitted with this new RN16, both MSB first, and issues the command with this ciphertext string as a parameter.

Step 2. The Tag decrypts the received ciphertext string by performing a bit-wise EXOR of the received 16-bit ciphertext string with the original RN16.

If an Interrogator issues a command containing cover-coded data or a half-password and fails to receive a response from the Tag, the Interrogator may reissue the command unchanged. If the Interrogator issues a command with new data or new half-password, then the Interrogator shall first issue a *Req_RN* to obtain a new RN16 and shall use this new RN16 for the cover-coding. To reduce security risks, this specification recommends that (1) Tags use unique kill passwords, and (2) memory writes be performed in a secure location.

The *BlockWrite* command (see 6.3.2.11.3.7) communicates multiple 16-bit words from Interrogator to Tag. Unlike *Write*, *BlockWrite* does not use link cover-coding.

A Tag responds to a command that writes to or erases memory (i.e. *Write, Kill, Lock, BlockWrite, BlockErase*, and *BlockPermalock* with <u>Read/Lock</u>=1 (see 6.3.2.11.3.9)) by backscattering its <u>handle</u>, indicating that the operation was successful, or by backscattering an error code (see <u>Annex I</u>), indicating that the operation was unsuccessful. The Tag reply uses the extended preamble shown in Figure 6.11 or Figure 6.15, as appropriate (i.e. the Tag replies as if <u>TRext</u>=1 regardless of the <u>TRext</u> value specified in the *Query* command that initiated the inventory round). See 6.3.2.11.3 for detailed descriptions of a Tag's reply to each particular access command.

Issuing an access password to a Tag is a multi-step procedure described in 6.3.2.11.3.6 and outlined in Figure 6.25.

Tag memory may be unlocked or locked. The lock status may be changeable or permalocked (i.e. permanently unlocked or permanently locked). Recommissioning the Tag may change the lock status, even if the memory was previously permalocked. An Interrogator may write to unlocked memory from either the **open** or **secured** states. An Interrogator may write to locked memory that is not permalocked from the **secured** state only. See 6.3.2.10, 6.3.2.11.3.5, 6.3.2.11.3.9, Table 6.43, and Table 6.50 for a detailed description of memory lock, permalock, recommissioning, and the Tag state required to modify memory.

This protocol recommends that Interrogators avoid powering-off while a Tag is in the **reply**, **acknowledged**, **open** or **secured** states. Rather, Interrogators should end their dialog with a Tag before powering off, leaving the Tag in either the **ready** or **arbitrate** state.

6.3.2.10 Killing or recommissioning a Tag

Killing or recommissioning a Tag is a multi-step procedure, described in 6.3.2.11.3.4 and shown in Figure 6.23, in which an Interrogator sends two successive *Kill* commands to a Tag. The first *Kill* command contains the first half of the kill password, and the second *Kill* command contains the second half. Each *Kill* command also contains 3 <u>RFU/Recom</u> bits. In the first *Kill* command these bits are RFU and zero valued; in the second *Kill* command they are called *recommissioning* (or <u>Recom</u>) bits and may be nonzero valued. The procedures for killing or recommissioning a Tag are identical, except that the recommissioning bits in the second *Kill* command are zero when killing a Tag dead and are nonzero when recommissioning it. Regardless of the intended operation, a Tag shall not kill or recommission itself without first receiving the correct kill password by the procedure shown in Figure 6.23.

If a Tag does not implement recommissioning then it shall ignore the recommissioning bits and treat them as though they were zero, meaning that, if the Tag receives a properly formatted *Kill* command sequence with the correct kill password it shall kill itself dead regardless of the values of the recommissioning bits. The remainder of this section 6.3.2.10 assumes that a Tag implements recommissioning.

Upon receiving a properly formatted *Kill* command sequence with the correct kill password and one or more nonzero recommissioning bits, a Tag shall assert those LSBs of its XPC_W1 that are asserted in the recommissioning bits (for example, if a Tag receives 100_2 for the recommissioning bits then it asserts the 3SB of its XPC_W1). The XPC_W1 LSBs shall be one-time-writeable, meaning that they cannot be deasserted after they are asserted. By storing the Tag's recommissioned status in the XPC_W1 a subsequent reader can know how the Tag was recommissioned (see Table 6.15). A Tag shall perform the following operations based on the recommissioning bit values it receives (see also 6.3.2.1.2.5):

- Asserted LSB: The Tag shall disable block permalocking and unlock any blocks of User memory that were previously permalocked. The Tag shall disable support for the *BlockPermalock* command. If the Tag did not implement block permalocking prior to recommissioning then block permalocking shall remain disabled. The lock status of User memory shall be determined solely by the lock bits (see 6.3.2.11.3.5).
- Asserted 2SB: The Tag shall render its User memory inaccessible, causing the entire memory bank to become unreadable, unwriteable, and unselectable (i.e. the Tag functions as though its User memory bank no longer exists). The 2SB has precedence over the LSB if both are asserted then User memory is inaccessible.
- Asserted 3SB: The Tag shall unlock its EPC, TID, and User memory banks, regardless of whether these banks were locked or permalocked. Portions of User memory that were block permalocked shall remain block permalocked, and vice versa, unless the LSB is also asserted, in which case the Tag shall unlock its permalocked blocks. The Tag shall write-unlock its kill and access passwords, and shall render the kill

and access passwords permanently unreadable regardless of the values of the Tag's lock bits (see 6.3.2.11.3.5). If an Interrogator subsequently attempts to read the Tag's kill or access passwords the Tag shall backscatter an error code (see <u>Annex I</u>). A Tag that receives a subsequent *Lock* command with pwd-read/write=0 shall lock or permalock the indicated password(s) in the writeable state, but the passwords shall still remain unreadable.

A Tag shall not execute any of the above recommissioning operations more than once. As one example, a Tag does not allow any of its memory banks to be unlocked more than once by recommissioning.

A Tag may execute multiple *Kill* command sequences, depending on the nature and ordering of the operations specified in these command sequences. Specifically:

- A Tag that is killed dead shall not allow a subsequent recommissioning.
- A previously recommissioned Tag that receives a properly formatted *Kill* command sequence with the correct kill password and <u>Recom</u> = 000₂ shall be killed dead.
- A Tag that receives a properly formatted *Kill* command sequence with the correct kill password but with
 redundant recommissioning bits (for example, the recommissioning bits are 100₂ but the Tag's XPC_W1
 already contains 100₂) shall not perform the requested recommissioning operation again. Instead, the Tag
 shall merely verify that its XPC_W1 contains the asserted values and respond affirmatively to the Interrogator. An Interrogator may choose to send a *Kill* sequence with redundant recommissioning bits if, for example, it had sent a prior *Kill* sequence but did not observe an affirmative response from the Tag.
- A Tag that receives a properly formatted *Kill* command sequence with the correct kill password and with newly asserted recommissioning bits shall perform the recommissioning operation indicated by the newly asserted bits, responding affirmatively to the reader when done. A Tag shall compute the logical OR of the LSBs of its current XPC_W1 and the recommissioning bits, and shall store the resulting value into its XPC_W1. For example, if a Tag whose XPC_W1 LSBs are 100₂ receives a *Kill* command sequence whose recommissioning bits are 010₂, the Tag renders its User memory bank inaccessible and stores 110₂ into its XPC_W1 LSBs.

An Interrogator may subsequently re-lock any of the memory banks or passwords that have been unlocked by recommissioning.

Portions or entire banks of Tag memory, if factory locked, may not be unlockable by recommissioning. An Interrogator may determine whether a Tag supports recommissioning, and if so which (if any) memory portions are not recommissionable, by reading the Tag's TID memory prior to recommissioning.

A Tag that does not implement a kill password, or a Tag whose kill password is zero, shall not execute a kill or recommissioning operation. Such a Tag shall respond with an error code (as shown in Figure 6.23) to a *Kill* command sequence regardless of the RFU or recommissioning bit settings.

A Tag shall accept all eight possible combinations of the 3 recommissioning bits, executing those portions that it is capable of executing, ignoring those it cannot, and responding affirmatively to the Interrogator when done. Several examples of operations that a Tag may be incapable or partially capable of executing are:

- A Tag that does not have User memory cannot unlock it
- A Tag that does not implement an Access password cannot unlock it for writing
- A Tag in which a portion of TID memory is factory locked cannot unlock this portion

6.3.2.11 Interrogator commands and Tag replies

Interrogator-to-Tag commands shall have the format shown in Table 6.18.

- *QueryRep* and *ACK* have 2-bit command codes beginning with 0₂.
- Query, QueryAdjust, and Select have 4-bit command codes beginning with 10₂.
- All other base commands have 8-bit command codes beginning with 110₂.
- All extended commands have 16-bit command codes beginning with 1110₂.
- QueryRep, ACK, Query, QueryAdjust, and NAK have the unique command lengths shown in Table 6.18. No other commands shall have these lengths. If a Tag receives one of these commands with an incorrect length it shall ignore the command.
- *Query, QueryAdjust, and QueryRep* contain a <u>session</u> parameter.
- Query is protected by a CRC-5, shown in Table 6.12 and detailed in Annex F.

- Select, Req_RN, Read, Write, Kill, Lock, Access, BlockWrite, BlockErase, and BlockPermalock are protected by a CRC-16, defined in 6.3.1.5 and detailed in <u>Annex F</u>.
- R=>T commands begin with either a preamble or a frame-sync, as described in 6.3.1.2.8. The commandcode lengths specified in Table 6.18 do not include the preamble or frame-sync.
- Tags shall ignore invalid commands. In general, "invalid" means a command that (1) is incorrect given the current Tag state, (2) is unsupported by the Tag, (3) has incorrect parameters, (4) has a CRC error, (5) specifies an incorrect session, or (6) is in any other way not recognized or not executable by the Tag. The actual definition of "invalid" is state-specific and defined, for each Tag state, in <u>Annex B</u> and <u>Annex C</u>.

Command	Code	Length (bits)	Mandatory?	Protection	
QueryRep	0 0	4	Yes	Unique command length	
ACK	01	18	Yes	Unique command length	
Query	1 0 00	22	Yes	Unique command length and a CRC-5	
QueryAdjust	10 01	9	Yes	Unique command length	
Select	10 10	> 44	Yes	CRC-16	
Reserved for future use	10 11			-	
NAK	110 00000	8	Yes	Unique command length	
Req_RN	110 00001	40	Yes	CRC-16	
Read	110 00010	> 57	Yes	CRC-16	
Write	110 00011	> 58	Yes	CRC-16	
Kill	110 00100	59	Yes	CRC-16	
Lock	110 00101	60	Yes	CRC-16	
Access	110 00110	56	No	CRC-16	
BlockWrite	110 00111	> 57	No	CRC-16	
BlockErase	110 01000	> 57	No	CRC-16	
BlockPermalock	110 01001	> 66	No	CRC-16	
	110 01010				
Reserved for future use	 110 11111	-	-	-	
	11100000 00000000				
Reserved for custom commands	 1110 0000 11111111	-	-	Manufacturer specified	
	1110 0001 00000000				
Reserved for proprietary commands	 1110 0001 11111111	-	-	Manufacturer specified	
	1110 0010 00000000				
Reserved for future use	 1110 1111 11111111	-	-	-	

Table 6.18 – Commands

6.3.2.11.1 Select commands

The Select command set comprises a single command: Select.

6.3.2.11.1.1 *Select* (mandatory)

Select selects a particular Tag population based on user-defined criteria, enabling union (U), intersection (\cap), and negation (\sim) based Tag partitioning. Interrogators perform U and \cap operations by issuing successive Select commands. Select can assert or deassert a Tag's **SL** flag, which applies across all four sessions, or it can set a Tag's **inventoried** flag to either *A* or *B* in any one of the four sessions.

Interrogators and Tags shall implement the *Select* command shown in Table 6.19. <u>Target</u> shall indicate whether the *Select* modifies a Tag's **SL** or **inventoried** flag, and in the case of the **inventoried** flag, for which session. <u>Action</u> shall elicit the Tag response shown in Table 6.20. The criteria for determining whether a Tag is matching or non-matching are specified in the <u>MemBank</u>, <u>Pointer</u>, <u>Length</u> and <u>Mask</u> fields. <u>Truncate</u> indicates whether a Tag's backscattered reply shall be truncated to include only those EPC and StoredCRC bits that follow <u>Mask</u>. *Select* passes the following parameters from Interrogator to Tags:

- <u>Target</u> indicates whether the *Select* command modifies a Tag's **SL** flag or its **inventoried** flag, and in the case of **inventoried** it further specifies one of four sessions. A *Select* command that modifies **SL** does not modify **inventoried**, and vice versa. Class-1 Tags shall ignore *Select* commands whose <u>Target</u> is 101₂, 110₂, or 111₂.
- <u>Action</u> indicates whether matching Tags assert or deassert **SL**, or set their **inventoried** flag to *A* or to *B*. Tags conforming to the contents of the <u>MemBank</u>, <u>Pointer</u>, <u>Length</u>, and <u>Mask</u> fields are considered matching. Tags not conforming to the contents of these fields are considered non-matching.
- <u>MemBank</u> specifies whether <u>Mask</u> applies to EPC, TID, or User memory. *Select* commands shall apply to a single memory bank. Successive *Selects* may apply to different banks. <u>MemBank</u> shall not specify Reserved memory; if a Tag receives a *Select* specifying <u>MemBank</u> = 00₂ it shall ignore the *Select*. <u>MemBank</u> parameter value 00₂ is reserved for future use (RFU).
- <u>Pointer</u>, <u>Length</u>, and <u>Mask</u>: <u>Pointer</u> and <u>Length</u> describe a memory range. <u>Pointer</u> references a memory bit address (<u>Pointer</u> is not restricted to word boundaries) and uses EBV formatting (see <u>Annex A</u>). <u>Length</u> is 8 bits, allowing <u>Masks</u> from 0 to 255 bits in length. <u>Mask</u>, which is <u>Length</u> bits long, contains a bit string that a Tag compares against the memory location that begins at <u>Pointer</u> and ends <u>Length</u> bits later. If <u>Pointer</u> and <u>Length</u> reference a memory location that does not exist on the Tag then the Tag shall consider the <u>Select</u> to be non-matching. If <u>Length</u> is zero then all Tags shall be considered matching, unless <u>Pointer</u> references a memory location that does not exist on the Tag or <u>Truncate=1</u> and <u>Pointer</u> is outside the EPC specified in the StoredPC, in which case the Tag shall consider the <u>Select</u> to be non-matching.
- <u>Truncate</u>: If an Interrogator asserts <u>Truncate</u>, and if a subsequent *Query* specifies <u>Sel</u>=10 or <u>Sel</u>=11, then a matching Tag shall truncate its reply to an *ACK* to that portion of the EPC immediately following <u>Mask</u>, followed by the StoredCRC. If an Interrogator asserts <u>Truncate</u>, it shall assert it:
 - o in the last Select that the Interrogator issues prior to sending a Query,
 - only if the Select has $\underline{\text{Target}} = 100_2$, and
 - o only if <u>Mask</u> ends in the EPC.

These constraints *do not* preclude an Interrogator from issuing multiple *Select* commands that target the **SL** and/or **inventoried** flags. They *do* require that an Interrogator that is requesting Tags to truncate their replies assert <u>Truncate</u> in the last *Select*, and that this last *Select* targets the **SL** flag. Tags shall power-up with <u>Truncate</u> deasserted.

Tags shall decide whether to truncate their backscattered EPC on the basis of the most recently received *Select*. If a Tag receives a *Select* with <u>Truncate</u>=1 but <u>Target</u><>100₂ the Tag shall ignore the *Select*. If a Tag receives a *Select* in which <u>Truncate</u>=1 but <u>MemBank</u><>01, the Tag shall consider the *Select* to be invalid. If a Tag receives a *Select* in which <u>Truncate</u>=1, <u>MemBank</u>=01, but <u>Mask</u> ends outside the EPC specified in the StoredPC, the Tag shall consider the *Select* to be non-matching.

A Tag shall preface a truncated reply with five leading zeros (00000_2) inserted between the preamble and the truncated reply. Specifically, when truncating its replies a Tag backscatters 00000_2 , then the portion of its EPC following <u>Mask</u>, and then its StoredCRC. See Table 6.14.

A Tag does not recalculate its StoredCRC for a truncated reply.

<u>Mask</u> may end at the last bit of the EPC, in which case a truncating Tag shall backscatter 00000_2 followed by its StoredCRC.

Truncated replies never include an XPC_W1 or an XPC_W2, because Mask must end in the EPC.

A recommissioned Tag shall not truncate its replies. A recommissioned Tag that receives a *Select* with <u>T</u>-<u>runcate</u>=1 shall evaluate the *Select* normally, but when replying to a subsequent *ACK* it shall backscatter its PacketPC, XPC_W1, optionally its XPC_W2 (if XEB is asserted), an EPC whose length is as specified in the EPC length field in the StoredPC, and its PacketCRC.

Interrogators can use a *Select* command to reset all Tags in a session to **inventoried** state A, by issuing a *Select* with <u>Action</u> = 000_2 and a <u>Length</u> value of zero.

Because a Tag stores its StoredPC and StoredCRC in EPC memory, a *Select* command may select on them. Because a Tag computes its PacketPC and PacketCRC dynamically and does not store them in memory, a *Select* command is unable to select on them.

Because a Tag may compute its PC and/or CRC dynamically, its response to a *Select* command whose <u>Pointer</u>, <u>Length</u>, and <u>Mask</u> include the StoredPC or StoredCRC may produce unexpected behavior. Specifically, a Tag's backscattered reply may appear to not match <u>Mask</u> even though the Tag's behavior indicates matching, and vice versa. For example, suppose an Interrogator sends a *Select* to match a 00100₂ EPC length field in the StoredPC. Further assume that a Tag matches, but has an asserted XI. The Tag will dynamically increment its EPC length field to 00101₂ when responding to an *ACK*, and will backscatter this incremented value in the PacketPC. The Tag was matching, but the backscattered EPC length field appears to be non-matching.

Interrogators shall prepend a *Select* with a frame-sync (see 6.3.1.2.8). The CRC-16 that protects a *Select* is calculated over the first command-code bit to the <u>Truncate</u> bit.

Tags shall not reply to a Select.

	Command	Target	Action	MemBank	Pointer	Length	Mask	Truncate	CRC-16
# of bits	4	3	3	2	EBV	8	Variable	1	16
description	1010	000: Inventoried (S0) 001: Inventoried (S1) 010: Inventoried (S2) 011: Inventoried (S3) 100: SL 101: RFU 110: RFU 111: RFU	See Table 6.20	00: RFU 01: EPC 10: TID 11: User	Starting <u>Mask</u> address	<u>Mask</u> length (bits)	<u>Mask</u> value	0: Disable truncation 1: Enable truncation	

Table 6.19 – *Select* command

Table 6.20 – Tag response to <u>Action</u> parameter

Action	Matching	Non-Matching
000	assert SL or inventoried $\rightarrow A$	deassert SL or inventoried \rightarrow <i>B</i>
001	assert SL or inventoried $\rightarrow A$	do nothing
010	do nothing	deassert SL or inventoried \rightarrow <i>B</i>
011	negate SL or $(A \rightarrow B, B \rightarrow A)$	do nothing
100	deassert SL or inventoried $\rightarrow B$	assert SL or inventoried $\rightarrow A$
101	deassert SL or inventoried $\rightarrow B$	do nothing
110	do nothing	assert SL or inventoried $\rightarrow A$
111	do nothing	negate SL or $(A \rightarrow B, B \rightarrow A)$

6.3.2.11.2 Inventory commands

The inventory command set comprises Query, QueryAdjust, QueryRep, ACK, and NAK.

6.3.2.11.2.1 *Query* (mandatory)

Interrogators and Tags shall implement the *Query* command shown in Table 6.21. *Query* initiates and specifies an inventory round. *Query* includes the following fields:

- <u>DR</u> (TRcal divide ratio) sets the T=>R link frequency as described in 6.3.1.2.8 and Table 6.9.
- <u>M</u> (cycles per symbol) sets the T=>R data rate and modulation format as shown in Table 6.10.
- <u>TRext</u> chooses whether the T=>R preamble is prepended with a pilot tone as described in 6.3.1.3.2.2 and 6.3.1.3.2.4; however, a Tag's reply to access commands that write to memory (i.e. *Write, Kill, Lock, BlockWrite, BlockErase,* and *BlockPermalock*) always uses a pilot tone regardless of the value of <u>TRext</u>.
- <u>Sel</u> chooses which Tags respond to the *Query* (see 6.3.2.11.1.1 and 6.3.2.8).
- <u>Session</u> chooses a session for the inventory round (see 6.3.2.8).
- <u>Target</u> selects whether Tags whose **inventoried** flag is *A* or *B* participate in the inventory round. Tags may change their inventoried flag from *A* to *B* (or vice versa) as a result of being singulated.
- <u>Q</u> sets the number of slots in the round (see 6.3.2.8).

Interrogators shall prepend a *Query* with a preamble (see 6.3.1.2.8).

The CRC-5 that protects a *Query* is calculated over the first command-code bit to the last \underline{Q} bit. If a Tag receives a *Query* with a CRC-5 error it shall ignore the command.

Upon receiving a *Query*, Tags with matching <u>Sel</u> and <u>Target</u> shall pick a random value in the range $(0, 2^Q - 1)$, inclusive, and shall load this value into their slot counter. If a Tag, in response to the *Query*, loads its slot counter with zero, then its reply to a *Query* shall be as shown in Table 6.22; otherwise the Tag shall remain silent.

A *Query* may initiate an inventory round in a new session, or in the prior session. If a Tag in the **acknowledged**, **open**, or **secured** states receives a *Query* whose <u>session</u> parameter matches the prior session it shall invert its **inventoried** flag (i.e. $A \rightarrow B$ or $B \rightarrow A$) for the session before it evaluates whether to transition to **ready**, **arbitrate**, or **reply**. If a Tag in the **acknowledged**, **open**, or **secured** states receives a *Query* whose <u>session</u> parameter matches the prior session parameter, arbitrate, or **reply**. If a Tag in the **acknowledged**, **open**, or **secured** states receives a *Query* whose <u>session</u> parameter does not match the prior session it shall leave its **inventoried** flag for the prior session unchanged when beginning the new round.

Tags shall support all <u>DR</u> and <u>M</u> values specified in Table 6.9 and Table 6.10, respectively.

Tags in any state other than **killed** shall execute a *Query* command, starting a new round in the specified session and transitioning to **ready**, **arbitrate**, or **reply**, as appropriate (see Figure 6.19). Tags in the **killed** state shall ignore a *Query*.

	Command	DR	М	TRext	Sel	Session	Target	Q	CRC-5
# of bits	4	1	2	1	2	2	1	4	5
description	1000	0: DR=8 1: DR=64/3	00: M=1 01: M=2 10: M=4 11: M=8	0: No pilot tone 1: Use pilot tone	00: All 01: All 10: ~ SL 11: SL	00: S0 01: S1 10: S2 11: S3	0: A 1: B	0–15	

Table 6.21 – <i>Querv</i> c	ommand
-----------------------------	--------

Table 6.22 –	Tag reply to	a Query command
--------------	--------------	-----------------

	Response
# of bits	16
description	RN16

6.3.2.11.2.2 *QueryAdjust* (mandatory)

Interrogators and Tags shall implement the *QueryAdjust* command shown in Table 6.23. *QueryAdjust* adjusts *Q* (i.e. the number of slots in an inventory round – see 6.3.2.8) without changing any other round parameters.

QueryAdjust includes the following fields:

- <u>Session</u> corroborates the session number for the inventory round (see 6.3.2.8 and 6.3.2.11.2.1). If a Tag
 receives a *QueryAdjust* whose session number is different from the session number in the *Query* that initiated the round it shall ignore the command.
- <u>UpDn</u> determines whether and how the Tag adjusts Q, as follows:

<u>110</u>: Increment Q (i.e. Q = Q + 1).

000: No change to Q.

<u>011</u>: Decrement Q (i.e. Q = Q - 1).

If a Tag receives a *QueryAdjust* with an <u>UpDn</u> value different from those specified above it shall ignore the command. If a Tag whose *Q* value is 15 receives a *QueryAdjust* with <u>UpDn</u> = 110 it shall change <u>Up-Dn</u> to 000 prior to executing the command; likewise, if a Tag whose *Q* value is 0 receives a *QueryAdjust* with UpDn = 011 it shall change UpDn to 000 prior to executing the command.

Tags shall maintain a running count of the current Q value. The initial Q value is specified in the *Query* command that started the inventory round; one or more subsequent *QueryAdjust* commands may modify Q.

A *QueryAdjust* shall be prepended with a frame-sync (see 6.3.1.2.8).

Upon receiving a *QueryAdjust* Tags first update Q, then pick a random value in the range $(0, 2^Q - 1)$, inclusive, and load this value into their slot counter. If a Tag, in response to the *QueryAdjust*, loads its slot counter with zero, then its reply to a *QueryAdjust* shall be shown in Table 6.24; otherwise, the Tag shall remain silent. Tags shall respond to a *QueryAdjust* only if they received a prior *Query*.

Tags in any state except **ready** or **killed** shall execute a *QueryAdjust* command if, and only if, (i) the <u>session</u> parameter in the command matches the <u>session</u> parameter in the *Query* that started the round, and (ii) the Tag is not in the middle of a *Kill* or *Access* command sequence (see 6.3.2.11.3.4 or 6.3.2.11.3.6, respectively).

Tags in the **acknowledged**, **open**, or **secured** states that receive a *QueryAdjust* whose <u>session</u> parameter matches the <u>session</u> parameter in the prior *Query*, and who are not in the middle of a *Kill* or *Access* command sequence (see 6.3.2.11.3.4 or 6.3.2.11.3.6, respectively), shall invert their **inventoried** flag (i.e. $A \rightarrow B$ or $B \rightarrow A$, as appropriate) for the current session and transition to **ready**.

	Command	Session	UpDn
# of bits	4	2	3
description	1001	00: S0 01: S1 10: S2 11: S3	110: $Q = Q + 1$ 000: No change to Q 011: $Q = Q - 1$

Table 6.23 –	QueryAdjust	command
--------------	-------------	---------

Table 6.24 – Tag reply to a *QueryAdjust* command

	Response
# of bits	16
description	RN16

6.3.2.11.2.3 *QueryRep* (mandatory)

Interrogators and Tags shall implement the *QueryRep* command shown in Table 6.25. *QueryRep* instructs Tags to decrement their slot counters and, if slot = 0 after decrementing, to backscatter an RN16 to the Interrogator.

QueryRep includes the following field:

<u>Session</u> corroborates the session number for the inventory round (see 6.3.2.8 and 6.3.2.11.2.1). If a Tag
receives a *QueryRep* whose session number is different from the session number in the *Query* that initiated the round it shall ignore the command.

A QueryRep shall be prepended with a frame-sync (see 6.3.1.2.8).

If a Tag, in response to the *QueryRep*, decrements its slot counter and the decremented slot value is zero, then its reply to a *QueryRep* shall be as shown in Table 6.26; otherwise the Tag shall remain silent. Tags shall respond to a *QueryRep* only if they received a prior *Query*.

Tags in any state except **ready** or **killed** shall execute a *QueryRep* command if, and only if, (i) the <u>session</u> parameter in the command matches the <u>session</u> parameter in the *Query* that started the round, and (ii) the Tag is not in the middle of a *Kill* or *Access* command sequence (see 6.3.2.11.3.4 or 6.3.2.11.3.6, respectively).

Tags in the **acknowledged**, **open**, or **secured** states that receive a *QueryRep* whose <u>session</u> parameter matches the <u>session</u> parameter in the prior *Query*, and who are not in the middle of a *Kill* or *Access* command sequence (see 6.3.2.11.3.4 or 6.3.2.11.3.6, respectively), shall invert their **inventoried** flag (i.e. $A \rightarrow B$ or $B \rightarrow A$, as appropriate) for the current session and transition to **ready**.

	Command	Session
# of bits	2	2
description	00	00: S0 01: S1 10: S2 11: S3

Table 6.26 – Tag reply to a Query	<i>Rep</i> command
-----------------------------------	--------------------

	Response
# of bits	16
description	RN16

6.3.2.11.2.4 *ACK* (mandatory)

Interrogators and Tags shall implement the ACK command shown in Table 6.27. An Interrogator sends an ACK to acknowledge a single Tag. ACK echoes the Tag's backscattered RN16.

If an Interrogator issues an *ACK* to a Tag in the **reply** or **acknowledged** states, then the echoed RN16 shall be the RN16 that the Tag previously backscattered as it transitioned from the **arbitrate** state to the **reply** state. If an Interrogator issues an *ACK* to a Tag in the **open** or **secured** states, then the echoed RN16 shall be the Tag's <u>handle</u> (see 6.3.2.11.3.1).

An ACK shall be prepended with a frame-sync (see 6.3.1.2.8).

The Tag reply to a successful *ACK* shall be as shown in Table 6.28. As described in 6.3.2.11.1.1, the reply may be truncated, in which case the Tag reply is 00000_2 followed by the truncated EPC followed by the StoredCRC. A Tag that receives an *ACK* with an incorrect RN16 or an incorrect <u>handle</u> (as appropriate) shall return to **arbitrate** without responding, unless the Tag is in **ready** or **killed**, in which case it shall ignore the *ACK* and remain in its present state.

If a Tag does not support XPC functionality then the maximum length of its backscattered EPC is 496 bits. If a Tag supports XPC functionality then the maximum length of its backscattered EPC is reduced by two words to accommodate the XPC_W1 and optional XPC_W2, so is 464 bits. See 6.3.2.1.2.2. In either case a Tag's reply to an *ACK* shall not exceed 528 bits in length.

	Command	RN
# of bits	2	16
description	01	Echoed RN16 or handle

Table 6.27 – ACK command

Table 6.28 – Tag reply to a successful ACK command

	Response		
# of bits	21 to 528		
description	See Table 6.14		

6.3.2.11.2.5 *NAK* (mandatory)

Interrogators and Tags shall implement the *NAK* command shown in Table 6.29. Any Tag that receives a *NAK* shall return to the **arbitrate** state without changing its **inventoried** flag, unless the Tag is in **ready** or **killed**, in which case it shall ignore the *NAK* and remain in its current state.

A NAK shall be prepended with a frame-sync (see 6.3.1.2.8).

Tags shall not reply to a NAK.

Command	
# of bits	8
description	11000000

Table 6.29 – *NAK* command

6.3.2.11.3 Access commands

The set of access commands comprises *Req_RN*, *Read*, *Write*, *Kill*, *Lock*, *Access*, *BlockWrite*, *BlockErase*, and *BlockPermalock*. As described in 6.3.2.9, Tags execute *Req_RN* from the **acknowledged**, **open**, or **secured** states. Tags execute *Read*, *Write*, *BlockWrite*, and *BlockErase* from the **secured** state; if allowed by the lock status of the memory location being accessed, they may also execute these commands from the **open** state. Tags execute *Access* and *Kill* from the **open** or **secured** states. Tags execute *Lock* and *BlockPermalock* only from the **secured** state.

All access commands issued to a Tag in the **open** or **secured** states include the Tag's <u>handle</u> as a parameter in the command. When in either of these two states, Tags shall verify that the <u>handle</u> is correct prior to executing an access command, and shall ignore access commands with an incorrect <u>handle</u>. The <u>handle</u> value is fixed for the entire duration of a Tag access.

A Tag's reply to all access commands that read or write memory (i.e. *Read, Write, Kill, Lock, BlockWrite, Block-Erase,* and *BlockPermalock*) includes a 1-bit <u>header</u>. <u>Header</u>=0 indicates that the operation was successful and the reply is valid; <u>header</u>=1 indicates that the operation was unsuccessful and the reply is an error code.

A Tag's reply to all access commands that write to memory (i.e. *Write, Kill, Lock, BlockWrite, BlockErase,* and *BlockPermalock* with <u>Read/Lock</u>=1 (see 6.3.2.11.3.9) shall use the extended preamble shown in Figure 6.11 or Figure 6.15, as appropriate (i.e. the Tag shall reply as if <u>TRext</u>=1 regardless of the <u>TRext</u> value specified in the *Query* command that initiated the inventory round).

After an Interrogator writes to a Tag's StoredPC or EPC, or changes bits 03_h to 07_h of User memory from zero to a nonzero value (or vice versa), or recommissions the Tag, the StoredCRC may be incorrect until the Interrogator powers-down and then re-powers-up its energizing RF field, because a Tag calculates its StoredCRC at power-up.

If an Interrogator attempts to write to EPC memory locations 00_h to $0F_h$ (i.e. to the StoredCRC) using a *Write*, *BlockWrite*, or *BlockErase* command the Tag shall ignore the command and respond with an error code (see <u>Annex I</u> for error-code definitions and for the reply format).

If an Interrogator attempts to write to EPC memory locations 210_h to 22F_h (i.e. to the XPC_W1 or XPC_W2) of a Class-1 Tag using a *Write*, *BlockWrite*, or *BlockErase* command the Tag shall ignore the command and respond with an error code (see <u>Annex I</u> for error-code definitions and for the reply format).

If an Interrogator attempts to write to a memory bank or password that is permalocked unwriteable, or to a memory bank or password that is locked unwriteable and the Tag is not in the **secured** state, or to a memory block that is permalocked, using a *Write*, *BlockWrite*, or *BlockErase* command, or if a portion of the <u>Data</u> in a *Write* command overlaps a permalocked memory block, the Tag shall ignore the command and respond with an error code (see <u>Annex I</u> for error-code definitions and for the reply format).

Req_RN, Read, Write, Kill, and Lock are required commands; Access, BlockWrite, BlockErase, and BlockPer-malock are optional. Tags shall ignore optional access commands that they do not support.

See <u>Annex K</u> for an example of a data-flow exchange during which an Interrogator accesses a Tag and reads its kill password.

6.3.2.11.3.1 *Req_RN* (mandatory)

Interrogators and Tags shall implement the *Req_RN* command shown in Table 6.30. *Req_RN* instructs a Tag to backscatter a new RN16. Both the Interrogator's command, and the Tag's response, depend on the Tag's state:

- Acknowledged state: When issuing a Req_RN command to a Tag in the acknowledged state, an Interrogator shall include the Tag's last backscattered RN16 as a parameter in the Req_RN. The Req_RN command is protected by a CRC-16 calculated over the command bits and the RN16. If the Tag receives the Req_RN with a valid CRC-16 and a valid RN16 it shall generate and store a new RN16 (denoted handle), backscatter this handle, and transition to the open or secured state. The choice of ending state depends on the Tag's access password, as follows:
 - Access password <> 0: Tag transitions to **open** state.
 - Access password = 0: Tag transitions to **secured** state.

If the Tag receives the *Req_RN* command with a valid CRC-16 but an invalid RN16 it shall ignore the *Req_RN* and remain in the **acknowledged** state.

• **Open** or **secured** states: When issuing a *Req_RN* command to a Tag in the **open** or **secured** states, an Interrogator shall include the Tag's <u>handle</u> as a parameter in the *Req_RN*. The *Req_RN* command is protected by a CRC-16 calculated over the command bits and the <u>handle</u>. If the Tag receives the *Req_RN* with a valid CRC-16 and a valid <u>handle</u> it shall generate and backscatter a new RN16. If the Tag receives the *Req_RN* with a valid CRC-16 but an invalid <u>handle</u> it shall ignore the *Req_RN*. In either case the Tag shall remain in its current state (**open** or **secured**, as appropriate).

If an Interrogator wishes to ensure that only a single Tag is in the **acknowledged** state it may issue a *Req_RN*, causing the Tag or Tags to each backscatter a <u>handle</u> and transition to the **open** or **secured** state (as appropriate). The Interrogator may then issue an *ACK* with <u>handle</u> as a parameter. Tags that receive an *ACK* with an invalid <u>handle</u> shall return to **arbitrate** (Note: If a Tag receives an *ACK* with an invalid <u>handle</u> it returns to **arbitrate**, whereas if it receives an access command with an invalid <u>handle</u> it ignores the command).

The first bit of the backscattered RN16 shall be denoted the MSB; the last bit shall be denoted the LSB.

A *Req_RN* shall be prepended with a frame-sync (see 6.3.1.2.8).

The Tag reply to a *Req_RN* shall be as shown in Table 6.31. The RN16 or <u>handle</u> are protected by a CRC-16.

	Command	RN	CRC-16
# of bits	8	16	16
description	11000001	Prior RN16 or handle	

Table 6.30 – *Req_RN* command

Table 6.31 – Tag reply to a *Req_RN* command

	RN	CRC-16
# of bits	16	16
description	handle or new RN16	

6.3.2.11.3.2 *Read* (mandatory)

Interrogators and Tags shall implement the *Read* command shown in Table 6.33. *Read* allows an Interrogator to read part or all of a Tag's Reserved, EPC, TID, or User memory. *Read* has the following fields:

- <u>MemBank</u> specifies whether the *Read* accesses Reserved, EPC, TID, or User memory. *Read* commands shall apply to a single memory bank. Successive *Reads* may apply to different banks.
- <u>WordPtr</u> specifies the starting word address for the memory read, where words are 16 bits in length. For example, <u>WordPtr</u> = 00_h specifies the first 16-bit memory word, <u>WordPtr</u> = 01_h specifies the second 16-bit memory word, etc. <u>WordPtr</u> uses EBV formatting (see <u>Annex A</u>).
- <u>WordCount</u> specifies the number of 16-bit words to be read. If <u>WordCount</u> = 00_h the Tag shall backscatter the contents of the chosen memory bank starting at <u>WordPtr</u> and ending at the end of the bank, unless <u>MemBank</u> = 01₂, in which case the Tag shall backscatter the memory contents specified in Table 6.32.

WordPtr Memory Address	Tag Implements XPC_W1?	Tag Implements XPC_W2?	What the Tag Backscatters
Within the StoredCRC, StoredPC, or the EPC specified by bits 10_h – 14_h of the StoredPC	Don't care	Don't care	EPC memory starting at <u>WordPtr</u> and ending at the EPC length specified by bits 10_h - 14_h of the StoredPC
Within physical EPC memory but above the EPC specified by bits 10_{h} -14 _h of the StoredPC	No	N/A. See note 1	EPC memory starting at <u>WordPtr</u> and ending at the end of physical EPC memory
Within physical EPC memory but above the EPC specified by bits 10_{h} -14 _h of the StoredPC	Yes	No	EPC memory starting at <u>WordPtr</u> and ending at the end of physical EPC memory. Includes the XPC_W1 if <u>WordPtr</u> is less than or equal to 210_h and physical EPC memory extends to or above address 210_h
Within physical EPC memory but above the EPC specified by bits 10_{h} – 14_{h} of the StoredPC	Yes	Yes	EPC memory starting at <u>WordPtr</u> and ending at the end of physical EPC memory. Includes the XPC_W1 and XPC_W2 if <u>WordPtr</u> is less than or equal to 210_h and physical EPC memory ex- tends to or above address 210_h . Includes the XPC_W2 if <u>WordPtr</u> is equal to 220_h and physi- cal EPC memory extends to or above 220_h .
210 _h . Above physical EPC memory	No	N/A. See note 1	Error code
210 _h . Above physical EPC memory	Yes	No	XPC_W1
210_h . Above physical EPC memory	Yes	Yes	XPC_W1 and XPC_W2
220 _h . Above physical EPC memory	No	N/A. See note 1	Error code
220 _h . Above physical EPC memory	Yes	No	Error code
220 _h . Above physical EPC memory	Yes	Yes	XPC_W2
Not 210 _h or 220 _h . Above physical EPC memory.	Don't care	Don't care	Error code

Table 6.32 – Tag backscatter when WordCount=00h and MemBank=012

Note 1: If a Tag does not implement an XPC_W1 then it does not implement an XPC_W2. See 6.3.2.1.2.5.

The *Read* command also includes the Tag's <u>handle</u> and a CRC-16. The CRC-16 is calculated over the first command-code bit to the last <u>handle</u> bit.

If a Tag receives a *Read* with a valid CRC-16 but an invalid <u>handle</u> it shall ignore the *Read* and remain in its current state (**open** or **secured**, as appropriate).

A Read shall be prepended with a frame-sync (see 6.3.1.2.8).

If all of the memory words specified in a *Read* exist and none are read-locked, the Tag reply to the *Read* shall be as shown in Table 6.34. The Tag responds by backscattering a header (a 0-bit), the requested memory words, and its <u>handle</u>. The reply includes a CRC-16 calculated over the 0-bit, memory words, and <u>handle</u>.

If a one or more of the memory words specified in the *Read* command either do not exist or are read-locked, the Tag shall backscatter an error code, within time T_1 in Table 6.13, rather than the reply shown in Table 6.34 (see <u>Annex I</u> for error-code definitions and for the reply format).

	Command	MemBank	WordPtr	WordCount	RN	CRC-16
# of bits	8	2	EBV	8	16	16
description	11000010	00: Reserved 01: EPC 10: TID 11: User	Starting address pointer	Number of words to read	handle_	

Table 6.33 – *Read* command

Table 6.34 – Tag reply to a successful *Read* command

	Header	Memory Words	RN	CRC-16
# of bits	1	Variable	16	16
description	0	Data	handle	

6.3.2.11.3.3 *Write* (mandatory)

Interrogators and Tags shall implement the *Write* command shown in Table 6.35. *Write* allows an Interrogator to write a word in a Tag's Reserved, EPC, TID, or User memory. *Write* has the following fields:

- MemBank specifies whether the Write occurs in Reserved, EPC, TID, or User memory.
- <u>WordPtr</u> specifies the word address for the memory write, where words are 16 bits in length. For example, <u>WordPtr</u> = 00_h specifies the first 16-bit memory word, <u>WordPtr</u> = 01_h specifies the second 16-bit memory word, etc. <u>WordPtr</u> uses EBV formatting (see <u>Annex A</u>).
- <u>Data</u> contains a 16-bit word to be written. Before each and every *Write* the Interrogator shall first issue a *Req_RN* command; the Tag responds by backscattering a new RN16. The Interrogator shall cover-code the <u>data</u> by EXORing it with this new RN16 prior to transmission.

The *Write* command also includes the Tag's <u>handle</u> and a CRC-16. The CRC-16 is calculated over the first command-code bit to the last <u>handle</u> bit.

If a Tag in the **open** or **secured** states receives a *Write* with a valid CRC-16 but an invalid <u>handle</u>, or it receives a *Write* before which the immediately preceding command was not a *Req_RN*, it shall ignore the *Write* and remain in its current state.

A Write shall be prepended with a frame-sync (see 6.3.1.2.8).

After issuing a *Write* an Interrogator shall transmit CW for the lesser of T_{REPLY} or 20ms, where T_{REPLY} is the time between the Interrogator's *Write* command and the Tag's backscattered reply. An Interrogator may observe several possible outcomes from a *Write*, depending on the success or failure of the Tag's memory-write operation:

- **The Write succeeds:** After completing the Write a Tag shall backscatter the reply shown in Table 6.36 and Figure 6.22 comprising a header (a 0-bit), the Tag's <u>handle</u>, and a CRC-16 calculated over the 0-bit and <u>handle</u>. If the Interrogator observes this reply within 20 ms then the Write completed successfully.
- **The Tag encounters an error:** The Tag shall backscatter an error code during the CW period rather than the reply shown in Table 6.36 (see <u>Annex I</u> for error-code definitions and for the reply format).
- The Write does not succeed: If the Interrogator does not observe a reply within 20ms then the Write did not complete successfully. The Interrogator may issue a Req_RN command (containing the Tag's handle) to verify that the Tag is still in the Interrogator's field, and may reissue the Write command.

Upon receiving a valid *Write* command a Tag shall write the commanded <u>Data</u> into memory. The Tag's reply to a *Write* shall use the extended preamble shown in Figure 6.11 or Figure 6.15, as appropriate (i.e. a Tag shall reply as if <u>TRext</u>=1 regardless of the <u>TRext</u> value in the *Query* that initiated the round).

	Command	MemBank	WordPtr	Data	RN	CRC-16
# of bits	8	2	EBV	16	16	16
description	11000011	00: Reserved 01: EPC 10: TID 11: User	Address pointer	RN16 ⊗ word to be written	<u>handle</u>	

Table 6.35 –	Write command
--------------	---------------

able 6.36 – Tag repl	/ to a successful	Write command
----------------------	-------------------	---------------

	Header	RN	CRC-16
# of bits	1	16	16
description	0	handle	

Interrogator command		T_{REPLY}	 <u>т</u>	ag respons	se
Write, Kill, Lock, BlockWrite, BlockErase, BlockPermalock (Read/Lock=	-1)	CW	Preamble	{0, <u>handle</u> ,	CRC-16}

Figure 6.22 – Successful Write sequence

6.3.2.11.3.4 *Kill* (mandatory)

Interrogators and Tags shall implement the *Kill* command shown in Table 6.37 and Table 6.38. *Kill* allows an Interrogator to permanently disable a Tag. *Kill* also allows an Interrogator to recommission recommissionable Tags.

To kill or recommission a Tag, an Interrogator shall follow the multi-step procedure outlined in Figure 6.23. Briefly, the Interrogator issues two *Kill* commands, the first containing the 16 MSBs of the Tag's kill password EXORed with an RN16, and the second containing the 16 LSBs of the Tag's kill password EXORed with a different RN16. Each EXOR operation shall be performed MSB first (i.e. the MSB of each half-password shall be EXORed with the MSB of its respective RN16). Just prior to issuing each *Kill* command the Interrogator first issues a *Req_RN* to obtain a new RN16.

Tags shall incorporate the necessary logic to successively accept two 16-bit subportions of a 32-bit kill password. Interrogators shall not intersperse commands other than *Req_RN* between the two successive *Kill* commands. If a Tag, after receiving a first *Kill*, receives any valid command other than *Req_RN* before the second *Kill* it shall return to **arbitrate**, unless the intervening command is a *Query*, in which case the Tag shall execute the *Query* (inverting its **inventoried** flag if the <u>session</u> parameter in the *Query* matches the prior session).

Kill contains 3 <u>RFU/Recom</u> bits. In the first *Kill* command these bits are RFU. Interrogators shall set them to 000₂ when communicating with Class-1 Tags, and Class-1 Tags shall ignore them. Higher-class Tags may use these bits to expand the functionality of the *Kill* command. As described in 6.3.2.10, in the second *Kill* command these bits are called *recommissioning* (or <u>Recom</u>) bits and may be nonzero. The procedures for killing or recommissioning a Tag are identical, except that the recommissioning bits in the second *Kill* command are zero when killing a Tag and are nonzero when recommissioning it. Regardless of the intended operation, a Tag does not kill or recommission itself without first receiving the correct kill password by the procedure shown in Figure 6.23.

If a Tag does not implement recommissioning then it ignores the recommissioning bits and treats them as though they were zero, meaning that, if the Tag receives a properly formatted *Kill* command sequence with the correct kill password it kills itself dead regardless of the values of the recommissioning bits.

Tag's whose kill password is zero do not execute a kill or a recommissioning operation; if such a Tag receives a *Kill* command it ignores the command and backscatters an error code (see Figure 6.23).

The Tag reply to the first *Kill* command shall be as shown in Table 6.39. The reply shall use the <u>TRext</u> value specified in the *Query* command that initiated the round.

After issuing the second *Kill* command an Interrogator shall transmit CW for the lesser of T_{REPLY} or 20ms, where T_{REPLY} is the time between the Interrogator's second *Kill* command and the Tag's backscattered reply. An Interrogator may observe several possible outcomes from a *Kill* command sequence, depending on the success or failure of the Tag's kill or recommissioning operation:

- The Kill or recommissioning succeeds: After completing the operation the Tag shall backscatter the
 reply shown in Table 6.40 and Figure 6.22 comprising a header (a 0-bit), the Tag's <u>handle</u>, and a CRC-16
 calculated over the 0-bit and <u>handle</u>. If the Interrogator observes this reply within 20 ms then the operation completed successfully. If the Tag is killed dead then immediately after this reply the Tag shall render
 itself silent and shall not respond to an Interrogator thereafter.
- **The Tag encounters an error:** The Tag shall backscatter an error code during the CW period rather than the reply shown in Table 6.40 (see <u>Annex I</u> for error-code definitions and for the reply format).
- The *Kill* or recommissioning does not succeed: If the Interrogator does not observe a reply within 20ms then the operation did not complete successfully. The Interrogator may issue a *Req_RN* (containing the Tag's <u>handle</u>) to verify that the Tag is still in the Interrogator's field, and may reinitiate the multi-step kill procedure outlined in Figure 6.23.

A Kill shall be prepended with a frame-sync (see 6.3.1.2.8).

Upon receiving a valid *Kill* command sequence a Tag shall render itself killed or recommissioned, as appropriate. The Tag's reply to the second *Kill* command shall use the extended preamble shown in Figure 6.11 or Figure 6.15, as appropriate (i.e. a Tag shall reply as if <u>TRext</u>=1 regardless of the <u>TRext</u> value in the *Query* that initiated the round).

Table 6.37 - First Kill command

	Command	Password	RFU/Recom	RN	CRC-16
# of bits	8	16	3	16	16
description	11000100	($\frac{1}{2}$ kill password) \otimes RN16	0002	handle	

Table 6.38 – Second Kill command

	Command	Password	RFU/Recom	RN	CRC-16
# of bits	8	16	3	16	16
description	11000100	($\frac{1}{2}$ kill password) \otimes RN16	Recommissioning bits	<u>handle</u>	

Table 6.39 – Tag reply to the first Kill command

	RN	CRC-16
# of bits	16	16
description	<u>handle</u>	

Table 6.40 – Tag reply to a successful Kill procedure

	Header	RN	CRC-16
# of bits	1	16	16
description	0	<u>handle</u>	





6.3.2.11.3.5 *Lock* (mandatory)

Interrogators and Tags shall implement the *Lock* command shown in Table 6.41 and Figure 6.24. Only Tags in the **secured** state shall execute a *Lock* command. *Lock* allows an Interrogator to:

- Lock individual passwords, thereby preventing or allowing subsequent reads and writes of that password,
- Lock individual memory banks, thereby preventing or allowing subsequent writes to that bank, and
- Permalock (make permanently unchangeable) the lock status for a password or memory bank.

Lock contains a 20-bit payload defined as follows:

- The first 10 payload bits are <u>Mask</u> bits. A Tag shall interpret these bit values as follows:
 - Mask = 0: Ignore the associated Action field and retain the current lock setting.
 - <u>Mask</u> = 1: Implement the associated <u>Action</u> field and overwrite the current lock setting.
- The last 10 payload bits are <u>Action</u> bits. A Tag shall interpret these bit values as follows:
 - <u>Action</u> = 0: Deassert lock for the associated memory location.
 - <u>Action</u> = 1: Assert lock or permalock for the associated memory location.

The functionality of the various <u>Action</u> fields is described in Table 6.43.

The payload of a *Lock* command shall always be 20 bits in length.

If an Interrogator issues a *Lock* command whose <u>Mask</u> and <u>Action</u> fields attempt to change the lock status of a nonexistent memory bank or nonexistent password, the Tag shall ignore the entire *Lock* command and instead backscatter an error code (see <u>Annex I</u>).

The *Lock* command differs from the optional *BlockPermalock* command in that *Lock* reversibly or permanently locks a password or an entire EPC, TID, or User memory bank in a writeable or unwriteable state, whereas *BlockPermalock* permanently locks blocks of User memory in an unwriteable state. Table 6.50 specifies how a Tag shall react to a *Lock* command that follows a prior *BlockPermalock* command, or vice versa.

Permalock bits, once asserted, cannot be deasserted except by recommissioning the Tag (see 6.3.2.10). If a Tag receives a *Lock* whose payload attempts to deassert a previously asserted permalock bit, the Tag shall ignore the *Lock* and backscatter an error code (see <u>Annex I</u>). If a Tag receives a *Lock* whose payload attempts to reassert a previously asserted permalock bit, the Tag shall ignore this particular <u>Action</u> field and implement the remainder of the *Lock* payload, unless the Tag has been recommissioned and the corresponding memory location is no longer permalocked, in which case the Tag shall reassert the permalock bit.

A Tag's lock bits cannot be read directly; they can be inferred by attempting to perform other memory operations.

All Tags shall implement memory locking, and all Tags shall implement the *Lock* command. However, Tags need not support all the <u>Action</u> fields shown in Figure 6.24, depending on whether the password location or memory bank associated with an <u>Action</u> field exists and is lockable and/or unlockable. Specifically, if a Tag receives a *Lock* it cannot execute because one or more of the passwords or memory banks do not exist, or one or more of the <u>Action</u> fields attempt to change a permalocked value, or one or more of the passwords or memory banks are either not lockable or not unlockable, the Tag shall ignore the entire *Lock* and instead backscatter an error code (see <u>Annex I</u>). The only exception to this general rule relates to Tags whose only lock functionality is to permanently lock **all** memory (i.e. all memory banks and all passwords) at once; these Tags shall execute a *Lock* whose payload is FFFFF_h, and shall backscatter an error code for any payload other than FFFFF_h.

A *Lock* shall be prepended with a frame-sync (see 6.3.1.2.8).

After issuing a *Lock* an Interrogator shall transmit CW for the lesser of T_{REPLY} or 20ms, where T_{REPLY} is the time between the Interrogator's *Lock* command and the Tag's backscattered reply. An Interrogator may observe several possible outcomes from a *Lock*, depending on the success or failure of the Tag's memory-write operation:

- The Lock succeeds: After completing the Lock the Tag shall backscatter the reply shown in Table 6.42 and Figure 6.22 comprising a header (a 0-bit), the Tag's <u>handle</u>, and a CRC-16 calculated over the 0-bit and <u>handle</u>. If the Interrogator observes this reply within 20 ms then the Lock completed successfully.
- **The Tag encounters an error:** The Tag shall backscatter an error code during the CW period rather than the reply shown in Table 6.42 (see <u>Annex I</u> for error-code definitions and for the reply format).
- The Lock does not succeed: If the Interrogator does not observe a reply within 20ms then the Lock did not complete successfully. The Interrogator may issue a Req_RN command (containing the Tag's handle) to verify that the Tag is still in the Interrogator's field, and may reissue the Lock.

Upon receiving a valid *Lock* command a Tag shall perform the commanded lock operation. The Tag's reply to a *Lock* shall use the extended preamble shown in Figure 6.11 or Figure 6.15, as appropriate (i.e. a Tag shall reply as if <u>TRext</u>=1 regardless of the <u>TRext</u> value in the *Query* that initiated the round).

	Command	Payload	RN	CRC-16
# of bits	# of bits 8 20		16	16
description	11000101	Mask and Action Fields	<u>handle</u>	

Table 6.42 – Tag reply to a *Lock* command

	Header	RN	CRC-16
# of bits	1	16	16
description	0	<u>handle</u>	

Lock-Command Payload

	19	18	17	16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
:	K	ill	Acc	ess	EF	PC	TI	ID	Us	ser	K	ill	Acc	ess	EF	PC	TI	ID	Us	er
	Ma	Isk	Ma	Isk	Ma	ask	Ma	Isk	Ma	Isk	Act	ion	Act	ion	Act	tion	Act	ion	Act	ion

Masks and Associated Action Fields

	Kill	pwd	Access pwd		EPC memory		TID memory		User memory	
	19	18	17	16	15	14	13	12	11	10
Mask	skip/ write	skip/ write	skip/ write	skip/ write	skip/ write	skip/ write	skip/ write	skip/ write	skip/ write	skip/ write
	9	8	7	6	5	4	3	2	1	0
Action	pwd read/ write	perma lock	pwd read/ write	perma lock	pwd write	perma lock	pwd write	perma lock	pwd write	perma lock

Figure 6.24 – *Lock* payload and usage

Table 6.43 -	Lock Action-field	functionality

pwd-write	permalock	Description
0	0	Associated memory bank is writeable from either the open or secured states.
0	1	Associated memory bank is permanently writeable from either the open or secured states and may never be locked.
1	0 Associated memory bank is writeable from the secured state but not from the open	
1	1	Associated memory bank is not writeable from any state.
pwd-read/write	permalock	Description
0	0	Associated password location is readable and writeable from either the open or secured states.
0	1	Associated password location is permanently readable and writeable from either the open or secured states and may never be locked.
1	0	Associated password location is readable and writeable from the secured state but not from the open state.
1	1	Associated password location is not readable or writeable from any state.

6.3.2.11.3.6 *Access* (optional)

Interrogators and Tags may implement an *Access* command; if they do, the command shall be as shown in Table 6.44. *Access* causes a Tag with a nonzero-valued access password to transition from the **open** to the **secured** state (a Tag with a zero-valued access password is never in the **open** state — see Figure 6.19) or, if the Tag is already in the **secured** state, to remain in **secured**.

To access a Tag, an Interrogator shall follow the multi-step procedure outlined in Figure 6.25. Briefly, an Interrogator issues two *Access* commands, the first containing the 16 MSBs of the Tag's access password EXORed with an RN16, and the second containing the 16 LSBs of the Tag's access password EXORed with a different RN16. Each EXOR operation shall be performed MSB first (i.e. the MSB of each half-password shall be EXORed with the MSB of its respective RN16). Just prior to issuing each *Access* command the Interrogator first issues a *Req_RN* to obtain a new RN16.

Tags shall incorporate the necessary logic to successively accept two 16-bit subportions of a 32-bit access password. Interrogators shall not intersperse commands other than *Req_RN* between the two successive *Access* commands. If a Tag, after receiving a first *Access*, receives any valid command other than *Req_RN* before the second *Access* it shall return to **arbitrate**, unless the intervening command is a *Query*, in which case the Tag shall execute the *Query* (inverting its **inventoried** flag if the <u>session</u> parameter in the *Query* matches the prior session).

An Access shall be prepended with a frame-sync (see 6.3.1.2.8).

The Tag reply to an *Access* command shall be as shown in Table 6.45. If the *Access* is the first in the sequence, then the Tag backscatters its <u>handle</u> to acknowledge that it received the command. If the *Access* is the second in the sequence and the entire received 32-bit access password is correct, then the Tag backscatters its <u>handle</u> to acknowledge that it has executed the command successfully and has transitioned to the **secured** state; otherwise the Tag does not reply and returns to **arbitrate**. The reply includes a CRC-16 calculated over the <u>handle</u>.

	Command	Password	RN	CRC-16
# of bits	8	16	16	16
description	11000110	($\frac{1}{2}$ access password) \otimes RN16	handle	

Т	able	6.44 -	Access	command
-				

l able 6.45 –	l ag reply	to an	Access command

	RN	CRC-16
# of bits	16	16
description	handle	


Figure 6.25 – Access procedure

6.3.2.11.3.7 *BlockWrite* (optional)

Interrogators and Tags may implement a *BlockWrite* command; if they do, they shall implement it as shown in Table 6.46. *BlockWrite* allows an Interrogator to write multiple words in a Tag's Reserved, EPC, TID, or User memory using a single command. *BlockWrite* has the following fields:

- <u>MemBank</u> specifies whether the *BlockWrite* occurs in Reserved, EPC, TID, or User memory. *BlockWrite* commands shall apply to a single memory bank. Successive *BlockWrites* may apply to different banks.
- <u>WordPtr</u> specifies the starting word address for the memory write, where words are 16 bits in length. For example, <u>WordPtr</u> = 00_h specifies the first 16-bit memory word, <u>WordPtr</u> = 01_h specifies the second 16-bit memory word, etc. <u>WordPtr</u> uses EBV formatting (see <u>Annex A</u>).
- <u>WordCount</u> specifies the number of 16-bit words to be written. If <u>WordCount</u> = 00_h the Tag shall ignore the *BlockWrite*. If <u>WordCount</u> = 01_h the Tag shall write a single data word.
- <u>Data</u> contains the 16-bit words to be written, and shall be 16×<u>WordCount</u> bits in length. Unlike a *Write*, the data in a *BlockWrite* are not cover-coded, and an Interrogator need not issue a *Req_RN* before issuing a *BlockWrite*.

The *BlockWrite* command also includes the Tag's <u>handle</u> and a CRC-16. The CRC-16 is calculated over the first command-code bit to the last <u>handle</u> bit.

If a Tag receives a *BlockWrite* with a valid CRC-16 but an invalid <u>handle</u> it shall ignore the *BlockWrite* and remain in its current state (**open** or **secured**, as appropriate).

A BlockWrite shall be prepended with a frame-sync (see 6.3.1.2.8).

After issuing a *BlockWrite* an Interrogator shall transmit CW for the lesser of T_{REPLY} or 20ms, where T_{REPLY} is the time between the Interrogator's *BlockWrite* command and the Tag's backscattered reply. An Interrogator may observe several possible outcomes from a *BlockWrite*, depending on the success or failure of the Tag's memory-write operation:

- The BlockWrite succeeds: After completing the BlockWrite a Tag shall backscatter the reply shown in Table 6.47 and Figure 6.22 comprising a header (a 0-bit), the Tag's <u>handle</u>, and a CRC-16 calculated over the 0-bit and <u>handle</u>. If the Interrogator observes this reply within 20 ms then the BlockWrite completed successfully.
- **The Tag encounters an error:** The Tag shall backscatter an error code during the CW period rather than the reply shown in Table 6.47 (see <u>Annex I</u> for error-code definitions and for the reply format).
- The *BlockWrite* does not succeed: If the Interrogator does not observe a reply within 20ms then the *BlockWrite* did not complete successfully. The Interrogator may issue a *Req_RN* command (containing the Tag's <u>handle</u>) to verify that the Tag is still in the Interrogator's field, and may reissue the *BlockWrite*.

Upon receiving a valid *BlockWrite* command a Tag shall write the commanded <u>Data</u> into memory. The Tag's reply to a *BlockWrite* shall use the extended preamble shown in Figure 6.11 or Figure 6.15, as appropriate (i.e. a Tag shall reply as if <u>TRext</u>=1 regardless of the <u>TRext</u> value in the *Query* that initiated the round).

	Command	MemBank	WordPtr	WordCount	Data	RN	CRC-16
# of bits	8	2	EBV	8	Variable	16	16
description	11000111	00: Reserved 01: EPC 10: TID 11: User	Starting address pointer	Number of words to write	Data to be written	<u>handle</u>	

Table 6.47 – Tag reply to a successful	BlockWrite command
--	--------------------

	Header	RN	CRC-16
# of bits	1	16	16
description	0	handle	

6.3.2.11.3.8 *BlockErase* (optional)

Interrogators and Tags may implement a *BlockErase* command; if they do, they shall implement it as shown in Table 6.48. *BlockErase* allows an Interrogator to erase multiple words in a Tag's Reserved, EPC, TID, or User memory using a single command. *BlockErase* has the following fields:

- <u>MemBank</u> specifies whether the *BlockErase* occurs in Reserved, EPC, TID, or User memory. *BlockErase* commands shall apply to a single memory bank. Successive *BlockErases* may apply to different banks.
- <u>WordPtr</u> specifies the starting word address for the memory erase, where words are 16 bits in length. For example, <u>WordPtr</u> = 00_h specifies the first 16-bit memory word, <u>WordPtr</u> = 01_h specifies the second 16-bit memory word, etc. <u>WordPtr</u> uses EBV formatting (see <u>Annex A</u>).
- <u>WordCount</u> specifies the number of 16-bit words to be erased. If <u>WordCount</u> = 00_h the Tag shall ignore the *BlockErase*. If <u>WordCount</u> = 01_h the Tag shall erase a single data word.

The *BlockErase* command also includes the Tag's <u>handle</u> and a CRC-16. The CRC-16 is calculated over the first command-code bit to the last handle bit.

If a Tag receives a *BlockErase* with a valid CRC-16 but an invalid <u>handle</u> it shall ignore the *BlockErase* and remain in its current state (**open** or **secured**, as appropriate).

A *BlockErase* shall be prepended with a frame-sync (see 6.3.1.2.8).

After issuing a *BlockErase* an Interrogator shall transmit CW for the lesser of T_{REPLY} or 20ms, where T_{REPLY} is the time between the Interrogator's *BlockErase* command and the Tag's backscattered reply. An Interrogator may observe several possible outcomes from a *BlockErase*, depending on the success or failure of the Tag's memory-erase operation:

- The *BlockErase* succeeds: After completing the *BlockErase* a Tag shall backscatter the reply shown in Table 6.49 and Figure 6.22 comprising a header (a 0-bit), the Tag's <u>handle</u>, and a CRC-16 calculated over the 0-bit and <u>handle</u>. If the Interrogator observes this reply within 20 ms then the *BlockErase* completed successfully.
- **The Tag encounters an error:** The Tag shall backscatter an error code during the CW period rather than the reply shown in Table 6.49 (see <u>Annex I</u> for error-code definitions and for the reply format).
- The *BlockErase* does not succeed: If the Interrogator does not observe a reply within 20ms then the *BlockErase* did not complete successfully. The Interrogator may issue a *Req_RN* command (containing the Tag's <u>handle</u>) to verify that the Tag is still in the Interrogator's field, and may reissue the *BlockErase*.

Upon receiving a valid *BlockErase* command a Tag shall erase the commanded memory words. The Tag's reply to a *BlockErase* shall use the extended preamble shown in Figure 6.11 or Figure 6.15, as appropriate (i.e. a Tag shall reply as if <u>TRext</u>=1 regardless of the <u>TRext</u> value in the *Query* that initiated the round).

	Command	MemBank	WordPtr	WordCount	RN	CRC-16
# of bits	8	2	EBV	8	16	16
description	11001000	00: Reserved 01: EPC 10: TID 11: User	Starting address pointer	Number of words to erase	<u>handle</u>	

Table 6.49 –	Tag reply to a	successful	BlockErase command
--------------	----------------	------------	--------------------

	Header	RN	CRC-16
# of bits	1	16	16
description	0	handle	

6.3.2.11.3.9 *BlockPermalock* (optional)

Interrogators and Tags may implement a *BlockPermalock* command; if they do, they shall implement it as shown in Table 6.51. *BlockPermalock* allows an Interrogator to:

- Permalock one or more blocks (individual sub-portions) in a Tag's User memory, or
- Read the permalock status of the memory blocks in a Tag's User memory.

A single *BlockPermalock* command can permalock between 0 and 4080 blocks of User memory. The block size is vendor-defined. The memory blocks need not be contiguous.

Only Tags in the **secured** state shall execute a *BlockPermalock* command.

The *BlockPermalock* command differs from the *Lock* command in that *BlockPermalock* permanently locks blocks of User memory in an unwriteable state, whereas *Lock* reversibly or permanently locks a password or an entire memory bank in a writeable or unwriteable state. Table 6.50 specifies how a Tag shall react to a *BlockPermalock* command (with <u>Read/Lock</u> = 1) that follows a prior *Lock* command, or vice versa.

First Command		Second Command			Tag Action and Response to 2 nd Command			
	pwd-write	permalock						
	0	0				Permalock the blocks indicated by <u>Mask</u> ; respond as described in this section 6.3.2.11.3.9		
Lock	0	1	<i>BlockPermalock</i> (Read/Lock = 1)		BlockPermalock (Read/Lock = 1) Reject the BlockPermalock; respond with an er code			
	1	0		<u> </u>		Permalock the blocks indicated by <u>Mask;</u> resp as described in this section 6.3.2.11.3.9		
	1	1				Permalock the blocks indicated by <u>Mask</u> ; respond as described in this section 6.3.2.11.3.9		
				pwd-write	permalock			
			0 0		0	Implement the <i>Lock</i> , but do not un-permalock any blocks that were previously permalocked; respond as described in 6.3.2.11.3.5		
<i>BlockPermalock</i> (<u>Read/Lock</u> = 1)		Lock	Lock 0 1		Reject the Lock; respond with an error code			
		(<u>Read/Lock</u> = 1)		1	0	Implement the <i>Lock</i> , but do not un-permalock any blocks that were previously permalocked; respond as described in 6.3.2.11.3.5		
				1	1	Implement the <i>Lock</i> ; respond as described in 6.3.2.11.3.5		

Table 6.50 – Precedence for Lock and BlockPermalock commands

The *BlockPermalock* command has the following fields:

- <u>MemBank</u> specifies whether the *BlockPermalock* applies to EPC, TID, or User memory. *BlockPermalock* commands shall apply to a single memory bank. Successive *BlockPermalocks* may apply to different memory banks. Class-1 Tags shall only execute a *BlockPermalock* command if <u>MemBank</u> = 11 (User memory); if a Class-1 Tag receives a *BlockPermalock* with <u>MemBank</u><>11 it shall ignore the command and instead backscatter an error code (see <u>Annex I</u>), remaining in the **secured** state. Higher-class Tags may use the other <u>MemBank</u> values to expand the functionality of the *BlockPermalock* command.
- <u>Read/Lock</u> specifies whether a Tag backscatters the permalock status of, or permalocks, one or more blocks within the memory bank specified by <u>MemBank</u>. A Tag shall interpret the <u>Read/Lock</u> bit as follows:
 - <u>Read/Lock</u> = 0: A Tag shall backscatter the permalock status of blocks in the specified memory bank, starting from the memory block located at <u>BlockPtr</u> and ending at the memory block located at <u>BlockPtr</u>+(16×<u>BlockRange</u>)–1. A Tag shall backscatter a "0" if the memory block corresponding to that bit is not permalocked and a "1" if the block is permalocked. An Interrogator omits <u>Mask</u> from the *BlockPermalock* command when <u>Read/Lock</u> = 0.
 - <u>Read/Lock</u> = 1: A Tag shall permalock those blocks in the specified memory bank that are specified by <u>Mask</u>, starting at <u>BlockPtr</u> and ending at <u>BlockPtr</u>+(16×<u>BlockRange</u>)–1.

- <u>BlockPtr</u> specifies the starting address for <u>Mask</u>, in units of 16 blocks. For example, <u>BlockPtr</u> = 00_h indicates block 0, <u>BlockPtr</u> = 01_h indicates block 16, <u>BlockPtr</u> = 02_h indicates block 32. <u>BlockPtr</u> uses EBV formatting (see <u>Annex A</u>).
- <u>BlockRange</u> specifies the range of <u>Mask</u>, starting at <u>BlockPtr</u> and ending (16×<u>BlockRange</u>)–1 blocks later. If <u>BlockRange</u> = 00_h then a Tag shall ignore the *BlockPermalock* command and instead backscatter an error code (see <u>Annex I</u>), remaining in the **secured** state.
- Mask specifies which memory blocks a Tag permalocks. Mask depends on the Read/Lock bit as follows:
 - <u>Read/Lock</u> = 0: The Interrogator shall omit <u>Mask</u> from the *BlockPermalock* command.
 - <u>Read/Lock</u> = 1: The Interrogator shall include a <u>Mask</u> of length 16×<u>BlockRange</u> bits in the *Block-Permalock* command. The <u>Mask</u> bits shall be ordered from lower-order block to higher (i.e. if <u>BlockPtr</u> = 00_h then the leading <u>Mask</u> bit refers to block 0). The Tag shall interpret each bit of <u>Mask</u> as follows:
 - <u>Mask</u> bit = 0: Retain the current permalock setting for the corresponding memory block.
 - <u>Mask</u> bit = 1: Permalock the corresponding memory block. If a block is already permalocked then the Tag shall retain the current permalock setting. A memory block, once permalocked, cannot be un-permalocked except by recommissioning the Tag (see 6.3.2.10).

The following example illustrates the usage of <u>Read/Lock</u>, <u>BlockPtr</u>, <u>BlockRange</u>, and <u>Mask</u>:

• If <u>Read/Lock</u>=1, <u>BlockPtr</u>=01_h, and <u>BlockRange</u>=01_h the Tag operates on sixteen blocks starting at block 16 and ending at block 31, permalocking those blocks whose corresponding bits are asserted in <u>Mask</u>.

The *BlockPermalock* command contains 8 RFU bits. Interrogators shall set these bits to 00_h when communicating with Class-1 Tags. If a Class-1 Tag receives a *BlockPermalock* command containing nonzero RFU bits it shall ignore the command and instead backscatter an error code (see <u>Annex I</u>), remaining in the **secured** state. Higher-class Tags may use these bits to expand the functionality of the *BlockPermalock* command.

The *BlockPermalock* command also includes the Tag's <u>handle</u> and a CRC-16. The CRC-16 is calculated over the first command-code bit to the last <u>handle</u> bit. If a Tag receives a *BlockPermalock* with a valid CRC-16 but an invalid <u>handle</u> it shall ignore the *BlockPermalock* and remain in the **secured** state.

If a Tag receives a *BlockPermalock* command that it cannot execute because User memory does not exist, or in which the LSB and/or the 2SB of a Tag's XPC_W1 is/are asserted (see Table 6.15), or in which one of the asserted <u>Mask</u> bits references a non-existent block, then the Tag shall ignore the *BlockPermalock* command and instead backscatter an error code (see <u>Annex I</u>), remaining in the **secured** state. A Tag shall treat as invalid a *BlockPermalock* command in which <u>Read/Lock</u>=0 but <u>Mask</u> is not omitted, or a *BlockPermalock* command in which <u>Read/Lock</u>=1 but <u>Mask</u> has a length that is not equal to 16×<u>BlockRange</u> bits (see 6.3.2.11 for the definition of an "invalid" command).

Certain Tags, depending on the Tag manufacturer's implementation, may be unable to execute a *BlockPermalock* command with certain <u>BlockPtr</u> and <u>BlockRange</u> values, in which case the Tag shall ignore the *BlockPermalock* command and instead backscatter an error code (see <u>Annex I</u>), remaining in the **secured** state. Because a Tag contains information in its TID memory that an Interrogator can use to uniquely identify the optional features that the Tag supports (see 6.3.2.1.3), this specification recommends that Interrogators read a Tag's TID memory prior to issuing a *BlockPermalock* command.

If an Interrogator issues a *BlockPermalock* command in which <u>BlockPtr</u> and <u>BlockRange</u> specify one or more nonexistent blocks, but <u>Mask</u> only asserts permalocking on existent blocks, then the Tag shall execute the command.

A *BlockPermalock* shall be prepended with a frame-sync (see 6.3.1.2.8).

After issuing a *BlockPermalock* command an Interrogator shall transmit CW for the lesser of T_{REPLY} or 20ms, where T_{REPLY} is the time between the Interrogator's *BlockPermalock* and the Tag's backscattered reply. An Interrogator may observe several possible outcomes from a *BlockPermalock* command, depending on the value of the <u>Read/Lock</u> bit in the command and, if <u>Read/Lock</u> = 1, the success or failure of the Tag's memory-lock operation:

• <u>Read/Lock</u> = 0 and the Tag is able to execute the command: The Tag shall backscatter the reply shown in Table 6.52, within time T₁ in Table 6.13, comprising a header (a 0-bit), the requested permalock bits, the Tag's <u>handle</u>, and a CRC-16 calculated over the 0-bit, permalock bits, and <u>handle</u>. The Tag's reply shall use the preamble specified by the <u>TRext</u> value in the *Query* that initiated the round.

	Command	RFU	Read/Lock	MemBank	BlockPtr	BlockRange	Mask	RN	CRC-16
# of bits	8	8	1	2	EBV	8	Variable	16	16
description	11001001	00 _h	0: Read 1: Permalock	00: RFU 01: EPC 10: TID 11: User	<u>Mask</u> start- ing address, specified in units of 16 blocks	<u>Mask</u> range, specified in units of 16 blocks	0: Retain current permalock set- ting1: Assert perma- lock	<u>handle</u>	

Table 6.51 – BlockPermalock command

Table 6.52 – Tag reply to a successful *BlockPermalock* command with <u>Read/Lock</u> = 0

	Header	Data	RN	CRC-16
# of bits	1	Variable	16	16
description	0	Permalock bits	<u>handle</u>	

Table 6.53 – Tag reply to a successful *BlockPermalock* command with <u>Read/Lock</u> = 1

	Header	RN	CRC-16
# of bits	1	16	16
description	0	<u>handle</u>	

- <u>Read/Lock</u> = 0 and the Tag is unable to execute the command: the Tag shall backscatter an error code, within time T₁ in Table 6.13, rather than the reply shown in Table 6.52 (see <u>Annex I</u> for error-code definitions and for the reply format). The Tag's reply shall use the preamble specified by the <u>TRext</u> value in the *Query* that initiated the round. An example of an unexecutable command is a Class-1 Tag receiving a *BlockPermalock* with <u>MemBank</u><>11.
- <u>Read/Lock</u> = 1 and The *BlockPermalock* succeeds: After completing the *BlockPermalock* the Tag shall backscatter the reply shown in Table 6.53 comprising a header (a 0-bit), the Tag's <u>handle</u>, and a CRC-16 calculated over the 0-bit and <u>handle</u>. If the Interrogator observes this reply within 20 ms then the *Block-Permalock* completed successfully. The Tag's reply shall use the extended preamble shown in Figure 6.11 or Figure 6.15, as appropriate (i.e. the Tag shall reply as if <u>TRext</u>=1 regardless of the <u>TRext</u> value in the *Query* that initiated the round).
- <u>Read/Lock</u> = 1 and the Tag encounters an error: The Tag shall backscatter an error code during the CW period rather than the reply shown in Table 6.53 (see <u>Annex I</u> for error-code definitions and for the reply format). The Tag's reply shall use the extended preamble shown in Figure 6.11 or Figure 6.15, as appropriate (i.e. the Tag shall reply as if <u>TRext</u>=1 regardless of the <u>TRext</u> value in the *Query* that initiated the round).
- <u>Read/Lock</u> = 1 and the *BlockPermalock* does not succeed: If the Interrogator does not observe a reply within 20ms then the *BlockPermalock* did not complete successfully. The Interrogator may issue a *Req_RN* command (containing the Tag's <u>handle</u>) to verify that the Tag is still in the Interrogator's field, and may reissue the *BlockPermalock*.

Upon receiving a valid and executable *BlockPermalock* command a Tag shall perform the commanded operation, unless the Tag does not support block permalocking, in which case it shall ignore the command.

7. Intellectual property rights intrinsic to this specification

Attention is drawn to the possibility that some of the elements of this specification may be the subject of patent and/or other intellectual-property rights. EPCglobal shall not be held responsible for identifying any or all such patent or intellectual-property rights.

Annex A (normative) Extensible bit vectors (EBV)

An extensible bit vector (EBV) is a data structure with an extensible data range.

An EBV is an array of *blocks*. Each block contains a single extension bit followed by a specific number of data bits. If B represents the total number of bits in one block, then a block contains B - 1 data bits. Although a general EBV may contain blocks of varying lengths, Tags and Interrogators manufactured according to this specification shall use blocks of length 8 bits (EBV-8).

The data value represented by an EBV is simply the bit string formed by the data bits as read from left-to-right, ignoring the extension bits.

Tags and Interrogators shall use the EBV-8 word format specified in Table A.1.

	0	0	0000000					
	1	0	0000001					
2 ⁷ – 1	127	0	1111111			_		
2 ⁷	128	1	0000001	0	0000000			
2 ¹⁴ – 1	16383	1	1111111	0	1111111			
2 ¹⁴	16384	1	0000001	1	0000000	0	0000000	

Table A.1 – EBV-8 word format

Because each block has 7 data bits available, the EBV-8 can represent numeric values between 0 and 127 with a single block. To represent the value 128, the extension bit is set to 1 in the first block, and a second block is appended to the EBV-8. In this manner, an EBV-8 can represent arbitrarily large values.

This specification uses EBV-8 values to represent memory addresses and mask lengths.

Annex B (normative) State-transition tables

State-transition tables B.1 to B.7 shall define a Tag's response to Interrogator commands. The term "<u>handle</u>" used in the state-transition tables is defined in 6.3.2.4.5; error codes are defined in Table I.2; "slot" is the slot-counter output shown in Figure 6.19 and detailed in <u>Annex J</u>; "–" in the "Action" column means that a Tag neither modifies its **SL** or **inventoried** flags nor backscatters a reply.

B.1 Present state: Ready

Command	Condition	Action	Next State
	slot=0; matching inventoried & SL flags	backscatter new RN16	reply
Query ¹	slot<>0; matching inventoried & SL flags	-	arbitrate
	otherwise	-	ready
QueryRep	all	-	ready
QueryAdjust	all	-	ready
ACK	all	-	ready
NAK	all	-	ready
Req_RN	all	-	ready
Select	all	assert or deassert SL , or set inventoried to <i>A</i> or <i>B</i>	ready
Read	all	-	ready
Write	all	-	ready
Kill	all	-	ready
Lock	all	-	ready
Access	all	-	ready
BlockWrite	all	-	ready
BlockErase	all	-	ready
BlockPermalock	all	-	ready
Invalid ²	all	-	ready

Table B.1 – Ready state-transition table

1: Query starts a new round and may change the session. Query also instructs a Tag to load a new random value into its slot counter.

2: "Invalid" shall mean an erroneous command, an unsupported command, a command with invalid parameters, a command with a CRC error, or any other command either not recognized or not executable by the Tag.

B.2 Present state: Arbitrate

Command	Condition	Action	Next State
	slot=0; matching inventoried & SL flags	backscatter new RN16	reply
Query ^{1,2}	slot<>0; matching inventoried & SL flags	-	arbitrate
	otherwise	-	ready
Quen/Rep	slot=0 after decrementing slot counter	backscatter new RN16	reply
Querynep	slot<>0 after decrementing slot counter	-	arbitrate
$Ouon Adjust^2$	slot=0	backscatter new RN16	reply
QueryAujust	slot<>0	-	arbitrate
ACK	all	-	arbitrate
NAK	all	-	arbitrate
Req_RN	all	-	arbitrate
Select	all	assert or deassert SL , or set inventoried to <i>A</i> or <i>B</i>	ready
Read	all	-	arbitrate
Write	all	-	arbitrate
Kill	all	-	arbitrate
Lock	all	-	arbitrate
Access	all	-	arbitrate
Erase	all	-	arbitrate
BlockWrite	all	-	arbitrate
BlockErase	all	-	arbitrate
BlockPermalock	all	-	arbitrate
Invalid ³	all	_	arbitrate

Table B.2 – Arbitrate state-transition table

1: Query starts a new round and may change the session.

2: Query and QueryAdjust instruct a Tag to load a new random value into its slot counter.

3: "Invalid" shall mean an erroneous command, an unsupported command, a command with invalid parameters, a command with a CRC error, a command (other than a *Query*) with a <u>session</u> parameter not matching that of the inventory round currently in progress, or any other command either not recognized or not executable by the Tag.

B.3 Present state: Reply

Command	Condition	Action	Next State
	slot=0; matching inventoried & SL flags	backscatter new RN16	reply
Query ^{1,2}	slot<>0; matching inventoried & SL flags	-	arbitrate
	otherwise	-	ready
QueryRep	all	-	arbitrate
$Output Adjust^2$	slot=0	backscatter new RN16	reply
QueryAujust	slot<>0	_	arbitrate
ACK	valid RN16	see Table 6.14	acknowledged
AON	invalid RN16	_	arbitrate
NAK	all	_	arbitrate
Req_RN	all	_	arbitrate
Select	all	assert or deassert SL , or set inventoried to <i>A</i> or <i>B</i>	ready
Read	all	-	arbitrate
Write	all	-	arbitrate
Kill	all	-	arbitrate
Lock	all	_	arbitrate
Access	all	-	arbitrate
BlockWrite	all	-	arbitrate
BlockErase	all	-	arbitrate
BlockPermalock	all	_	arbitrate
T ₂ timeout	See Figure 6.16 and Table 6.13	-	arbitrate
Invalid ³	all	_	reply

Table B.3 – Reply state-transition table

1: Query starts a new round and may change the session.

2: Query and QueryAdjust instruct a Tag to load a new random value into its slot counter.

3: "Invalid" shall mean an erroneous command, an unsupported command, a command with invalid parameters, a command with a CRC error, a command (other than a *Query*) with a <u>session</u> parameter not matching that of the inventory round currently in progress, or any other command either not recognized or not executable by the Tag.

B.4 Present state: Acknowledged

Command	Condition	Action	Next State	
	slot=0; matching inventoried ² & SL flags	backscatter new RN16; transition inventoried ² from $A \rightarrow B$ or $B \rightarrow A$ if and only if new <u>session</u> matches prior <u>session</u>	reply	
Query ¹	slot<>0; matching inventoried ² & SL flags	transition inventoried ² from $A \rightarrow B$ or $B \rightarrow A$ if and only if new <u>session</u> matches prior <u>session</u>	arbitrate	
	otherwise	transition inventoried from $A \rightarrow B$ or $B \rightarrow A$ if and only if new <u>session</u> matches prior <u>session</u>	ready	
QueryRep	all	transition inventoried from $A \rightarrow B$ or $B \rightarrow A$	ready	
QueryAdjust	all	transition inventoried from $A \rightarrow B$ or $B \rightarrow A$	ready	
ACK	valid RN16	see Table 6.14	acknowledged	
AUN	invalid RN16	-	arbitrate	
NAK	all	-	arbitrate	
	valid RN16 & access password<>0	backscatter handle	open	
Req_RN	valid RN16 & access password=0	backscatter handle	secured	
	invalid RN16	_	acknowledged	
Select	all	assert or deassert SL , or set inventoried to <i>A</i> or <i>B</i>	ready	
Read	all	-	arbitrate	
Write	all	_	arbitrate	
Kill	all	-	arbitrate	
Lock	all	_	arbitrate	
Access	all	-	arbitrate	
BlockWrite	all	_	arbitrate	
BlockErase	all	-	arbitrate	
Block- Permalock	all	_	arbitrate	
T ₂ timeout	See Figure 6.16 and Table 6.13	-	arbitrate	
Invalid ³	all	_	acknowledged	

Table B.4 – Acknowledged state-transition table

1: Query starts a new round and may change the session. Query also instructs a Tag to load a new random value into its slot counter.

2: As described in 6.3.2.8, a Tag transitions its **inventoried** flag prior to evaluating the condition.

3: "Invalid" shall mean an erroneous command, an unsupported command, a command with invalid parameters, a command with a CRC error, a command (other than a *Query*) with a <u>session</u> parameter not matching that of the inventory round currently in progress, or any other command either not recognized or not executable by the Tag.

B.5 Present state: Open

Command	Condition	Action	Next State
	slot=0; matching inventoried ² & SL flags	backscatter new RN16; transition inventoried ² from $A \rightarrow B$ or $B \rightarrow A$ if and only if new <u>session</u> matches prior <u>session</u>	reply
Query ¹	slot<>0; matching inventoried ² & SL flags	transition inventoried ² from $A \rightarrow B$ or $B \rightarrow A$ if and only if new <u>session</u> matches prior <u>session</u>	arbitrate
	otherwise	transition inventoried from $A \rightarrow B$ or $B \rightarrow A$ if and only if new <u>session</u> matches prior <u>session</u>	ready
QueryRep	all	transition inventoried from $A \rightarrow B$ or $B \rightarrow A$	ready
QueryAdjust	all	transition inventoried from $A \rightarrow B$ or $B \rightarrow A$	ready
ACK	valid <u>handle</u>	see Table 6.14	open
AON	invalid <u>handle</u>	-	arbitrate
NAK	all	-	arbitrate
Pog PN	valid <u>handle</u>	backscatter new RN16	open
κες_κΝ	invalid <u>handle</u>	-	open
Select	all	assert or deassert SL , or set inventoried to <i>A</i> or <i>B</i>	ready
	valid handle & valid memory access	backscatter data and handle	open
Read	valid handle & invalid memory access	backscatter error code	open
	invalid <u>handle</u>	-	open
	valid handle & valid memory access	backscatter handle when done	open
Write	valid handle & invalid memory access	backscatter error code	open
	invalid <u>handle</u>	-	open
	valid <u>handle</u> & valid nonzero kill password & <u>Recom</u> = 0	backscatter handle when done	killed
Kill ³	valid <u>handle</u> & valid nonzero kill password & <u>Recom</u> <> 0	backscatter handle when done	open
(see also	valid handle & invalid nonzero kill password	-	arbitrate
Figure 6.23)	valid handle & kill password=0	backscatter error code	open
	invalid <u>handle</u>	_	open
Lock	all	-	open
Access	valid handle & valid access password	backscatter handle	secured
(see also	valid handle & invalid access password	_	arbitrate
Figure 6.25)	invalid <u>handle</u>	-	open
	valid handle & valid memory access	backscatter handle when done	open
BlockWrite	valid handle & invalid memory access	backscatter error code	open
	invalid <u>handle</u>	-	open
	valid handle & valid memory access	backscatter handle when done	open
BlockErase	valid handle & invalid memory access	backscatter error code	open
	invalid <u>handle</u>	-	open
Block- Permalock	all	-	open
Invalid ⁴	all, excluding valid commands interspersed between successive <i>Kill</i> or <i>Access</i> commands in a kill or access sequence, respec- tively (see Figure 6.23 and Figure 6.25).	_	open
invaliu	otherwise valid commands, except <i>Req_RN</i> or <i>Query</i> , inter- spersed between successive <i>Kill</i> or <i>Access</i> commands in a kill or access sequence, respectively (see Figure 6.23 and Figure 6.25).	-	arbitrate

Table B.5 – Open state-transition table

1: Query starts a new round and may change the session. Query also instructs a Tag to load a new random value into its slot counter.

2: As described in 6.3.2.8, a Tag transitions its inventoried flag prior to evaluating the condition.

3. As described in 6.3.2.11.3.4, if a Tag does not implement recommissioning then the Tag treats nonzero Recom bits as though Recom = 0.

4: "Invalid" shall mean an erroneous command; an unsupported command; a command with invalid parameters; a command with a CRC error; a command (other than a Query) with a session parameter not matching that of the inventory round currently in progress; or any other command either not recognized or not executable by the Tag.

B.6 Present state: Secured

Command	Condition	Action	Next State
	slot=0; matching inventoried ² & SL flags	backscatter new RN16; transition inventoried ² from $A \rightarrow B$ or $B \rightarrow A$ if and only if new <u>session</u> matches prior <u>session</u>	reply
Query ¹	slot<>0; matching inventoried ² & SL flags	transition inventoried ² from $A \rightarrow B$ or $B \rightarrow A$ if and only if new <u>session</u> matches prior <u>session</u>	arbitrate
	otherwise	transition inventoried from $A \rightarrow B$ or $B \rightarrow A$ if and only if new <u>session</u> matches prior <u>session</u>	ready
QueryRep	all	transition inventoried from $A \rightarrow B$ or $B \rightarrow A$	ready
QueryAdjust	all	transition inventoried from $A \rightarrow B$ or $B \rightarrow A$	ready
ACK	valid handle	see Table 6.14	secured
	invalid <u>handle</u>	-	arbitrate
NAK	all	-	arbitrate
Pog PN	valid <u>handle</u>	backscatter new RN16	secured
Keq_KN	invalid <u>handle</u>	-	secured
Select	all	assert or deassert SL , or set inventoried to <i>A</i> or <i>B</i>	ready
	valid handle & valid memory access	backscatter data and handle	secured
Read	valid handle & invalid memory access	backscatter error code	secured
	invalid <u>handle</u>	-	secured
	valid handle & valid memory access	backscatter handle when done	secured
Write	valid handle & invalid memory access	backscatter error code	secured
	invalid <u>handle</u>	-	secured
	valid <u>handle</u> & valid nonzero kill password & <u>Recom</u> = 0	backscatter handle when done	killed
Kill ³	valid <u>handle</u> & valid nonzero kill password & <u>Recom</u> <> 0	backscatter handle when done	secured
(see also	valid handle & invalid nonzero kill password	-	arbitrate
Figure 6.23)	valid handle & kill password=0	backscatter error code	secured
	invalid <u>handle</u>	-	secured
	valid handle & valid lock payload	backscatter handle when done	secured
Lock	valid handle & invalid lock payload	backscatter error code	secured
	invalid <u>handle</u>	-	secured
Access	valid handle & valid access password	backscatter <u>handle</u>	secured
(see also	valid handle & invalid access password	_	arbitrate
Figure 6.25)	invalid <u>handle</u>	-	secured
	valid handle & valid memory access	backscatter handle when done	secured
BlockWrite	valid handle & invalid memory access	backscatter error code	secured
	invalid <u>handle</u>	-	secured
	valid handle & valid memory access	backscatter handle when done	secured
BlockErase	valid handle & invalid memory access	backscatter error code	secured
	invalid <u>handle</u>	-	secured
	valid <u>handle</u> , valid payload, & <u>Read/Lock</u> = 0	backscatter permalock bits and handle	secured
	valid <u>handle</u> , invalid payload, & <u>Read/Lock</u> = 0	backscatter error code	secured
Block- Permalock	valid <u>handle</u> , valid payload, & <u>Read/Lock</u> = 1	backscatter handle when done	secured
	valid <u>handle</u> , invalid payload, & <u>Read/Lock</u> = 1	backscatter error code	secured
	invalid <u>handle</u>	_	secured
Invalid ⁴	all, excluding valid commands interspersed between successive <i>Kill</i> or <i>Access</i> commands in a kill or access sequence, respectively (see Figure 6.23 and Figure 6.25).	-	secured
mvaliu	otherwise valid commands, except Req_RN or Query, interspersed between successive Kill or Access commands in a kill or access se- quence, respectively (see Figure 6.23 and Figure 6.25).	_	arbitrate

Table B.6 – Secured state-transition table

1: Query starts a new round and may change the session. Query also instructs a Tag to load a new random value into its slot counter.

2: As described in 6.3.2.8, a Tag transitions its **inventoried** flag prior to evaluating the condition.

3. As described in 6.3.2.11.3.4, if a Tag does not implement recommissioning then the Tag treats nonzero Recom bits as though Recom = 0.

"Invalid" shall mean an erroreous command; an unsupported command; a command with invalid parameters; a command with a CRC error; a command (other than a *Query*) with a <u>session</u> parameter not matching that of the inventory round currently in progress; or any other command either not recognized or not executable by the Tag.

B.7 Present state: Killed

Command	Condition	Action	Next State
Quant			killed
Query	all	-	Killeu
QueryRep	all	-	killed
QueryAdjust	all	-	killed
ACK	all	-	killed
NAK	all	-	killed
Req_RN	all	-	killed
Select	all	-	killed
Read	all	-	killed
Write	all	-	killed
Kill	all	-	killed
Lock	all	-	killed
Access	all	-	killed
BlockWrite	all	-	killed
BlockErase	all	-	killed
BlockPermalock	all	_	killed
Invalid ¹	all	_	killed

Table B.7 – Killed state-transition table

1: "Invalid" shall mean an erroneous command, an unsupported command, a command with invalid parameters, a command with a CRC error, or any other command either not recognized or not executable by the Tag.

Annex C (normative) Command-Response Tables

Command-response tables C.1 to C.18 shall define a Tag's response to Interrogator commands. The term "<u>han-dle</u>" used in the state-transition tables is defined in 6.3.2.4.5; error codes are defined in Table I.2; "slot" is the slotcounter output shown in Figure 6.19 and detailed in <u>Annex J</u>; "-" in the "Response" column means that a Tag neither modifies its **SL** or **inventoried** flags nor backscatters a reply.

C.1 Command response: Power-up

Table C.1 – Power-up	command-respo	nse table
----------------------	---------------	-----------

Starting State	Condition	Response	Next State
ready, arbitrate, reply, acknowledged, open, secured	power-up	-	ready
killed	all	-	killed

C.2 Command response: Query

Table C.2 – Query ¹	¹ command-response table
--------------------------------	-------------------------------------

Starting State	Condition	Response	Next State
	slot=0; matching in- ventoried & SL flags	backscatter new RN16	reply
ready, arbitrate, reply	slot<>0; matching in- ventoried & SL flags	_	arbitrate
	otherwise	-	ready
acknowledged, open, secured	slot=0; matching in- ventoried ² & SL flags	backscatter new RN16; transition inventoried ² from $A \rightarrow B$ or $B \rightarrow A$ if and only if new <u>session</u> matches prior <u>session</u>	reply
	slot<>0; matching in- ventoried ² & SL flags	transition inventoried ² from $A \rightarrow B$ or $B \rightarrow A$ if and only if new <u>session</u> matches prior <u>session</u>	arbitrate
	otherwise	transition inventoried from $A \rightarrow B$ or $B \rightarrow A$ if and only if new <u>session</u> matches prior <u>session</u>	ready
killed	all	_	killed

1: Query (in any state other than killed) starts a new round and may change the session; Query also instructs a Tag to load a new random value into its slot counter.

2: As described in 6.3.2.8, a Tag transitions its **inventoried** flag prior to evaluating the condition.

C.3 Command response: QueryRep

Starting State	Condition	Response	Next State
ready	all	-	ready
arbitrate	slot<>0 after decrementing slot counter	-	arbitrate
arbitrate	slot=0 after decrementing slot counter	backscatter new RN16	reply
reply	all	-	arbitrate
acknowledged, open, secured	all	transition inventoried from $A \rightarrow B$ or $B \rightarrow A$	ready
killed	all	_	killed

Table C.3 – <i>QueryRep</i> command-response table
--

1: See Table C.18 for the Tag response to a QueryRep whose session parameter does not match that of the current inventory round.

C.4 Command response: QueryAdjust

able C.4 – <i>QueryAdjust</i>	¹ command-response table ²
-------------------------------	--

Starting State	Condition	Response	
ready	all –		ready
arbitrate,	slot<>0	-	arbitrate
reply	slot=0	backscatter new RN16	reply
acknowledged, open, secured	all	transition inventoried from $A \rightarrow B$ or $B \rightarrow A$	ready
killed	all	-	killed

1: QueryAdjust, in the arbitrate or reply states, instructs a Tag to load a new random value into its slot counter.

2: See Table C.18 for the Tag response to a QueryAdjust whose session parameter does not match that of the current inventory round.

C.5 Command response: ACK

Starting State	Condition	Response	Next State
ready	all	_	ready
arbitrate	all	-	arbitrate
reniv	valid RN16	see Table 6.14	acknowledged
reply	invalid RN16	_	arbitrate
acknowledged	valid RN16	see Table 6.14	acknowledged
	invalid RN16	_	arbitrate
open	valid <u>handle</u>	see Table 6.14	open
open	invalid <u>handle</u>	-	arbitrate
secured	valid <u>handle</u>	see Table 6.14	secured
	invalid <u>handle</u>	-	arbitrate
killed	all	_	killed

Table C.5 – ACK command-response table

C.6 Command response: NAK

 Table C.6 – NAK command-response table

Starting State	Condition	Response	Next State
ready	all	-	ready
arbitrate, reply, acknowledged, open, secured	all	-	arbitrate
killed	all	-	killed

C.7 Command response: Req_RN

Table C.7 – *Req_RN* command-response table

Starting State	Condition	Response	Next State
ready	all	_	ready
arbitrate, reply	all	-	arbitrate
	valid RN16 & access password<>0	backscatter <u>handle</u>	open
acknowledged	valid RN16 & access password=0	backscatter handle	secured
	invalid RN16		acknowledged
open	valid <u>handle</u>	backscatter new RN16	open
open	invalid <u>handle</u>	_	open
secured	valid <u>handle</u>	backscatter new RN16	secured
secured	invalid <u>handle</u>	_	secured
killed	all	-	killed

C.8 Command response: Select

Starting State	Condition	Response	Next State
ready, arbitrate, reply, ac- knowledged, open, secured	all	assert or deassert SL, or set inventoried to A or B	ready
killed	all	_	killed

Table C.9 – *Select* command-response table

C.9 Command response: Read

Starting State	Condition	Response	Next State
ready	all	-	ready
arbitrate, reply, acknowledged	all	-	arbitrate
	valid handle & invalid memory access	backscatter error code	open
open	valid handle & valid memory access	backscatter data and handle	open
	invalid <u>handle</u>	_	open
	valid handle & invalid memory access	backscatter error code	secured
secured	valid handle & valid memory access	backscatter data and handle	secured
	invalid <u>handle</u>	-	secured
killed	all	_	killed

C.10 Command response: *Write*

Table C.10 – Write command-response table

Starting State	Condition	Response	Next State
ready	all	-	ready
arbitrate, reply, acknowledged	all	-	arbitrate
	valid handle & invalid memory access	backscatter error code	open
open	valid handle & valid memory access	backscatter handle when done	open
	invalid <u>handle</u>	_	open
	valid handle & invalid memory access	backscatter error code	secured
secured	valid handle & valid memory access	backscatter handle when done	secured
	invalid <u>handle</u>	-	secured
killed	all	_	killed

C.11 Command response: Kill

Starting State	Condition	Response	Next State
ready	all	-	ready
arbitrate, reply, acknowledged	all	_	arbitrate
	valid handle & kill password=0	backscatter error code	open
	valid handle & invalid nonzero kill password	-	arbitrate
open ²	valid handle & valid nonzero kill password & Recom=0	backscatter handle when done	killed
	valid handle & valid nonzero kill password & Recom<>0	backscatter handle when done	open
	invalid <u>handle</u>	-	open
	valid handle & kill password=0	backscatter error code	secured
secured ²	valid handle & invalid nonzero kill password	_	arbitrate
	valid handle & valid nonzero kill password & Recom=0	backscatter handle when done	killed
	valid handle & valid nonzero kill password & Recom<>0	backscatter handle when done	secured
	invalid <u>handle</u>	-	secured
killed	all	-	killed

Table C.11 – Kill	¹ command-response	table
-------------------	-------------------------------	-------

1: See also Figure 6.23.

2: As described in 6.3.2.11.3.4, if a Tag does not implement recommissioning then the Tag treats nonzero Recom bits as though Recom=0.

C.12 Command response: *Lock*

Table C.12 – <i>Lock</i> command-response	se table
---	----------

Starting State	Condition	Response	Next State
ready	all	-	ready
arbitrate, reply, acknowledged	all	-	arbitrate
open	all	-	open
	valid handle & invalid lock payload	backscatter error code	secured
secured valid <u>handle</u> & valid lock payload		backscatter <u>handle</u> when done	secured
	invalid <u>handle</u>	_	secured
killed	all	_	killed

C.13 Command response: Access

Starting State	Condition	Response	Next State
ready	all	-	ready
arbitrate, reply, acknowledged	all	-	arbitrate
	valid handle & invalid access password	_	arbitrate
open	valid handle & valid access password	backscatter handle	secured
	invalid <u>handle</u>	-	open
	valid handle & invalid access password	-	arbitrate
secured	valid handle & valid access password	backscatter handle	secured
	invalid <u>handle</u>	-	secured
killed	all	-	killed

Table C.13 – *Access*¹ command-response table

1: See also Figure 6.25.

C.14 Command response: *BlockWrite*

Table C.14 –	BlockWrite command-response table

Starting State	Condition	Response	Next State
ready	all	-	ready
arbitrate, reply, acknowledged	all	-	arbitrate
	valid handle & invalid memory access	backscatter error code	open
open	valid <u>handle</u> & valid memory access backscatter <u>handle</u> when done		open
	invalid <u>handle</u>	_	open
	valid handle & invalid memory access	backscatter error code	secured
secured	valid <u>handle</u> & valid memory access backscatter <u>handle</u> when done		secured
	invalid <u>handle</u>	-	secured
killed	all	_	killed

C.15 Command response: *BlockErase*

Starting State	Condition	Response	Next State
ready	all	-	ready
arbitrate, reply, acknowledged	all	-	arbitrate
	valid handle & invalid memory access	backscatter error code	open
open	valid <u>handle</u> & valid memory access backscatter <u>handle</u> when done		open
	invalid <u>handle</u>	-	open
	valid handle & invalid memory access	backscatter error code	secured
secured	valid handle & valid memory access	hory access backscatter handle when done	
	invalid <u>handle</u>	-	secured
killed	all	-	killed

Table C.15 – *BlockErase* command-response table

C.16 Command response: *BlockPermalock*

Table	C.16 –	BlockPermai	<i>lock</i> comman	d-response	table
-------	--------	-------------	--------------------	------------	-------

Starting State	Condition	Response	Next State
ready	all	-	ready
arbitrate, reply, acknowledged	all	-	arbitrate
open	all	-	open
	valid <u>handle</u> , valid payload, & <u>Read/Lock</u> = 0	backscatter permalock bits and handle	secured
	valid <u>handle</u> , invalid payload, & <u>Read/Lock</u> = 0	backscatter error code	secured
secured	valid <u>handle</u> , valid payload, & <u>Read/Lock</u> = 1	backscatter handle when done	secured
	valid <u>handle</u> , invalid payload, & <u>Read/Lock</u> = 1	backscatter error code	secured
	invalid <u>handle</u>	-	secured
killed	all	_	killed

C.17 Command response: T₂ timeout

Table C.17 -	- T ₂ timeout	command-res	ponse table
--------------	--------------------------	-------------	-------------

Starting State	Condition	Response	Next State
ready	all	-	ready
arbitrate	all	-	arbitrate
reply, acknowledged	See Figure 6.16 and Table 6.13	_	arbitrate
open	all	-	open
secured	all	_	secured
killed	all	-	killed

C.18 Command response: Invalid command

Starting State	Condition	Response	Next State
ready ¹	all	_	ready
arbitrate ²	all	-	arbitrate
reply ²	all	-	reply
acknowledged ²	all	-	acknowledged
open ²	all, excluding valid commands interspersed between successive <i>Kill</i> or <i>Access</i> commands in a kill or access sequence, respec- tively (see Figure 6.23 and Figure 6.25).	-	open
	otherwise valid commands, except <i>Req_RN</i> or <i>Query</i> , inter- spersed between successive <i>Kill</i> or <i>Access</i> commands in a kill or access sequence, respectively (see Figure 6.23 and Figure 6.25).	-	arbitrate
socured ²	all, excluding valid commands interspersed between successive <i>Kill</i> or <i>Access</i> commands in a kill or access sequence, respec- tively (see Figure 6.23 and Figure 6.25).	-	secured
Secureu	otherwise valid commands, except <i>Req_RN</i> or <i>Query</i> , inter- spersed between successive <i>Kill</i> or <i>Access</i> commands in a kill or access sequence, respectively (see Figure 6.23 and Figure 6.25).	-	arbitrate
killed ¹	all	_	killed

Table C.18 – Invalid command-response table

1: "Invalid" shall mean an erroneous command, an unsupported command, a command with invalid parameters, a command with a CRC error, or any other command either not recognized or not executable by the Tag.

2: "Invalid" shall mean an erroneous command; an unsupported command; a command with invalid parameters; a command with a CRC error; a command (other than a *Query*) with a <u>session</u> parameter not matching that of the inventory round currently in progress; or any other command either not recognized or not executable by the Tag.

Annex D (informative) Example slot-count (*Q*) selection algorithm

D.1 Example algorithm an Interrogator might use to choose Q

Figure D.1 shows an algorithm an Interrogator might use for setting the slot-count parameter Q in a *Query* command. Q_{fp} is a floating-point representation of Q; an Interrogator rounds Q_{fp} to an integer value and substitutes this integer value for Q in the *Query*. Typical values for C are 0.1 < C < 0.5. An Interrogator typically uses small values of C when Q is large, and larger values of C when Q is small.



Figure D.1 – Example algorithm for choosing the slot-count parameter *Q*

Annex E (informative) Example of Tag inventory and access

E.1 Example inventory and access of a single Tag

Figure E.1 shows the steps by which an Interrogator inventories and accesses a single Tag.



Figure E.1 – Example of Tag inventory and access

Annex F (informative) Calculation of 5-bit and 16-bit cyclic redundancy checks

F.1 Example CRC-5 encoder/decoder

An exemplary schematic diagram for a CRC-5 encoder/decoder is shown in Figure F.1, using the polynomial and preset defined in Table 6.12.

To calculate a CRC-5, first preload the entire CRC register (i.e. Q[4:0], Q4 being the MSB and Q0 the LSB) with the value 01001₂ (see Table F.1), then clock the data bits to be encoded into the input labeled DATA, MSB first. After clocking in all the data bits, Q[4:0] holds the CRC-5 value.

To check a CRC-5, first preload the entire CRC register (Q[4:0]) with the value 01001_2 , then clock the received data and CRC-5 {data, CRC-5} bits into the input labeled DATA, MSB first. The CRC-5 check passes if the value in Q[4:0] = 00000_2 .



Figure F.1 – Example CRC-5 circuit

Register	Preload value
Q0	1
Q1	0

Table F.1 – CRC-5 register preload values

0

1

0

F.2 Example CRC-16 encoder/decoder

Q2

Q3

Q4

An exemplary schematic diagram for a CRC-16 encoder/decoder is shown in Figure F.2, using the polynomial and preset defined in Table 6.11 (the polynomial used to calculate the CRC-16, $x^{16} + x^{12} + x^5 + 1$, is the CRC-CCITT International Standard, ITU Recommendation X.25).

To calculate a CRC-16, first preload the entire CRC register (i.e. Q[15:0], Q15 being the MSB and Q0 the LSB) with the value FFFF_h. Second, clock the data bits to be encoded into the input labeled DATA, MSB first. After clocking in all the data bits, Q[15:0] holds the ones-complement of the CRC-16. Third, invert all the bits of Q[15:0] to produce the CRC-16.

There are two methods to check a CRC-16:

Method 1: First preload the entire CRC register (Q[15:0]) with the value $FFFF_h$, then clock the received data and CRC-16 {data, CRC-16} bits into the input labeled DATA, MSB first. The CRC-16 check passes if the value in Q[15:0]=1D0F_h.

Method 2: First preload the entire CRC register (Q[15:0]) with the value $FFFF_h$. Second, clock the received data bits into the input labeled DATA, MSB first. Third, invert all bits of the received CRC-16, and clock the inverted CRC-16 bits into the input labeled DATA, MSB first. The CRC-16 check passes if the value in Q[15:0]=0000_h.



Figure F.2 – Example CRC-16 circuit

F.3 Example CRC-16 calculations

This example shows the StoredCRC (a CRC-16) that a Tag would calculate at power-up.

As shown in Figure 6.17, EPC memory contains a StoredCRC starting at address 00_h , a StoredPC starting at address 10_h , zero or more EPC words starting at address 20_h , an optional XPC_W1 starting at address 210_h , and an optional XPC_W2 starting at address 220_h . As described in 6.3.2.1.2.1, a Tag calculates its StoredCRC over its StoredPC and EPC, but omits the XPC_W1 and XPC_W2 from the calculation. Table F.2 shows the StoredCRC that a Tag would calculate and logically map into EPC memory at power-up, for the indicated example StoredPC and EPC word values. In each successive column, one more word of EPC memory is written, with the entire EPC memory written in the rightmost column. The indicated StoredPC values correspond to the number of EPC words written, with StoredPC bits 15_h -1F_h set to zero. Entries marked N/A mean that that word of EPC memory is not included as part of the CRC calculation.

EPC word starting address	EPC word contents	EPC word values						
00 _h	StoredCRC	E2F0 _h	CCAE _h	968F _h	78F6 _h	C241 _h	2A91 _h	1835 _h
10 _h	StoredPC	0000 _h	0800 _h	1000 _h	1800 _h	2000 _h	2800 _h	3000 _h
20 _h	EPC word 1	N/A	1111 _h	1111	1111 _h	1111 _h	1111 _h	1111 _h
30 _h	EPC word 2	N/A	N/A	2222 _h				
20 _h	EPC word 3	N/A	N/A	N/A	3333 _h	3333 _h	3333 _h	3333 _h
40 _h	EPC word 4	N/A	N/A	N/A	N/A	4444 _h	4444 _h	4444 _h
50 _h	EPC word 5	N/A	N/A	N/A	N/A	N/A	5555 _h	5555 _h
60 _h	EPC word 6	N/A	N/A	N/A	N/A	N/A	N/A	6666 _h

Table F.2 – EPC memory contents for an example Tag

Annex G

(Normative)

Multiple- and dense-Interrogator channelized signaling

This Annex describes channelized signaling in the optional multiple- and dense-Interrogator operating modes. It provides methods that Interrogators may use, as permitted by local authorities, to maximize the spectral efficiency and performance of RFID systems while minimizing the interference to non-RFID systems.

Because regulatory requirements vary worldwide, and even within a given regulatory region are prone to ongoing reinterpretation and revision, this Annex does not specify multiple- or dense-Interrogator operating requirements for any given regulatory region. Instead, this Annex merely outlines the goals of channelized signaling, and defers specification of the Interrogator operating requirements for each individual regulatory region to the channel plans located at www.epglobalinc.org/regulatorychannelplans.

When an Interrogator in a multiple- or dense-Interrogator environment instructs Tags to use subcarrier backscatter, the Interrogator shall adopt the channel plan found at the above-referenced link for the regulatory region in which it is operating. When an Interrogator in a multiple- and dense-Interrogator environment instructs Tags to use FM0 backscatter, the Interrogator shall adopt a channel plan in accordance with local regulations.

Regardless of the regulatory region and the choice of Tag backscatter data encoding,

- Interrogator signaling (both modulated and CW) shall be centered in a channel with the frequency accuracy specified in 6.3.1.2.1, unless local regulations specify tighter frequency accuracy, in which case the Interrogator shall meet the local regulations, and
- Interrogator transmissions shall satisfy the multiple- or dense-Interrogator transmit mask in 6.3.1.2.11 (as appropriate), unless local regulations specify a tighter mask, in which case the Interrogator shall meet the local regulations.

If an Interrogator uses SSB-ASK modulation, the transmit spectrum shall be centered in the channel during R=>T signaling, and the CW shall be centered in the channel during Tag backscatter.

G.1 Overview of dense-interrogator channelized signaling (informative)

In environments containing two or more Interrogators, the range and rate at which Interrogators singulate Tags can be improved by preventing Interrogator transmissions from colliding spectrally with Tag responses. This section describes three frequency-division multiplexing (FDM) methods that minimize such Interrogator-on-Tag collisions. In each of these methods, Interrogator transmissions and Tag responses are separated spectrally.

- 1. *Channel-boundary backscatter*: Interrogator transmissions are constrained to occupy only a small portion of the center of each channel, and Tag backscatter is situated at the channel boundaries.
- 2. *Alternative-channel backscatter*. Interrogator transmissions are located in a subset of the channels, and Tag backscatter is located in a different subset of the channels.
- 3. *In-channel backscatter*. Interrogator transmissions are constrained to occupy only a small portion of the center of each channel, and Tag backscatter is situated near but within the channel boundaries.

Figure G.1, shows examples of these FDM dense-Interrogator methods. For optimum performance, the operating requirements located at <u>www.epglobalinc.org/regulatorychannelplans</u> suggest (but do not require) choosing values for BLF and M that allow a guardband between Interrogator signaling and Tag responses.

Example 1: Channel-boundary backscatter

FCC 15.247, dated October 2000, authorizes frequency-hopping operation in the ISM band from 902–928 MHz with 500 kHz maximum channel width, and does not prohibit channel-boundary backscatter. In such an environment Interrogators will use 500 kHz channels with channel-boundary backscatter. Example 1 of Figure G.1 shows Interrogator transmissions using PR-ASK modulation with Tari = 25 μ s, and 62.5 kbps Tag data backscatter on a 250 kHz subcarrier (BLF = 250 kHz; M = 4). Interrogators center their R=>T signaling in the channels, with transmissions unsynchronized in time, hopping among channels.

Example 2: Alternative-channel backscatter

ETSI Technical Group 34 has proposed an amendment to ERC REC 70-03E Annex 11 allocating four highpower 200 kHz channels, each spaced 600 kHz apart, in the 865–868 MHz frequency range. This amendment allows adjacent-channel Tag backscatter. In such an environment Interrogators will use alternative-channel backscatter. Example 2 of Figure G.1 shows Interrogator transmissions using SSB-ASK modulation with Tari = $25 \mu s$, and 75 kbps Tag data backscatter on a 300 kHz subcarrier (BLF = 300 kHz, M = 4).

Example 3: FDM in-channel backscatter

A hypothetical regulatory region allocates four 500 kHz channels and disallows adjacent-channel and channelboundary backscatter. In such an environment Interrogators will use in-channel backscatter. Example 3 of Figure G.1 shows Interrogator transmissions using PR-ASK modulation with Tari = 25 μ s, and 25 kbps Tag data backscatter on a 200 kHz subcarrier (BLF = 200 kHz, M = 8).



Annex H (informative) Interrogator-to-Tag link modulation

H.1 Baseband waveforms, modulated RF, and detected waveforms

Figure H.1 shows R=>T baseband and modulated waveforms as generated by an Interrogator, and the corresponding waveforms envelope-detected by a Tag, for DSB- or SSB-ASK modulation, and for PR-ASK modulation.



Figure H.1 – Interrogator-to-Tag modulation

Annex I (Normative) Error codes

I.1 Tag error codes and their usage

If a Tag encounters an error when executing an access command that reads from or writes to memory, and if the command is a <u>handle</u>-based command (i.e. *Read*, *Write*, *Kill*, *Lock*, *BlockWrite*, *BlockErase*, or *BlockPermalock*), then the Tag shall backscatter an error code as shown in Table I.1 instead of its normal reply.

- If the Tag supports error-specific codes, it shall use the error-specific codes shown in Table I.2.
- If the Tag does not support error-specific codes, it shall backscatter error code 00001111₂ (indicating a non-specific error) as shown in Table I.2.
- Tags shall backscatter error codes only from the **open** or **secured** states.
- A Tag shall not backscatter an error code if it receives an invalid access command; instead, it shall ignore the command.
- If an error is described by more than one error code, the more specific error code shall take precedence and shall be the code that the Tag backscatters.
- The header for an error code is a 1-bit, unlike the header for a normal Tag response, which is a 0-bit.

	Header	Error Code	RN	CRC-16
# of bits	1	8	16	16
description	1	Error code	handle	

Table I.1 – Tag-error reply format

Error-Code Support	Error Code	Error-Code Name	Error Description	
Error-specific	0000000 ₂	Other error	Catch-all for errors not covered by other codes	
	00000011 ₂	Memory overrun	The specified memory location does not exist or the EPC length field is not supported by the Tag	
	00000100 ₂	Memory locked	The specified memory location is locked and/or per- malocked and is either not writeable or not readable.	
	00001011 ₂	Insufficient power	The Tag has insufficient power to perform the mem- ory-write operation	
Non-specific	00001111 ₂	Non-specific error	The Tag does not support error-specific codes	

Table I.2 – Tag error codes

Annex J (normative) Slot counter

J.1 Slot-counter operation

As described in 6.3.2.4.8, Tags implement a 15-bit slot counter. As described in 6.3.2.8, Interrogators use the slot counter to regulate the probability of a Tag responding to a *Query*, *QueryAdjust*, or *QueryRep* command. Upon receiving a *Query* or *QueryAdjust* a Tag preloads a *Q*-bit value, drawn from the Tag's RNG (see 6.3.2.5), into its slot counter. *Q* is an integer in the range (0, 15). A *Query* specifies *Q*; a *QueryAdjust* may modify *Q* from the prior *Query*.

A Tag in the **arbitrate** state shall decrement its slot counter every time it receives a QueryRep command, transitioning to the **reply** state and backscattering an RN16 when its slot-counter value reaches 0000_h . A Tag whose slot-counter value reached 0000_h , who replied, and who was not acknowledged (including a Tag that responded to the original Query and was not acknowledged) returns to **arbitrate** with a slot-counter value of 0000_h .

A Tag that returns to **arbitrate** with a slot-counter value of 0000_h shall decrement its slot-counter from 0000_h to 7FFF_h (i.e. the slot counter rolls over) at the next *QueryRep* with matching <u>session</u>. Because the slot-counter value is now nonzero, the Tag remains in **arbitrate**. Slot counters implements continuous counting, meaning that, after a slot counter rolls over it begins counting down again from 7FFF_h, effectively preventing subsequent Tag replies until the Tag receives either a *Query* or a *QueryAdjust* and loads a new random value into its slot counter.

<u>Annex B</u> and <u>Annex C</u> contain tables describing a Tag's response to Interrogator commands; "slot" is a parameter in these tables.



<u>Notes</u>

1. The slot counter may assume an arbitrary value at Tag power-up 2. If slot value = 0000_h , then decrementing the slot value causes it to roll over to $7FFF_h$

Figure J.1 – Slot-counter state diagram

Annex K (informative) Example data-flow exchange

K.1 Overview of the data-flow exchange

The following example describes a data exchange, between an Interrogator and a single Tag, during which the Interrogator reads the kill password stored in the Tag's Reserved memory. This example assumes that:

- The Tag has been singulated and is in the **acknowledged** state.
- The Tag's Reserved memory is locked but not permalocked, meaning that the Interrogator must issue the access password and transition the Tag to the **secured** state before performing the read operation.
- The random numbers the Tag generates (listed in sequence, and not random for reasons of clarity) are:
 - RN16_0 1600_h (the RN16 the Tag backscattered prior to entering **acknowledged**)
 - 1601_h (will become the <u>handle</u> for the entire access sequence)
 - o RN16_2 1602_h

o RN16 1

- o RN16_3 1603_h
- The Tag's EPC is 64 bits in length.
- The Tag's access password is ACCEC0DE_h.
- The Tag's kill password is DEADC0DE_h.
- The 1st half of the access password EXORed with RN16_2 = ACCE_h \otimes 1602_h = BACC_h.
- The 2nd half of the access password EXORed with RN16_3 = C0DE_h ⊗ 1603_h = D6DD_h.

K.2 Tag memory contents and lock-field values

Table K.1 and Table K.2 show the example Tag memory contents and lock-field values, respectively.

Memory Bank	Memory Contents	Memory Addresses	Memory Values
חוד	TID[15:0]	10 _h -1F _h	54E2 _h
TID .	TID[31:16]	00 _h –0F _h	A986 _h
	EPC[15:0]	50 _h –5F _h	3210 _h
	EPC[31:16]	40 _h -4F _h	7654 _h
FPC	EPC[47:32]	30 _h –3F _h	BA98 _h
LIO	EPC[63:48]	20 _h –2F _h	FEDC _h
	StoredPC[15:0]	10 _h –1F _h	2000 _h
	StoredCRC[15:0]	00 _h –0F _h	as calculated (see <u>Annex F</u>)
	access password[15:0]	30 _h –3F _h	C0DE _h
Reserved	access password[31:16]	20_{h} – $2F_{h}$	ACCEh
	kill password[15:0]	10 _h -1F _h	CODEh
	kill password[31:16]	00 _h –0F _h	DEAD _h

Table K.1 – Tag memory contents

Table K.2 – Lock-field values

Kill Pa	ssword	Access F	Password	EPC N	lemory	TID M	emory	User M	1emory
1	0	1	0	0	0	0	0	N/A	N/A

K.3 Data-flow exchange and command sequence

The data-flow exchange follows the *Access* procedure outlined in Figure 6.25 with a *Read* command added at the end. The sequence of Interrogator commands and Tag replies is:

- Step 1: *Req_RN*[RN16_0, CRC-16] Tag backscatters RN16_1, which becomes the <u>handle</u> for the entire access sequence
- Step 2: *Req_RN*[handle, CRC-16] Tag backscatters RN16_2
- Step 3: Access[access password[31:16] EXORed with RN16_2, <u>handle</u>, CRC-16] Tag backscatters <u>handle</u>
- Step 4: *Req_RN*[handle, CRC-16] Tag backscatters RN16 3
- Step 5: Access[access password[15:0] EXORed with RN16_3, <u>handle</u>, CRC-16] Tag backscatters <u>handle</u>
- Step 6: *Read*[<u>MemBank</u>=Reserved, <u>WordPtr</u>=00_h, <u>WordCount</u>=2, <u>handle</u>, CRC-16] Tag backscatters kill password

Table K.3 shows the detailed Interrogator commands and Tag replies. For reasons of clarity, the CRC-16 has been omitted from all commands and replies.

Step	Data Flow	Command	Parameter and	/or Data	Tag State
1a: Req_RN command	R => T	11000001	0001 0110 0000 0000	(RN16_0=1600 _h)	
1b: Tag response	T => R		0001 0110 0000 0001	(<u>handle</u> =1601 _h)	acknowledged -> open
2a: Req_RN command	R => T	11000001	0001 0110 0000 0001	(<u>handle</u> =1601 _h)	
2b: Tag response	T => R		0001 0110 0000 0010	(RN16_2=1602 _h)	
3a: Access command	R => T	11000110	1011 1010 1100 1100 0001 0110 0000 0001	(BACC _h) (<u>handle</u> =1601 _h)	open → open
3b: Tag response	T => R		0001 0110 0000 0001	(handle=1601 _h)	
4a: Req_RN command	R => T	11000001	0001 0110 0000 0001	(<u>handle</u> =1601 _h)	
4b: Tag response	T => R		0001 0110 0000 0011	(RN16_2=1603 _h)	
5a: Access command	R => T	11000110	1101 0110 1101 1101 0001 0110 0000 0001	$(D6DD_h)$ $(handle=1601_h)$	open \rightarrow secured
5b: Tag response	T => R		0001 0110 0000 0001	(<u>handle</u> =1601 _h)	
6a: <i>Read</i> command	R => T	11000010	00 (<u>MemBar</u> 00000000 (<u>WordPtr</u> 00000010 0001 0110 0000 0001	nk=Reserved) r=kill password) (<u>WordCount</u> =2) (<u>handle</u> =1601 _h)	secured \rightarrow secured
6b: Tag response	T => R		0 1101 1110 1010 1101 1100 0000 1101 1110	(header) (DEAD _h) (C0DE _h)	

Table K.3 – Interrogator commands and Tag replies

Annex L (informative) Optional Tag Features

The following options are available to Tags certified to this protocol.

L.1 Optional Tag passwords

Kill password: A Tag may optionally implement a kill password. A Tag that does not implement a kill password operates as if it has a zero-valued kill password that is permanently read/write locked. See 6.3.2.1.1.1.

Access password: A Tag may optionally implement an access password. A Tag that does not implement an access password operates as if it has a zero-valued access password that is permanently read/write locked. See 6.3.2.1.1.2.

L.2 Optional Tag memory banks and memory-bank sizes

Reserved memory: Reserved memory is optional. If a Tag does not implement either a kill password or an access password then the Tag need not physically implement Reserved memory. Because a Tag with non-implemented passwords operates as if it has zero-valued password(s) that are permanently read/write locked, these passwords must still be logically addressable in Reserved memory at the memory locations specified in 6.3.2.1.1.1 and 6.3.2.1.1.2.

EPC memory: EPC memory is required, but its size is vendor-defined. The minimum size is 32 bits, to contain a 16-bit StoredCRC and a 16-bit StoredPC. EPC memory may be larger than 32 bits, to contain an EPC whose vendor-specified length may be 16 to 496 bits (if a Tag does not support XPC functionality) or to 464 bits (if a Tag supports XPC functionality) in 16-bit increments, as well as an optional XPC word or words. See 6.3.2.1.2.

TID memory: TID memory is required, but its size is vendor-defined. The minimum-size TID memory contains an 8-bit ISO/IEC 15963 allocation class identifier, as well as sufficient identifying information for an Interrogator to uniquely identify the custom commands and/or optional features that a Tag supports. TID memory may optionally contain vendor-specific data. See 6.3.2.1.3.

User memory: User memory is optional. See 6.3.2.1.4, 6.3.2.1.4.1, and 6.3.2.1.4.2.

L.3 Optional Tag commands

Proprietary: A Tag may support proprietary commands. See 2.3.3.

Custom: A Tag may support custom commands. See 2.3.4.

Access: A Tag may support the Access command. See 6.3.2.11.3.6.

BlockWrite: A Tag may support the BlockWrite command. See 6.3.2.11.3.7.

BlockErase: A Tag may support the BlockErase command. See 6.3.2.11.3.8.

BlockPermalock: A Tag may support the BlockPermalock command. See 6.3.2.11.3.9.

L.4 Optional Tag error-code reporting format

A Tag may support error-specific or non-error-specific error-code reporting. See <u>Annex I</u>.

L.5 Optional Tag backscatter modulation format

A Tag may support ASK and/or PSK backscatter modulation. See 6.3.1.3.1.

L.6 Optional Tag functionality

A Tag may implement the UMI by one of two methods. See 6.3.2.1.2.2.

A Tag may implement an XPC_W1, XPC_W2, XI, and XEB. See 6.3.2.1.2.2 and 6.3.2.1.2.5.

A Tag may implement recommissioning. See 6.3.2.1.2.5, 6.3.2.10, and 6.3.2.11.3.4.

Annex M (informative) Revision History

Table M.1 – Revision history

Date & Version Number	Section(s)	Change	Approved by
Sept 8, 2004 Version 1.0.4	All	Modified Chicago protocol V1.0.3 as per August 17, 2004 "combo" CRC change template.	
Sept 14, 2004 Version 1.0.5	All	Modified Gen2 protocol V1.0.4 as per September 10, 2004 CRC review.	
Sept 17, 2004 Version 1.0.6	All	Modified Gen2 protocol V1.0.5 as per September 17, 2004 HAG review.	
Sept 24, 2004 Version 1.0.7	All	Modified Gen2 protocol V1.0.6 as per September 21, 2004 CRC review to fix errata. Changed OID to EPC.	
Dec 11, 2004 Version 1.0.8	Multiple	Modified Gen2 protocol V1.0.7 as per the V1.0.7 errata.	
Jan 26, 2005 Version 1.0.9	Multiple	Modified Gen2 protocol V1.0.8 as per the V1.0.8 errata and AFI enhancement requests.	
Dec 1, 2005 Version 1.1.0	Multiple	Harmonized Gen2 protocol V1.0.9 with the ISO 18000-6 Type C amendment.	
May 11, 2008 Version 1.2.0	Multiple	Modified Gen2 protocol V1.1.0 to satisfy the ILT JRG requirements V1.2.3.	