



Shanghai New Regulation on Medical Devices

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Mission

- To organize, coordinate, manage article numbering, bar coding and identification work for multi-sectors at national level
- To establish and maintain article numbering and identification system based on GS1 standards in China
- To promote and help to implement GS1 standards concerning bar code and RFID technologies and data exchanges in China



Chenghai ZHANG, CEO

- Neutral and non-profit organization
- Established in 1988
- Joined GS1 in 1991
- 46 branches
- Over 100,000 members so far



Healthcare Sector in China



SFDA (State Food and Drug Administration)

- Main regulator of the industry on food, pharmaceuticals and medical devices

MoH (Ministry of Health)

- For demand side mainly via hospitals

NDRC (National Development and Reform Committee)

- To specify the maximum price for medical product

AQSIQ (General Administration of Quality Supervision, Inspection and Quarantine)

- SAC (Standards Administration of China): National Standards Body
- Type Approval for Medical Device and Conformity Assessment

Comparison of TOP Healthcare Companies in US, Japan, EU and China

| Country | Number of TOP Companies | Total Sales Revenue |
|---------|-------------------------|---------------------|
| US | 3 | 95% |
| Japan | 5 | 80% |
| EU | 3 | 65% |
| China | 3 | 23% |

(2006)

China's TOP 3:



Sinopharm



Shanghai Pharma



Jointown Group

Shanghai Regulation on Medical Devices



Why

Why

- To *strengthen management* of production, operation and usage of implants
- To *fight against illegal production*, sale and use of fake and bad quality medical devices
- To refrain from *business bribery* linked with purchasing and marketing



What, when

What

- *Shanghai FDA[2006] No. 751: Opinions on Further Strengthening Management on Implantable Medical Devices in Shanghai, issued on November 7, 2006*

When

- Effective as of **January 1, 2007** for implants manufacturers and operators
- Effective as of **April 1, 2007** for medical institutions



Article 1:

To build up product tracking and tracing system for implants.

- Enterprises in scope

- Implant manufacturers, operators (wholesaler/distributor/dealers) and user units

- Products in scope

Implants, and currently including:

- Internally fixed implants for orthopedics
- Artificial joint / lens / breast
- Implantable cardiac pacemaker
- Artificial heart valve
- Stent / intervention devices in blood vessels and channels
- Other metal or hyper molecular implant



Article 2:

Be fully responsible for product quality and tracking and tracing after product launching onto market.

- To specify **product tracking method** and **product code allocation rules** in documentation
- To update tracking information in time and keep the accuracy of the information
- To record information of patient who receive an implant



Article 3:

Implants on market should have **unique ID** for tracking and tracing and manufacturer should provide **basic product information** to their dealers and medical institutions.

The tracking information should include * :

- Product characteristics code
- Product tracing code
- Manufacturer name / place
- Product name / type/ expiry date / production date / quantity
- SFDA license No. / expiry date
-

***Strongly recommend to use bar code technologies.**



Article 4:

Shall obtain *a License for Operation of Medical Device* and *relevant permit on business scope* and establish *tracking and tracing management system* for implants based on manufacturers requirements.



Article 5:

Shall set up *Equipment & Devices Management Committee* to be responsible for the purchasing and usage of implants.

- Should not purchase or use the implants that can not be traced
- To establish qualified supplier database and implants database for tracking and tracing



Article 6:

Should establish *a prior notification system* and strengthen *clinical usage* of implants.

- *Basic tracing information* should be recoded on *the Operation Records* and *the Medical Record* just after operation
- Show implants list to patients or their dependents.
 - Product name
 - Product specification
 - *Product characteristics number*
 - *Product tracing number*
 - Quantity
 - Manufacturer name
 - Price



Shanghai Regulation - Article 7 & 8 – Manufacturer, Operator, Medical Institution

Article 7:

Shall adopt **medical device adverse reporting system**.

Article 8:

Shall establish their own patient/product tracking database and provide the patients and product tracking information to the local FDA and other government offices in the **pre-defined** format **MONTHLY**.




Shanghai Regulation - Article 9 & 10 – Healthcare Government

Article 9:

Shall set up an e-Platform for management and services of implants in Shanghai.

Article 10:

Shall monitor and inspect the manufacturing, management and usage of implants based on their own duties and the Laws.



Implementation Guideline for the Regulation: The Application of GS1 ID & Bar Code



A unique product ID =
GTIN-14 + Batch/Lot Number or Serial Number

| A Unique Product ID Code | |
|------------------------------|---|
| Product Characteristics Code | Product Tracing Code |
| GTIN-14 | Batch/Lot Number <i>or</i> Serial Number |

- **GTIN-14** is mandatory as a Product Characteristics Code
- **A Batch/Lot number or serial number** is mandatory as a Product Tracing Code



GTIN Allocation Rules in China

12,000 Chinese healthcare companies are using GTIN Allocation Rules
www.gs1.org/gtinrules

GTIN分配规则主页 >

- GTIN分配规则主页**
- GTIN分配原则概览
 - 最佳应用
 - 搜索GTIN 规则
 - 免责声明
 - 相关链接

中文 Change language

GTIN 分配规则

全球贸易项目代码，GTIN (Global Trade Item Number) 为任一交易产品（如在产品定价、定购、开发票等业务过程中）提供全球供应链标识代码解决方案。

GTIN 是许多公司关键性业务（如POS扫描系统以及GDSN）的基础。采用相同的分配规则，会降低整个供应链成本。

本站点列出了涉及GTIN代码变化的常见的产品变更。

如需更多信息，请与 中国物品编码中心（GS1 China）联系。

查看GTIN 分配规则

注：所述目的是为了全球通用。仅当地方法律法规有其他强制要求时例外。



下载 GTIN 简易手册



Examples

AI

(01) GTIN-14 (10) Batch/Lot number (17) Expiry date

(01) GTIN-14 (21) Serial number (17) Expiry date

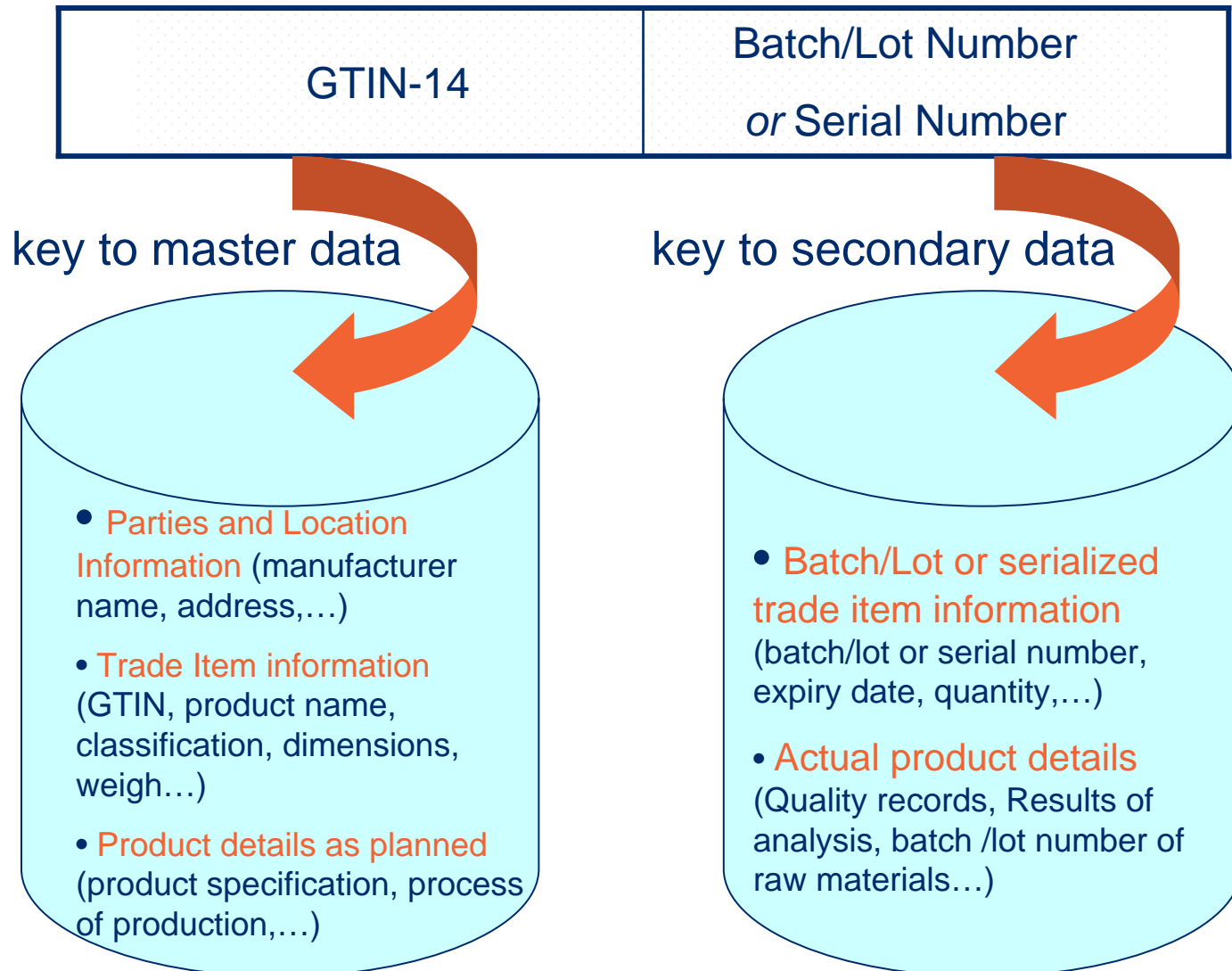
(01) GTIN-14 (10) Batch/Lot number (21) Serial number (17) Expiry date

Data Element

Note: Other AIs may be used.



A unique product ID is a key to product data pool





GS1-128 is mandatory for bar coding

EAN/UPC



For POS scanning

GS1-128



For tracking and tracing

From EAN/UPC to GS1-128

(from retailing to tracking and tracing through supply chain)





Solution for bar coding



All data represented in one GS1-128 symbol

☹️ **Not recommended - Too long**



Data represented in two GS1-128 symbols

😊 **For general use**



Conclusion (1)

- Implants in Shanghai must have one unique code as a tracking indicator. GTIN and Batch/Lot number (or serial number) are mandatory.
- GS1-128 should be applied and is highly recommended to be printed in separate two lines.
- Manufacturer, operator and medical institution should build up tracking and tracing system.
- Manufacturer should update their own patient/product tracking database, and provide related information to the government regularly. Tracking methods should be documented.



Conclusion (2)

- Manufacturer should provide the tracking information to their hospitals or dealers.
- The Regulation was put into practice as of Jan 1, 2007 in manufacturer and April 2007 in hospital in Shanghai.



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